Introduction to Nuclear Fusion as An Energy Source



Institute of Space and Plasma Sciences, National Cheng Kung University

2024 spring semester

Wednesday 9:10-12:00

Materials:

https://capst.ncku.edu.tw/PGS/index.php/teaching/

Online courses:

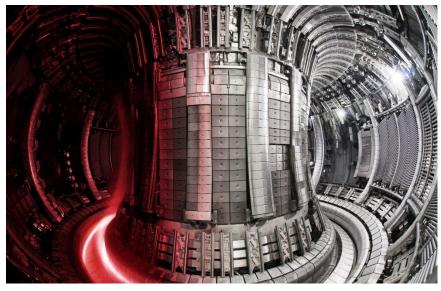
https://nckucc.webex.com/nckucc/j.php?MTID=ma76b50f97b1c6d72db61de 9eaa9f0b27

2024/2/21 updated 1

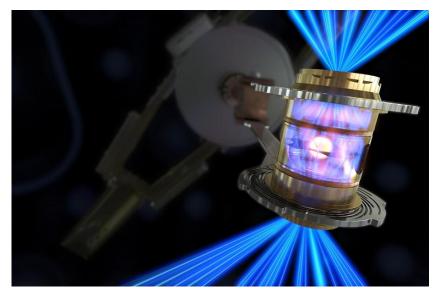
Significant breakthrough is achieved recently



Magnetic confinement fusion (MCF)
 Inertial confinement fusion (ICF)



 On 2024/2/(8), record-breaking 69.26 megajoules of sustained fusion energy in Joint European Torus (JET) facility in Oxford demonstrates powerplant potential and strengthens case for ITER.



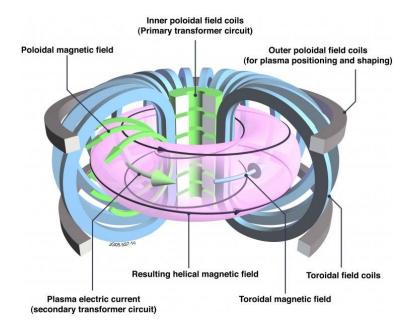
 National Ignition Facility (NIF) demonstrated a gain grater than 1 for the first time on 2022/12/5. The yield of 3.15 MJ from the 2.05-MJ input laser energy, i.e., Q=1.5.

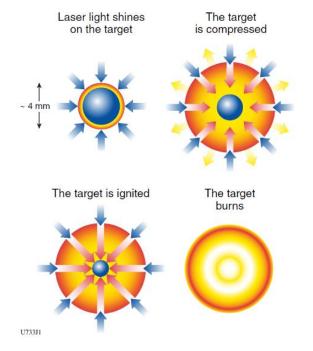
https://ccfe.ukaea.uk/resources/#gallery https://www.science.org/content/article/historic-explosion-long-sought-fusion-breakthrough

Nuclear fusion as an energy source is being developed



Magnetic confinement fusion (MCF)
 Inertial confinement fusion (ICF)





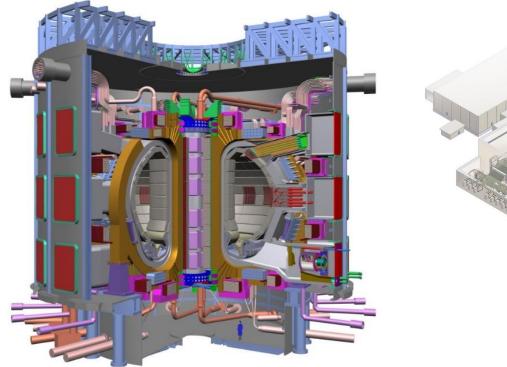
https://www.euro-fusion.org/2011/09/tokamak-principle-2/

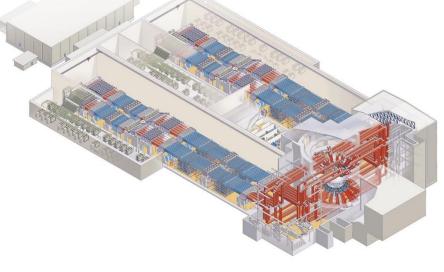
Inertial confinement fusion: an introduction, Laboratory for Laser Energetics, University of Rochester

Nuclear fusion as an energy source is being developed

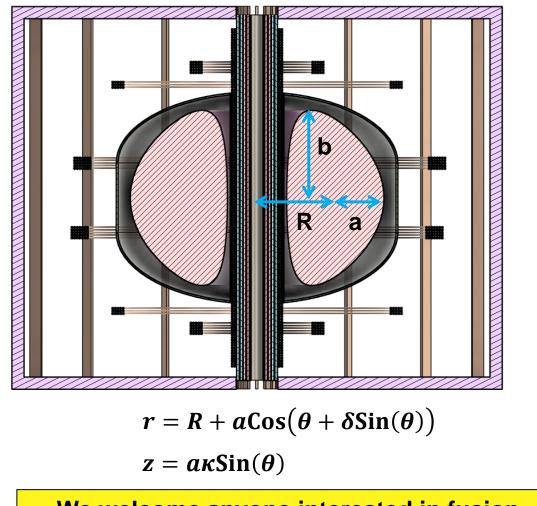


Magnetic confinement fusion (MCF)
 Inertial confinement fusion (ICF)



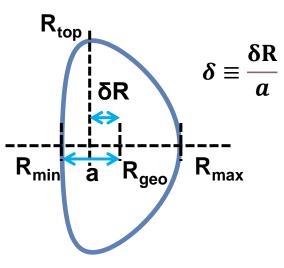


Formosa Integrated Research Spherical Tokamak (FIRST)



We welcome anyone interested in fusion research to join us!

- Parameters:
 - R=45 cm
 - a=28 cm
 - Aspect Raio = R/a=1.61
 - Elongation κ=b/a=1.8
 - Triangularity δ=0.5
 - T~100 eV
 - B_T~0.5 T
 - I_p~100 kA





- Brief background reviews
 - Electromagnetics
 - Plasma physics
- Introduction to nuclear fusion
 - Nuclear binding energy (Fission vs Fusion)
 - Fusion reaction physics
 - Some important fusion reactions (Cross section)
 - Main controlled fusion fuels
 - Advanced fusion fuels
 - Maxwell-averaged fusion reactivities



- Introduction to nuclear fusion (cont.)
 - Collisions (Bremsstrahlung radiation)
 - Columb scattering. Cross section of the Columb scattering
 - Beam-target fusion vs thermonuclear fusion
 - Lawson criteria, ignition conditions
 - Magnetic confinement fusion (MCF) vs Inertial confinement fusion (ICF)



- Magnetic confinement fusion (MCF)
 - Gyro motion, MHD
 - 1D equilibrium (z pinch, theta pinch)
 - Drift: ExB drift, grad B drift, and curvature B drift
 - Tokamak, Stellarator (toroidal field, poloidal field)
 - Magnetic flux surface
 - 2D axisymmetric equilibrium of a torus plasma: Grad-Shafranov equation.
 - Stability (Kink instability, sausage instability, Safety factor Q)
 - Central-solenoid (CS) start-up (discharge) and current drive
 - CS-free current drive: electron cyclotron current drive, bootstrap current.
 - Auxiliary Heating: ECRH, Ohmic heating, Neutral beam injection.

- Inertial confinement fusion (ICF)
 - Plasma frequency and critical density
 - Direct- and indirect- drive
 - Laser generated pressure (Inverse bremsstrahlung and Ablation pressure)
 - Burning fraction, why compressing a capsule?
 - Implosion dynamics
 - Shock (Compression with different adiabat)
 - Laser pulse shape
 - Rocket model, shell velocity
 - Laser-plasma interaction (Stimulated Raman Scattering, SRS; Stimulated Brillouin Scattering, SBS; Two-plasmon decay)
 - Instabilities (Rayleigh-taylor instability, Kelvin-Helmholtz instability, Richtmeyer-Meshkov instability)



- Innovation Fusion scheme
- Status of fusion research in Taiwan
 - Formosa Integrated Research Spherical Tokamak (FIRST)





- Nuclear fusion, by Edward Morse
- Ideal magnetohydrodynamics, by Jeffrey P. Freidberg
- Introduction to plasma theory, by Dwight R. Nicholson
- Introduction to plasma physics and controlled fusion, by Francis F. Chen
- Principles of plasma physics for engineers and scientists, by Umran S.
 Inan and Marek Golkowski
- Introduction to plasma physics, by Gurnett and Bhattacharjee
- The physics of plasma, by T. J. M. Boyd and J. J. Sanderson
- Principles of plasma physics, by Krall and Trivelpiece
- NRL Plasma Formulary, Naval Research Laboratory, 2013 by J. D. Huba

Grading



- Midterm 40 % @ 4/17
- Final exam 60 % @ 6/19

• No class: 2/28, 3/6.

Online class: 3/20.

•



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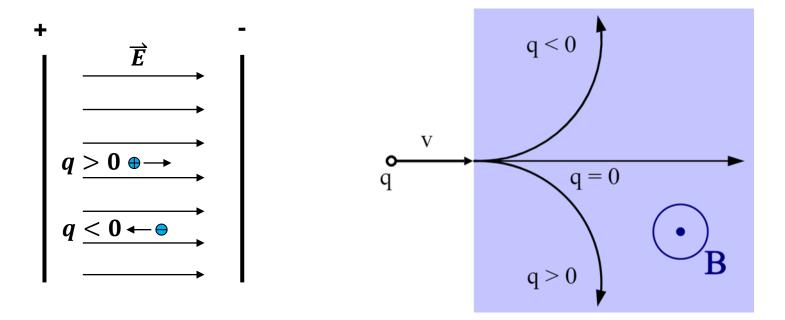
Charged particles are accelerated due to Lorentz force under electromagnetic fields

• Lorentz force: $\overrightarrow{F} = m \overrightarrow{a} = q \overrightarrow{E} + q \overrightarrow{v} \times \overrightarrow{B}$

$$m\frac{d\,\overrightarrow{v}}{dt} = q\,\overrightarrow{E} + q\,\overrightarrow{v}\times\overrightarrow{B}$$

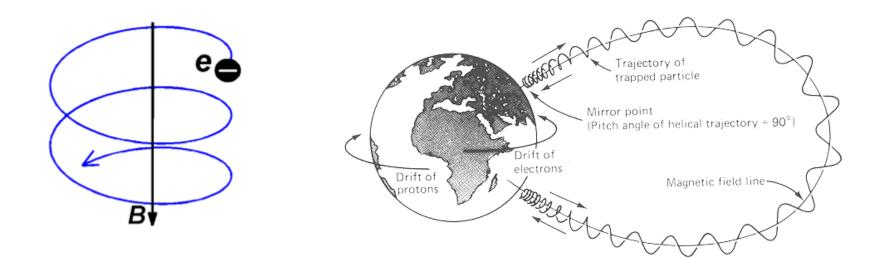
Force under electric fields

Force under magnetic fields



Charged particles gyro around magnetic field lines



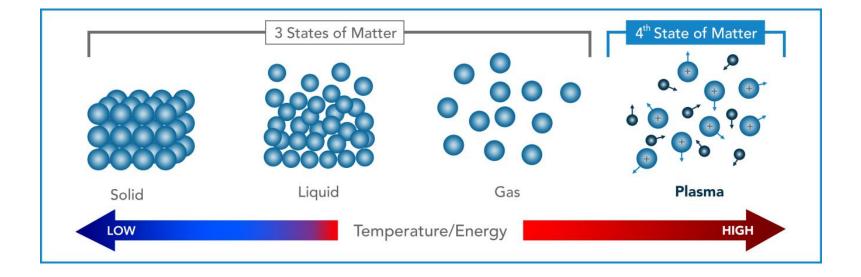


http://www.ipp.cas.cz/vedecka_struktura_ufp/tokamak/tokamak_compass/diagnostics/ mikrovInne-diagnostiky/ece-ebw-radiometr.html

http://www-ssg.sr.unh.edu/tof/Smart/Students/lees/periods.html

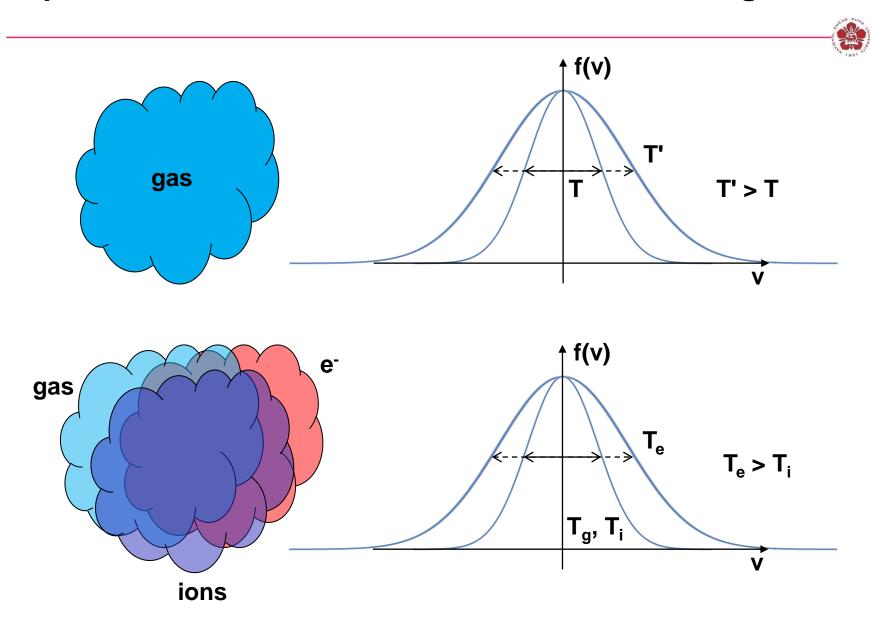
Plasma is the 4th state of matter





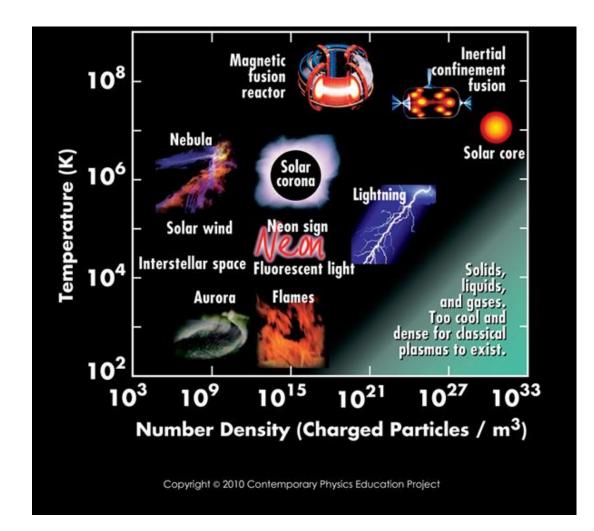
http://tetronics.com/our-technology/what-is-plasmak

In plasma, there are ions, electrons, and neutral gas

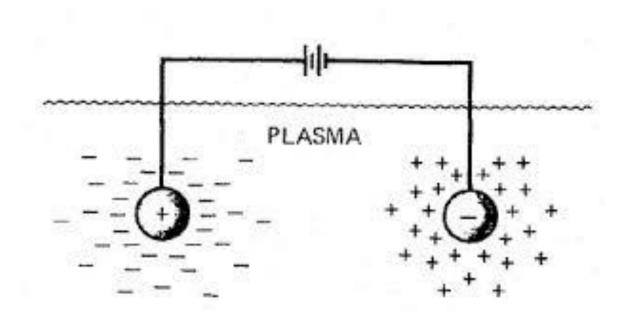


Nuclear fusion occurs at a very high temperature region



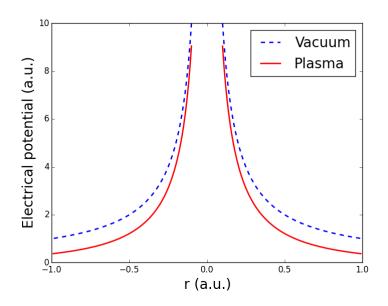


A test ion in the plasma gathers a shielding cloud that tends to cancel its own charge



Francis F. Chen, \Introduction to plasma physics and controlled fusion¹/₉

Debye shielding is a phenomenon such that the potential due to a test charge in a plasma falls off much faster than in vacuum



• Vacuum potential:

$$\phi = \frac{\phi_0}{r}$$

Poisson's equation:

$$\nabla^2 \phi = 4\pi e(n_{\rm e} - n_{\rm i}) - 4\pi q_{\rm T} \delta(\vec{r})$$

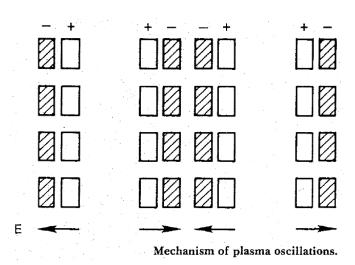
Density profile:

$$n_{\rm e} = n_0 \exp\left(\frac{e\phi}{KT_{\rm e}}\right)$$
, $n_{\rm i} = n_0$

For $\vec{r} \neq 0$ and assuming $\frac{e\phi}{T_e} \ll 1$

$$\frac{1}{r^2} \frac{d}{dr} \left(r^2 \frac{d\Phi}{dr} \right) = \frac{4\pi n_0 e^2}{KT_e} \phi$$
$$\phi = \frac{\phi_0}{r} \exp\left(-\frac{r}{\lambda_D}\right) \quad \lambda_D \approx \left(\frac{KT_e}{4\pi n e^2}\right)^{1/2}$$

Electron plasma frequency is the characteristic frequency such that electrons oscillate around their equilibrium positions



• Assumption:

$$\overrightarrow{\nabla} \equiv \widehat{x} \frac{\partial}{\partial x}, \ \overrightarrow{E} = \widehat{x}E, \ \overrightarrow{v}_{e} = \widehat{x}v,$$
,
 $\overrightarrow{\nabla} \times \overrightarrow{E} = 0, \ \overrightarrow{E} = -\overrightarrow{\nabla}\phi$

• Continuity and momentum equation for electron:

$$\frac{\partial n_{\rm e}}{\partial t} + \vec{\nabla} \cdot (n_{\rm e} \vec{v}_{\rm e}) = 0$$
$$m_{\rm e} n_{\rm e} \left[\frac{\partial \vec{v}_{\rm e}}{\partial t} + (\vec{v}_{\rm e} \cdot \vec{\nabla}) \vec{v}_{\rm e} \right] = -e n_{\rm e} \vec{E}$$

- Gauss' law:
 - $\frac{\partial E}{\partial x} = 4\pi e(n_{\rm i} n_{\rm e})$

Electron plasma frequency is obtained by linearizing the hydrodynamic equations

The oscillation is assumed to be small:

$$n_{\mathrm{e}}=n_{0}+n_{1},\,\overline{E}=\overline{E}_{0}+\overline{E}_{1}\,,\,v_{\mathrm{e}}=v_{0}+v_{1}$$
 where

$$\frac{\partial n_0}{\partial x} = v_0 = \vec{E}_0 = 0$$
$$\frac{\partial n_0}{\partial t} = \frac{\partial v_0}{\partial t} = \frac{\partial \vec{E}_0}{\partial t} = 0$$

Linearization:

$$m_{e}\frac{\partial v_{1}}{\partial t} = -eE_{1}$$
$$\frac{\partial n_{1}}{\partial t} + n_{0}\frac{\partial v_{1}}{\partial x} = 0$$
$$\frac{\partial E_{1}}{\partial x} = -4\pi en_{1}$$

• Plane wave solution:

$$\eta_1 = \widehat{\eta} \exp[i(kx - \omega t)]$$

$$\eta_1 = v_1, n_1, E_1$$

• Substitute into the previous equations:

$$-im_{e}\omega v_{1} = -eE_{1}$$
$$-i\omega n_{1} = -n_{0}ikv_{1}$$
$$ikE_{1} = -4\pi en_{1}$$

• Electron plasma frequency is obtained by eliminating n₁ and E₁: $\omega_{pe} \equiv \omega = \left(\frac{4\pi n_e e^2}{m_c}\right)^{1/2}$

Plasma β is the ratio between hydro pressure and magnetic pressure



Momentum equation in Magnetohydrodynamics (MHD) approach: •

$$\rho_{\rm m} \left[\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \nabla) \vec{v} \right] = \vec{j} \times \vec{B} - \nabla \cdot \overleftrightarrow{P} \Rightarrow \vec{j} \times \vec{B} - \nabla P$$
$$\nabla \times \vec{B} = \mu_0 \vec{j}$$

$$\vec{j} \times \vec{B} = \frac{1}{\mu_{0}} (\vec{\nabla} \times \vec{B}) \times \vec{B} = \frac{1}{\mu_{0}} \left[(\vec{B} \cdot \vec{\nabla}) \vec{B} - \frac{1}{2} \vec{\nabla} B^{2} \right]$$

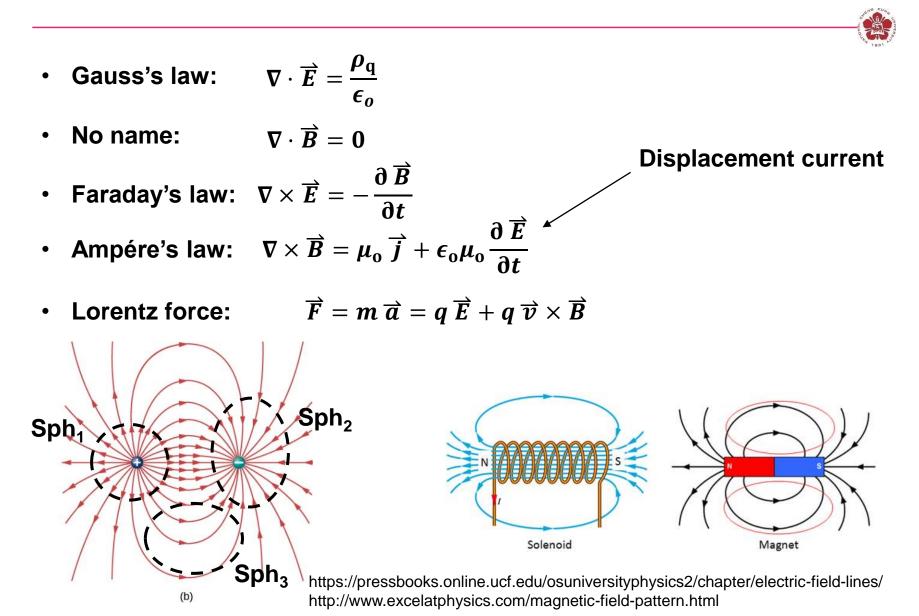
$$\rho_{m} \left[\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \nabla) \vec{v} \right] = -\nabla \left(P + \frac{B^{2}}{2\mu_{0}} \right) + \frac{1}{\mu_{0}} (\vec{B} \cdot \vec{\nabla}) \vec{B}$$
e pressure:
$$\frac{B^{2}}{2\mu_{0}}$$

 $\frac{1}{\mu_o} \left(\vec{B} \cdot \vec{\nabla} \right) \vec{B}$

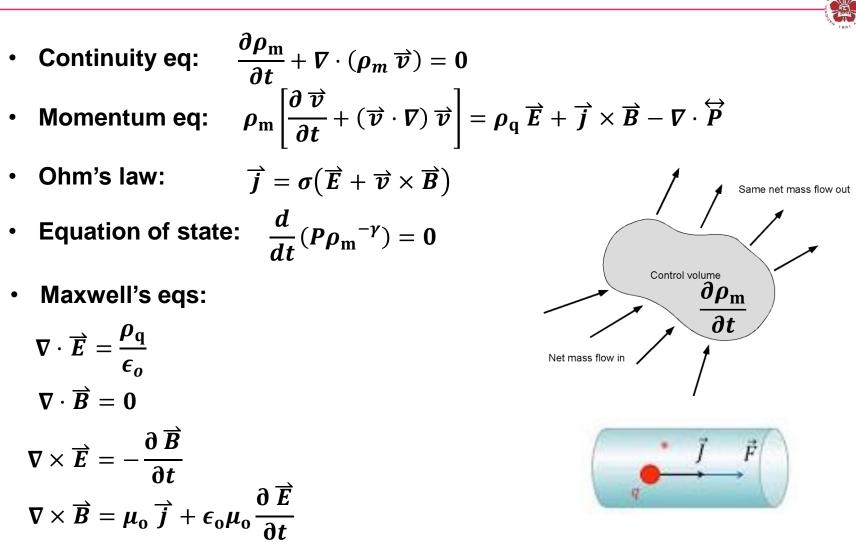
- Magnetic pressure: •
- Magnetic tension:

 $\beta \equiv \frac{P}{R^2/2\mu_*}$

Maxwell's equations



Magnetohydrodynamics description of plasma



https://eaglepubs.erau.edu/introductiontoaerospaceflightvehicles/ chapter/conservation-of-mass-continuity-equation/ https://www.youtube.com/watch?v=lu0Ep8_Gp8U

Magnetohydrodynamics description of plasma w/ lowfreq. and long-wavelength approximation

- Continuity eq: $\frac{\partial \rho_{\rm m}}{\partial t} + \nabla \cdot (\rho_{\rm m} \, \vec{v}) = 0$ w/ long wavelength ($\lambda >> \lambda_d$) • Momentum eq: $\rho_{\rm m} \left[\frac{\partial \, \vec{v}}{\partial t} + (\vec{v} \cdot \nabla) \, \vec{v} \right] = \rho_{\rm q} \left[\vec{E} + \vec{j} \times \vec{B} - \nabla \cdot \vec{P} \right]$
 - Ohm's law: $\vec{j} = \sigma(\vec{E} + \vec{v} \times \vec{B})$
 - Equation of state: $\frac{d}{dt}(P\rho_m^{-\gamma}) = 0$
 - Maxwell's eqs:

 $\nabla \cdot \vec{E} = \frac{\rho_{q}}{\epsilon_{o}} \approx 0 \quad \text{w/ long wavelength (} \lambda >> \lambda_{d} \text{) => quasi neutral}$ $\nabla \cdot \vec{B} = 0$ $\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$ $\nabla \times \vec{B} = \mu_{o} \vec{j} + \epsilon_{o} \mu_{o} \frac{\partial \vec{E}}{\partial t}$ w/ low freq. ($\omega << \omega_{pe}$)

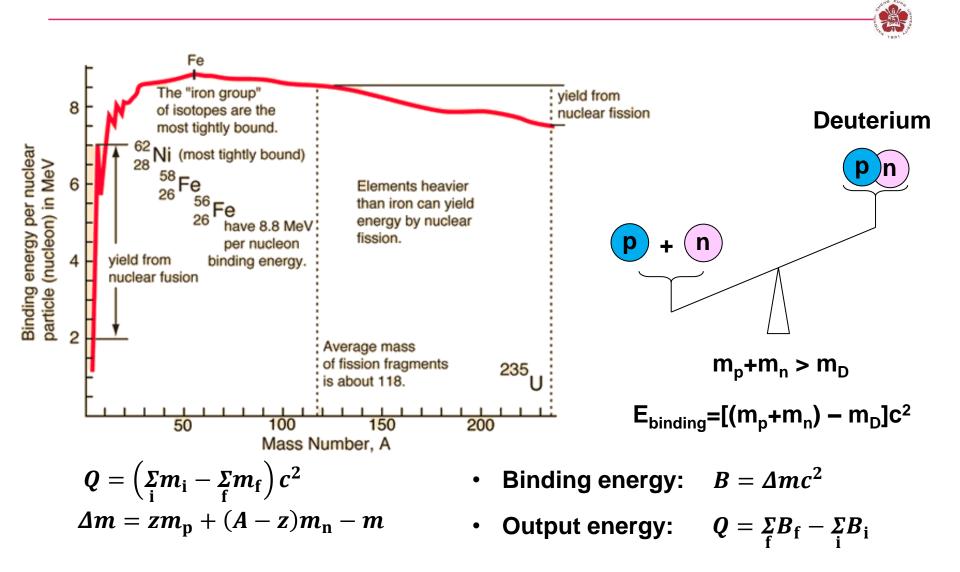
Magnetohydrodynamics description of plasma w/ lowfreq. and long-wavelength approximation

Continuity eq: $\frac{\partial \rho_m}{\partial t} + \nabla \cdot (\rho_m \, \vec{v}) = 0$ Momentum eq: $\rho_{\rm m} \left[\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \nabla) \vec{v} \right] = \vec{j} \times \vec{B} - \nabla \cdot \overleftrightarrow{P}$ • $\vec{j} = \sigma(\vec{E} + \vec{v} \times \vec{B})$ Ohm's law: Equation of state: $\frac{d}{dt}(P\rho_{\rm m}^{-\gamma}) = 0$ ٠ $abla \cdot \overrightarrow{B} = 0$ $abla \times \overrightarrow{E} = -rac{\partial \overrightarrow{B}}{\partial t}$ Maxwell's eqs: $abla imes \overrightarrow{B} = \mu_{0} \overrightarrow{j} = \mathbf{v} \cdot \overrightarrow{j} = \mathbf{0}$ ∇ . Force balance condition: $0 = \vec{j} \times \vec{B} - \nabla \cdot \vec{P}$



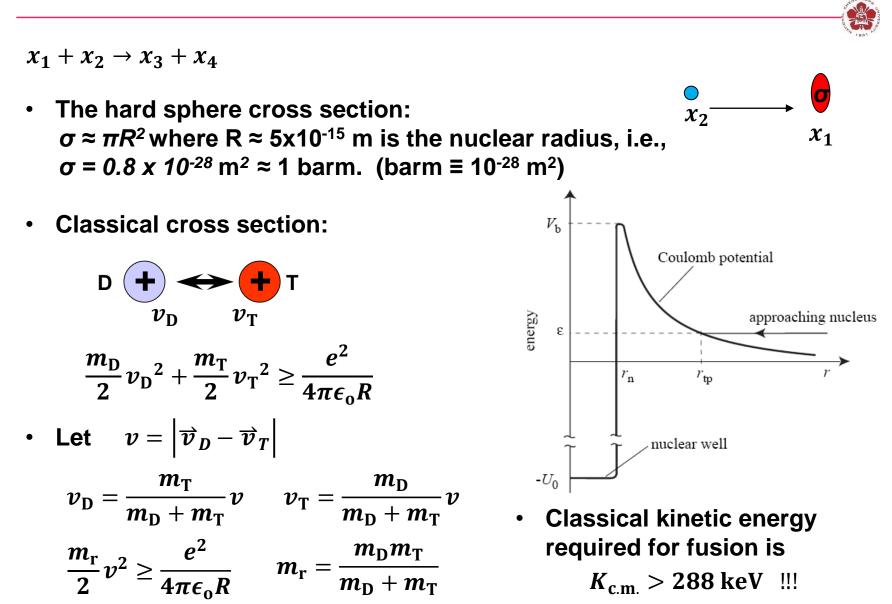
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The "iron group" of isotopes are the most tightly bound

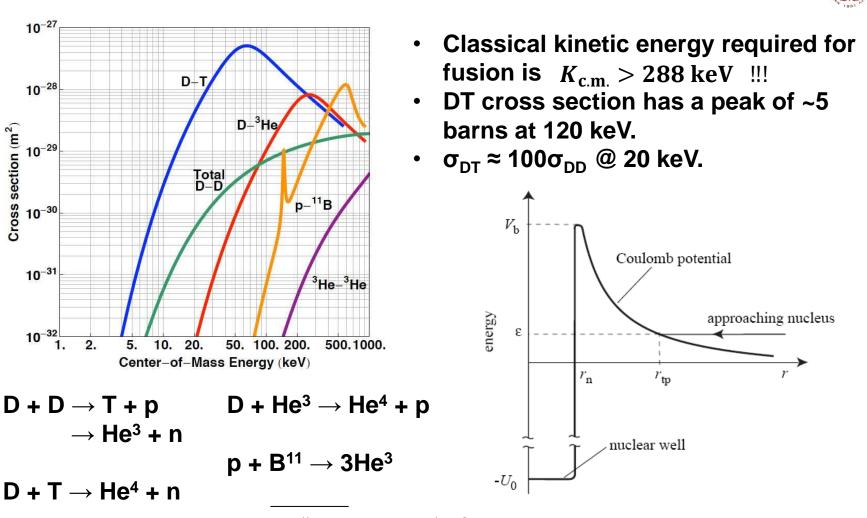


http://hyperphysics.phy-astr.gsu.edu/hbase/nucene/nucbin.html

Cross section measures the probability per pair of particles for the occurrence of the reaction



Cross section of fusion reaction is much larger than the classical approach



https://i.stack.imgur.com/wXQD5.jpg

Santarius, J. F., "Fusion Space Propulsion – A Shorter Time Frame Than You Think", JANNAF, Monterey, 5-8 December 2005.

Flux of incident particles reduces after collisions



 $x_1 + x_2 \rightarrow x_3 + x_4$

• Cross section: $\sigma \approx \pi R^2$ where R is the nuclear radius.

V = Adx

 $N_1 = n_1 V = n_1 A dx$ $A_{\text{Target}} = N_1 \sigma = \sigma n_1 A dx$

• Fraction of total area blocked by targets is:

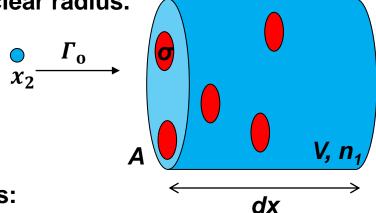
$$dF = \frac{\sigma N_1}{A} = \frac{\sigma n_1 A dx}{A} = \sigma n_1 dx$$
$$\frac{dF}{dx} = \sigma n_1$$

• Flux of incident particles (x₁) is Γ_0

$$-d\Gamma = dF\Gamma = \sigma n_1 \Gamma dx$$

$$\frac{-d\Gamma}{\Gamma} = \sigma n_1 dx$$

$$\Gamma = \Gamma_o \exp\left(-\frac{x}{\lambda_{\rm mfp}}\right)$$



• Mean free path: $\lambda_{mfp} = \frac{1}{n_1 \sigma}$ • Collision frequency: $\nu = \frac{1}{\tau}, \tau = \frac{\lambda_{mfp}}{\nu} = \frac{1}{n_1 \sigma \nu}_{3}$

Reactions happen when collision happen



- Reaction rate R₁₂: number of fusion collisions/reactions per unit volume per unit time.
- In the time dt=dx/v, n₂Adx incident particles will pass through the target volume.
- The number having a collisions is: $dF(n_2Adx)$
- The volumetric reaction rate R_{12} , i.e., the number of reaction per unit time and per unit volume is:

$$R_{12} = \frac{dF(n_2Adx)}{Adxdt} = \sigma n_1 n_2 \frac{dx}{dt} = n_1 n_2 \sigma v$$

- The fusion power density (W/m³) is: $S_f = E_f n_1 n_2 \sigma v (W/m^3)$
- For DT fusion, $E_{\rm f}$ =17.6 MeV.
- For a particle population with a distribution function in velocity space:

$$n = \int d \, \vec{v} \, f(\vec{r}, \vec{v}, t)$$

• Therefore, $n_1 \to d \, \vec{v}_1 f_1(\vec{r}, \vec{v}_1, t) \quad n_2 \to d \, \vec{v}_2 f_2(\vec{r}, \vec{v}_2, t) \quad v \to \left| \vec{v}_1 - \vec{v}_2 \right|$ $R_{12} = \int f_1(\vec{v}_1) f_1(\vec{v}_2) \sigma \left(\left| \vec{v}_1 - \vec{v}_2 \right| \right) \left| \vec{v}_1 - \vec{v}_2 \right| d \, \vec{v}_1 d \, \vec{v}_2$

The fusion power density needs to consider the distribution function of particles



$$R_{12} = \int f_1(\vec{v}_1) f_1(\vec{v}_2) \sigma\left(\left|\vec{v}_1 - \vec{v}_2\right|\right) \left|\vec{v}_1 - \vec{v}_2\right| d\vec{v}_1 d\vec{v}_2 = n_1 n_2 \langle \sigma v \rangle$$

$$\begin{aligned} \langle \boldsymbol{\sigma} \mathbf{v} \rangle &\equiv \frac{\int f_1(\vec{v}_1) f_1(\vec{v}_2) \boldsymbol{\sigma}(|\vec{v}_1 - \vec{v}_2|) |\vec{v}_1 - \vec{v}_2| d \vec{v}_1 d \vec{v}_2}{\int f_1(\vec{v}_1) f_1(\vec{v}_2) d \vec{v}_1 d \vec{v}_2} \\ &= \frac{\int f_1(\vec{v}_1) f_1(\vec{v}_2) \boldsymbol{\sigma}(|\vec{v}_1 - \vec{v}_2|) |\vec{v}_1 - \vec{v}_2| d \vec{v}_1 d \vec{v}_2}{n_1 n_2} \end{aligned}$$

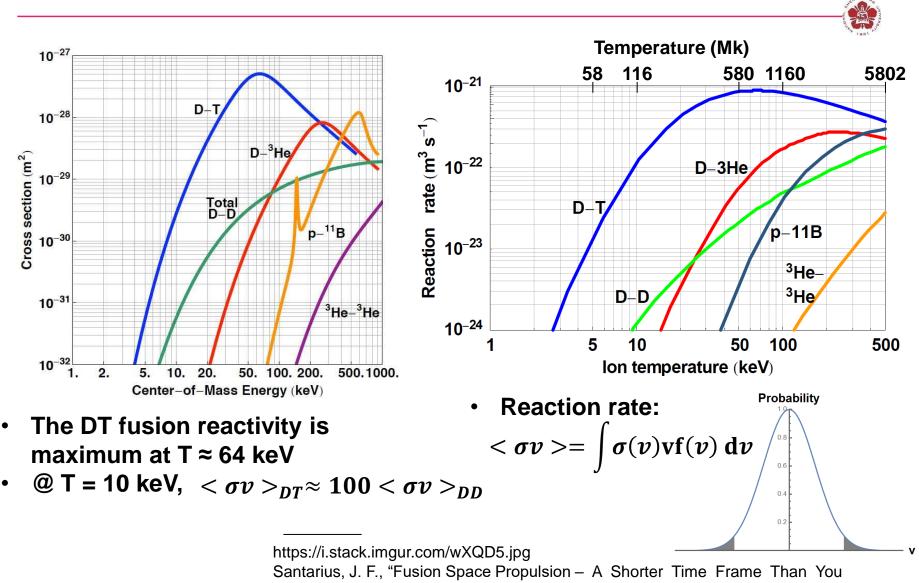
• The fusion power density (W/m³) is:

 $S_{\rm f} = E_{\rm f} n_1 n_2 \langle \sigma v \rangle \left(W/m^3 \right)$

• Optimum concentration of DT fusion is 50-50.

$$S_{\rm f} = E_{\rm f} n_{\rm D} n_{\rm T} \langle \sigma v \rangle$$
 $n_D = k n_o$ $n_T = (1 - k) n_o$
 $S_{\rm f} = E_{\rm f} k (1 - k) n_o^2$ which peak at $k = 0.5$.

Fusion doesn't come easy



Think", JANNAF, Monterey, 5-8 December 2005.

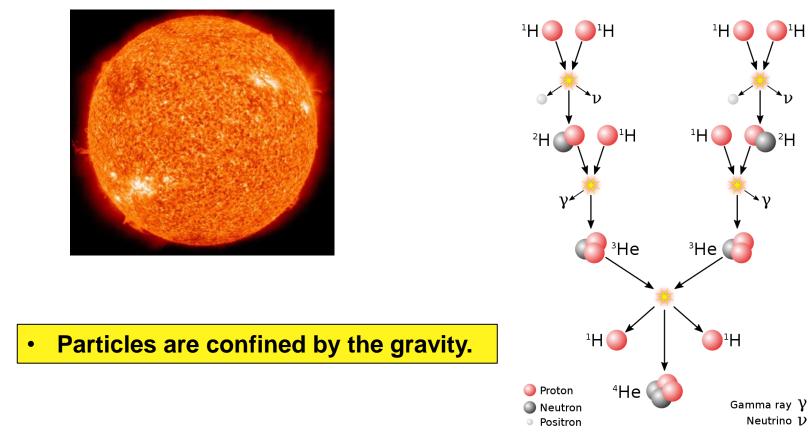
Fusion in the sun provides the energy



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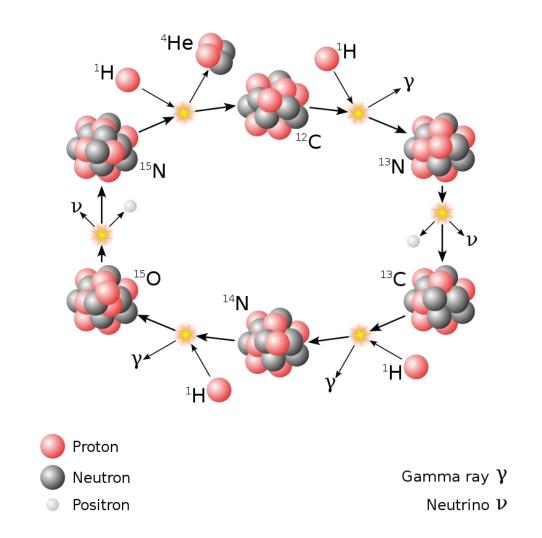
 $^{1}\mathsf{H}$

Proton-proton chain in sun or smaller •



In heavy sun, the fusion reaction is the CNO cycle





https://en.wikipedia.org/wiki/Nuclear_fusion

The cross section of proton-proton chain is much smaller than D T fusion

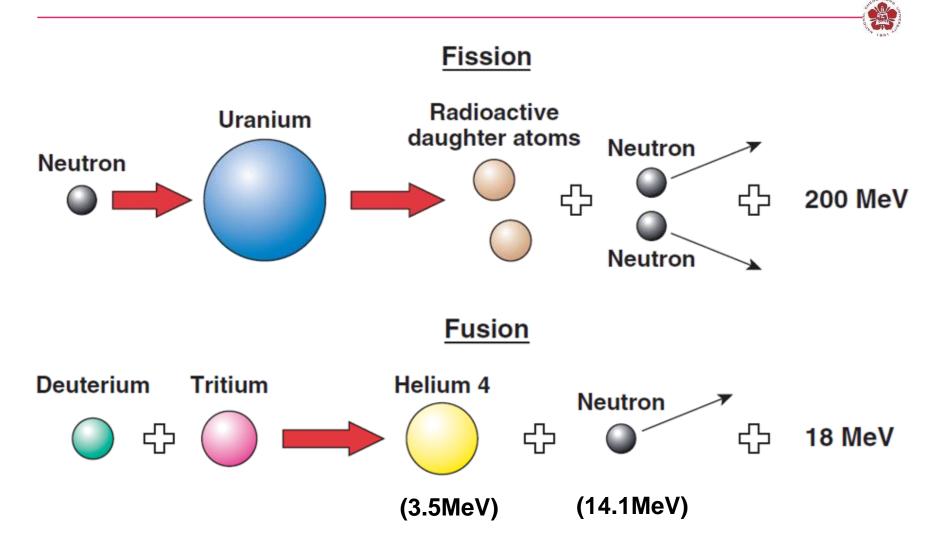


Reaction	σ _{10 keV} (barn)	σ _{100 keV} (barn)	σ _{max} (barn)	ε _{max} (keV)
D+T→α+n	2.72x10 ⁻²	3.43	5.0	64
D+T→T+p	2.81x10 ⁻⁴	3.3x10 ⁻²	0.06	1250
D+T→³He+n	2.78x10 ⁻⁴	3.7x10 ⁻²	0.11	1750
T+T→α+2n	7.90x10 ⁻⁴	3.4x10 ⁻²	0.16	1000
D+³He→α+p	2.2x10 ⁻⁷	0.1	0.9	250
p+ ⁶ Li→α+³He	6x10 ⁻¹⁰	7x10 ⁻³	0.22	1500
p + ¹¹ B→3α	(4.6x10 ⁻¹⁷)	3x10 ⁻⁴	1.2	550
p+p→D+e⁺+v	(3.6x10 ⁻²⁶)	(4.4x10 ⁻²⁵)		
$p+^{12}C \rightarrow ^{13}N+\gamma$	(1.9x10 ⁻²⁶)	2.0x10 ⁻¹⁰	1.0x10.4	400
¹² C+ ¹² C (all branches)		(5.0x10 ⁻¹⁰³)		

• "()" are theoretical values while others are measured values.

The Physics of Inertial Fusion, by Stefano Atzeni and Jürgen Meyer-Ter-Vehn

Nuclear fusion and fission release energy through energetic neutrons



Nuclear fusion provides more energy per atomic mass unit (amu) than nuclear fission

- Fusion of D+T:
- Fission of ²³⁵U+n:

$$\frac{Q}{A} = \frac{17.6 \text{MeV}}{(3+2)\text{amu}} = 3.5 \frac{\text{MeV}}{\text{amu}}$$
$$\frac{Q}{A} = \frac{200 \text{MeV}}{(235+1)\text{amu}} = 0.85 \frac{\text{MeV}}{\text{amu}}$$

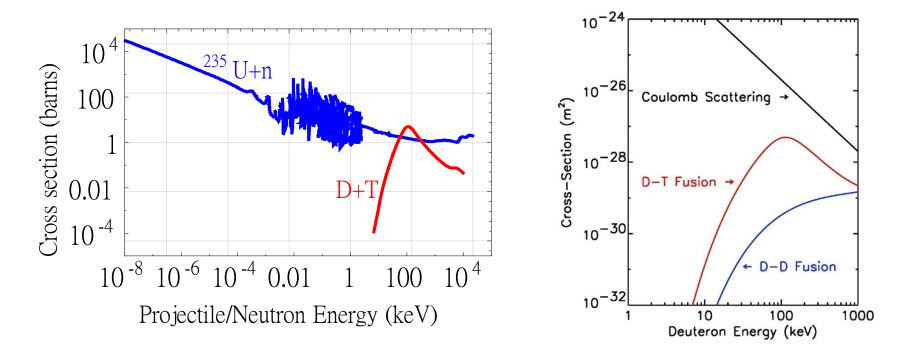
	Half-life (years)	
U235	7.04x10 ⁸	
U238	4.47x10 ⁹	
Tritium	12.3	

$$n + Li^6 \rightarrow He^4 + T$$

Fusion is much harder than fission

- Fission: $n + {}^{235}_{92} U \rightarrow {}^{236}_{92} U \rightarrow {}^{144}_{56} Ba + {}^{89}_{36} Kr + 3n + 177 \text{ MeV}$
- **Fusion:** $D + T \to He^4 (3.5 \text{ MeV}) + n (14.1 \text{ MeV})$





Fast neutrons are slowed down due to the collisions

- A moderator is used to slow down fast neutrons but not to absorb neutrons.
- For $m_M \sim m_N$, the energy decrement is higher. Therefore, H slows down neutron most efficiently.

m_N

- However, H + n \rightarrow D, i.e., H absorbs neutrons.

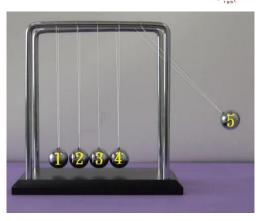
Neutron

• The best option is the D in the heavy water (D_2O).

	Energy decrement	Neutron scattering cross section (σ _s) (Barns)	Neutron absorption cross section (σ_a) (Barns)		
Н	1	49 (H ₂ O)	0.66 (H ₂ O)		
D	0.7261	10.6 (D ₂ O)	0.0013 (D ₂ O)		
С	0.1589	4.7 (Graphite)	0.0035 (Graphite)		
	https://op.wikipedia.org/wiki/Noutrop.moderator#citepete.Wester 4				

https://en.wikipedia.org/wiki/Neutron_moderator#cite_note-Weston-4 https://energyeducation.ca/encyclopedia/Neutron_moderator#cite_note-3









m_M

Comparison between nuclear fission and nuclear fusion

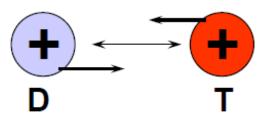


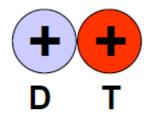
	Nuclear Fission	Nuclear Fusion
Chain reaction	Yes	No
Melt down	Possible	Impossible
Nuclear waste	High radiative	Low radiative / None

• Probability for fusion reactions to occur is low at low temperatures due to the coulomb repulsion force.



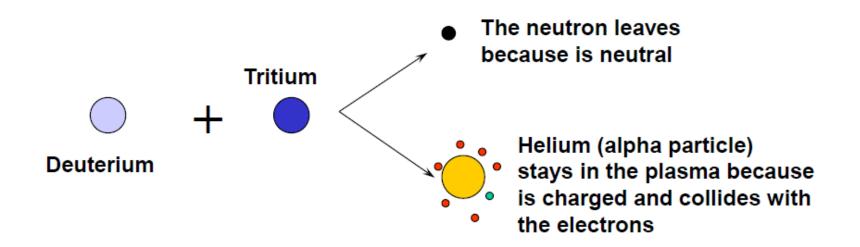
 If the ions are sufficiently hot, i.e., large random velocity, they can collide by overcoming coulomb repulsion





It takes a lot of energy or power to keep the plasma at 100M °C

· Let the plasma do it itself!



• The α-particles heat the plasma.

Under what conditions the plasma keeps itself hot?

Water Bart

• Steady state 0-D power balance:

 $S_{\alpha}+S_{h}=S_{B}+S_{k}$

- S_{α} : α particle heating
- S_h: external heating
- **S_B: Bremsstrahlung radiation**
- S_k: heat conduction lost

Ignition condition: Pτ > 10 atm-s = 10 Gbar - ns

- P: pressure, or called energy density
- т is confinement time