

# Introduction to Nuclear Fusion as An Energy Source

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**Lecture 11**

**2024 spring semester**

**Wednesday 9:10-12:00**

**Materials:**

**<https://capst.ncku.edu.tw/PGS/index.php/teaching/>**

**Online courses:**

**<https://nckucc.webex.com/nckucc/j.php?MTID=ma76b50f97b1c6d72db61de9eaa9f0b27>**

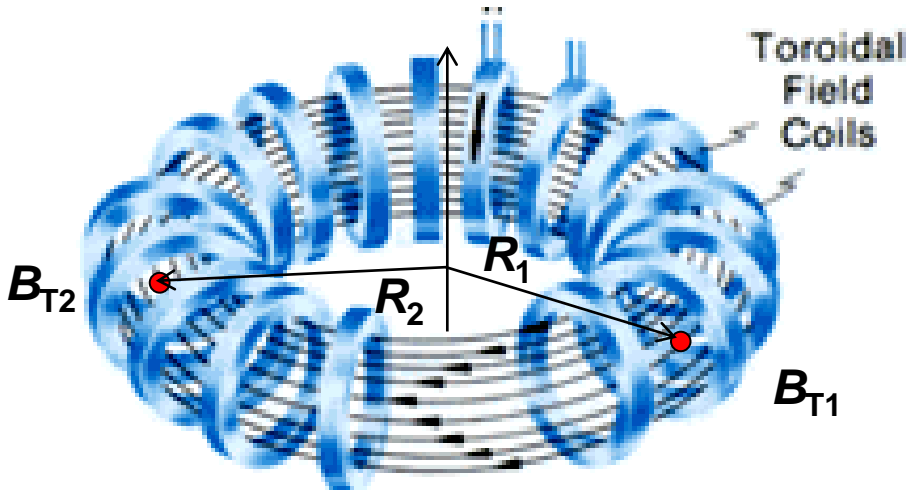
# With poloidal fields, charged particles see nonuniform toroidal magnetic field



- W/o poloidal field

$$R_1 = R_2$$

$$B_{T1} = B_{T2}$$



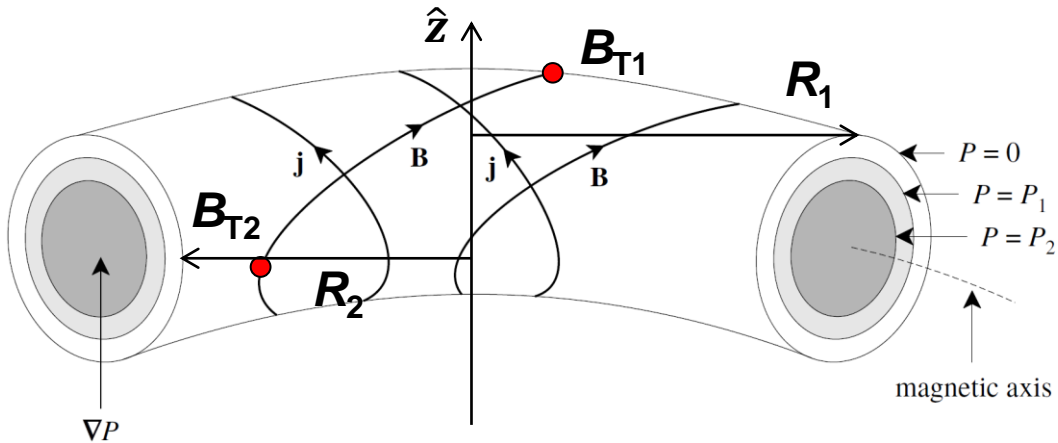
$$B_T \propto \frac{1}{R}$$

$$B_T \gg B_p$$

- W/ poloidal field

$$R_1 > R_2$$

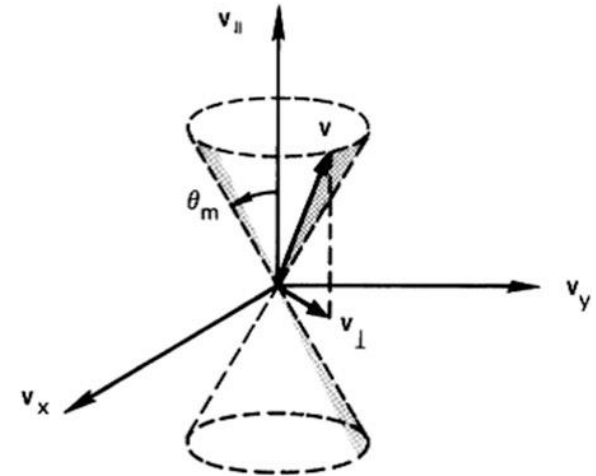
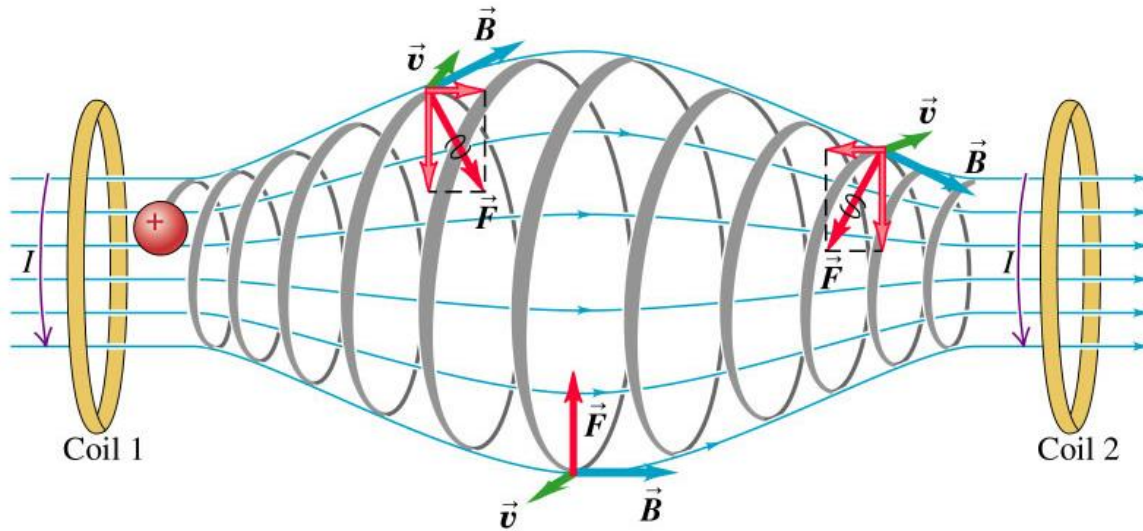
$$B_{T1} < B_{T2}$$



# Charged particles can be partially confined by a magnetic mirror machine



- Charged particles with small  $v_{\parallel}$  eventually stop and are reflected while those with large  $v_{\parallel}$  escape.



$$\frac{1}{2}mv^2 = \frac{1}{2}mv_{\parallel}^2 + \frac{1}{2}mv_{\perp}^2 \quad \text{Invariant: } \mu \equiv \frac{1}{2} \frac{mv_{\perp}^2}{B}$$

$$v'_{\perp}{}^2 = v_{\perp 0}^2 + v_{\parallel 0}^2 \equiv v_0^2$$

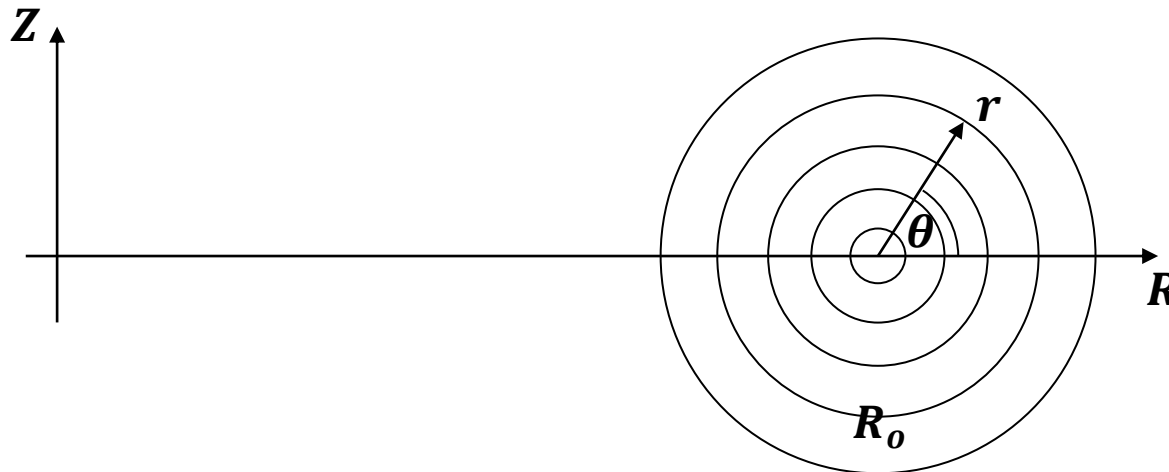
$$\frac{B_0}{B'} = \frac{v_{\perp 0}^2}{v'_{\perp}{}^2} = \frac{v_{\perp 0}^2}{v_0^2} \equiv \sin^2 \theta$$

$$\frac{B_0}{B_m} \equiv \frac{1}{R_m} = \sin^2 \theta_m$$

- Large  $v_{\parallel}$  may occur from collisions between particles.

Those confined charged particle are eventually lost due to collisions.

# Parallel velocity changes when particles follow field the field line



$$R \gg r$$

$$B_T \gg B_p$$

$$R = R_0 + r \cos \theta = R_0(1 + \epsilon \cos \theta)$$

Inverse aspect ratio:

$$\epsilon \equiv \frac{r}{R_0}$$

$$B \simeq \frac{B_0}{1 + \epsilon \cos \theta} \simeq B_0(1 - \epsilon \cos \theta)$$

Invariant:  $\mu \equiv \frac{1}{2} \frac{mv_{\perp}^2}{B} = \frac{v_{\perp}^2}{B_0(1 - \epsilon \cos \theta)} = \frac{v_{\perp 0}^2}{B_0(1 - \epsilon)}$

$$v_{\perp}^2 = \frac{v_{\perp 0}^2(1 - \epsilon \cos \theta)}{1 - \epsilon}$$

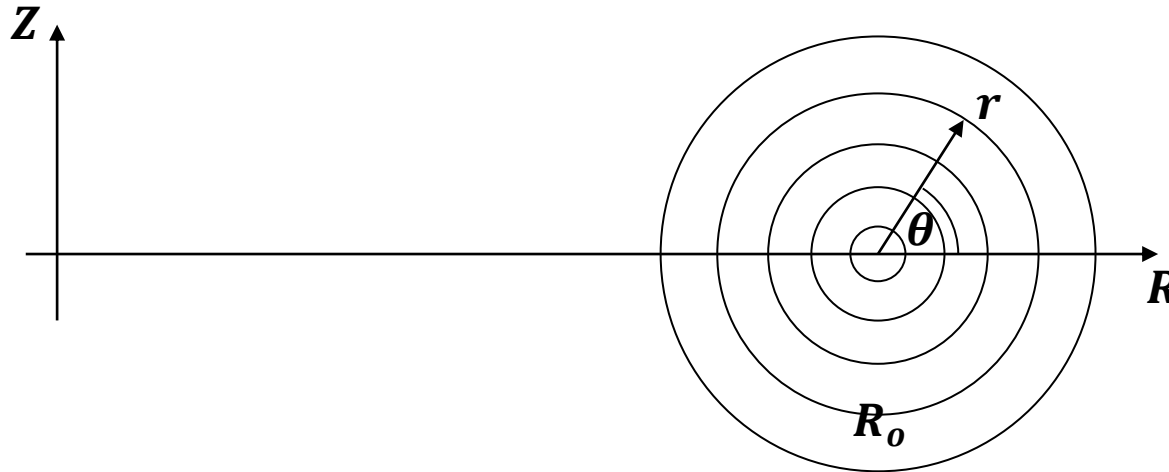
$$v^2 = v_{\perp}^2 + v_{\parallel}^2 = v_{\perp 0}^2 + v_{\parallel 0}^2$$

$$v_{\parallel}^2 = v^2 \left( 1 - \frac{v_{\perp 0}^2}{v^2} \frac{(1 - \epsilon \cos \theta)}{1 - \epsilon} \right)$$

$$\approx v^2 \left( 1 - \frac{v_{\perp 0}^2}{v^2} \left( 1 + 2\epsilon \sin^2 \left( \frac{\theta}{2} \right) \right) \right)$$

$$v_{\parallel}^2 = v^2 \left( 1 - \frac{v_{\perp}^2}{v^2} \right)$$

# Particles may be trapped by nonuniform magnetic field



$$R \gg r$$
$$B_T \gg B_p$$

$$\epsilon \equiv \frac{r}{R_0}$$

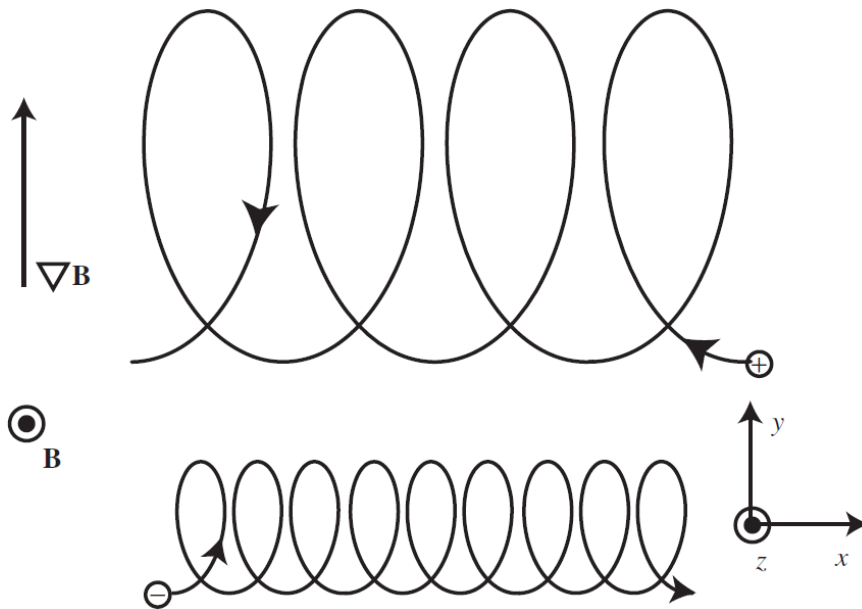
$$v_{\parallel}^2 \approx v^2 \left( 1 - \frac{v_{\perp 0}^2}{v^2} \left( 1 + 2\epsilon \sin^2 \left( \frac{\theta}{2} \right) \right) \right)$$

- For  $v_{\parallel}^2 \geq 0$ , particles are passing.
- For  $v_{\parallel}^2 \leq 0$ , particles are trapped.

# Charge particles drift across magnetic field lines when the magnetic field is not uniform or curved

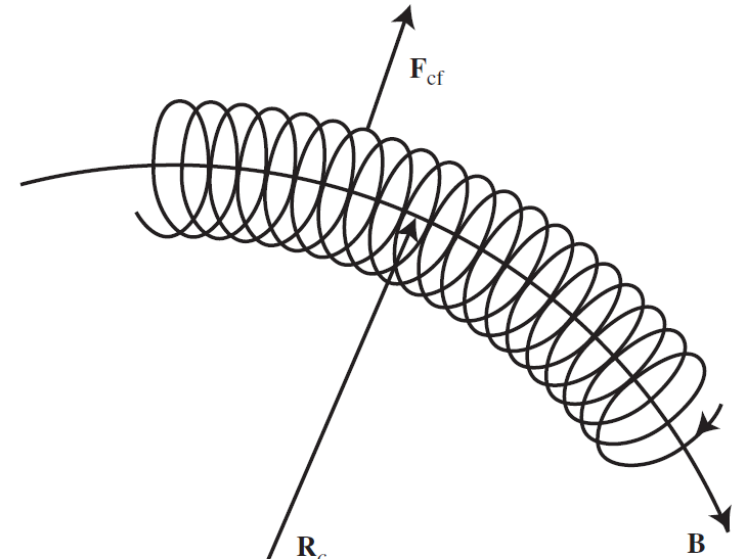


- Gradient-B drift



$$\vec{v}_{\nabla} = \frac{mv_{\perp}^2}{2q} \frac{\vec{B} \times \nabla B}{B^3}$$

- Curvature drift



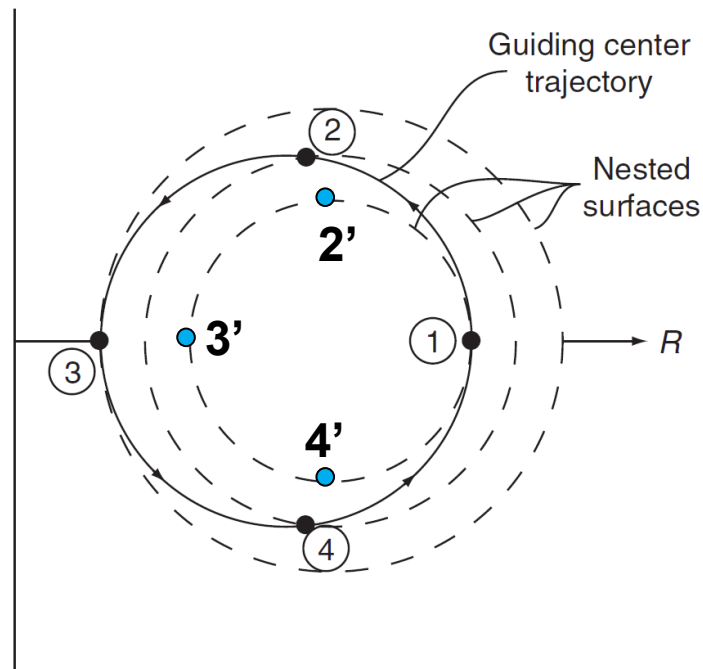
$$\vec{v}_R = \frac{mv_{\parallel}^2}{2q} \frac{\vec{R}_c \times \vec{B}}{R_c B^2}$$

$$\vec{v}_{\text{total}} = \vec{v}_R + \vec{v}_{\nabla} = \frac{\vec{B} \times \nabla B}{\omega_c B^2} \left( v_{\parallel}^2 + \frac{1}{2} v_{\perp}^2 \right) = \frac{m}{q} \frac{\vec{R}_c \times \vec{B}}{R_c^2 B^2} \left( v_{\parallel}^2 + \frac{1}{2} v_{\perp}^2 \right)$$

# For passing particles, they drift back to the original position with a “semicircle” orbit



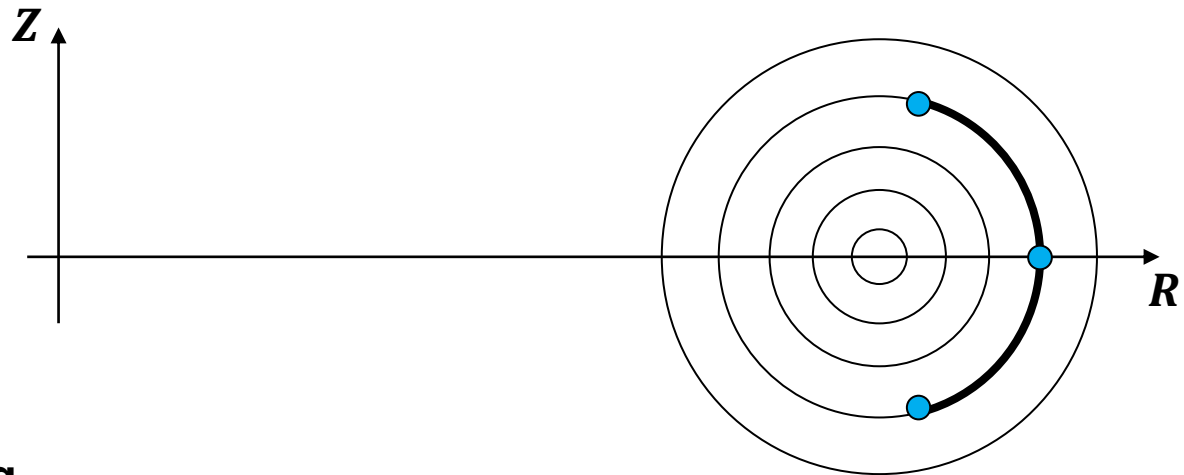
$$\vec{v}_{\text{total}} = \vec{v}_R + \vec{v}_\nabla = \frac{\vec{B} \times \nabla B}{\omega_c B^2} \left( v_{\parallel}^2 + \frac{1}{2} v_{\perp}^2 \right) = \frac{m}{q} \frac{\vec{R}_c \times \vec{B}}{R_c^2 B^2} \left( v_{\parallel}^2 + \frac{1}{2} v_{\perp}^2 \right)$$



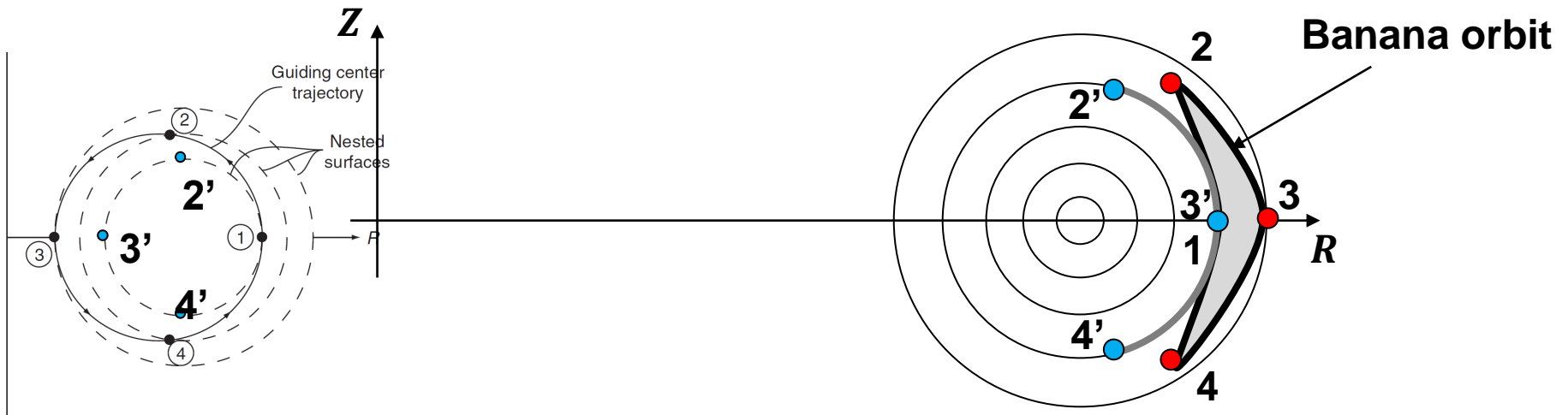
# For trapped particles, they drift back to the original position with a banana orbit



- W/o drifting

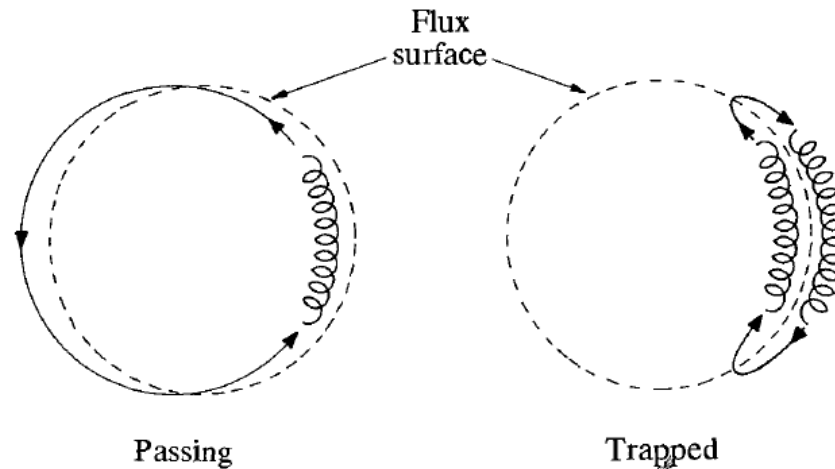
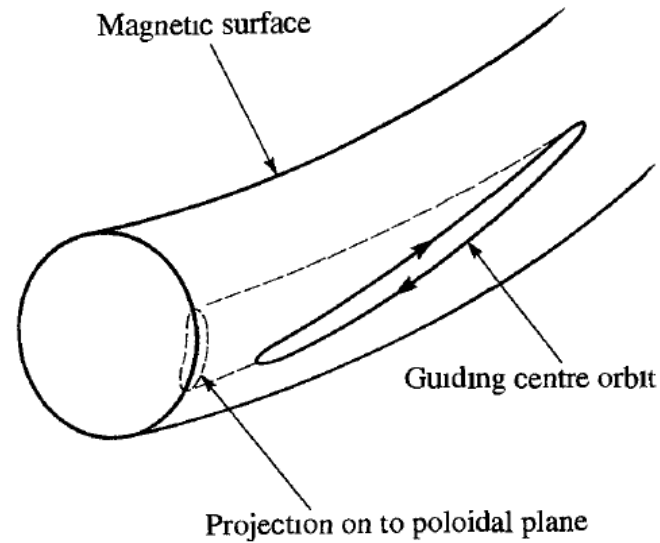


- W/ drifting

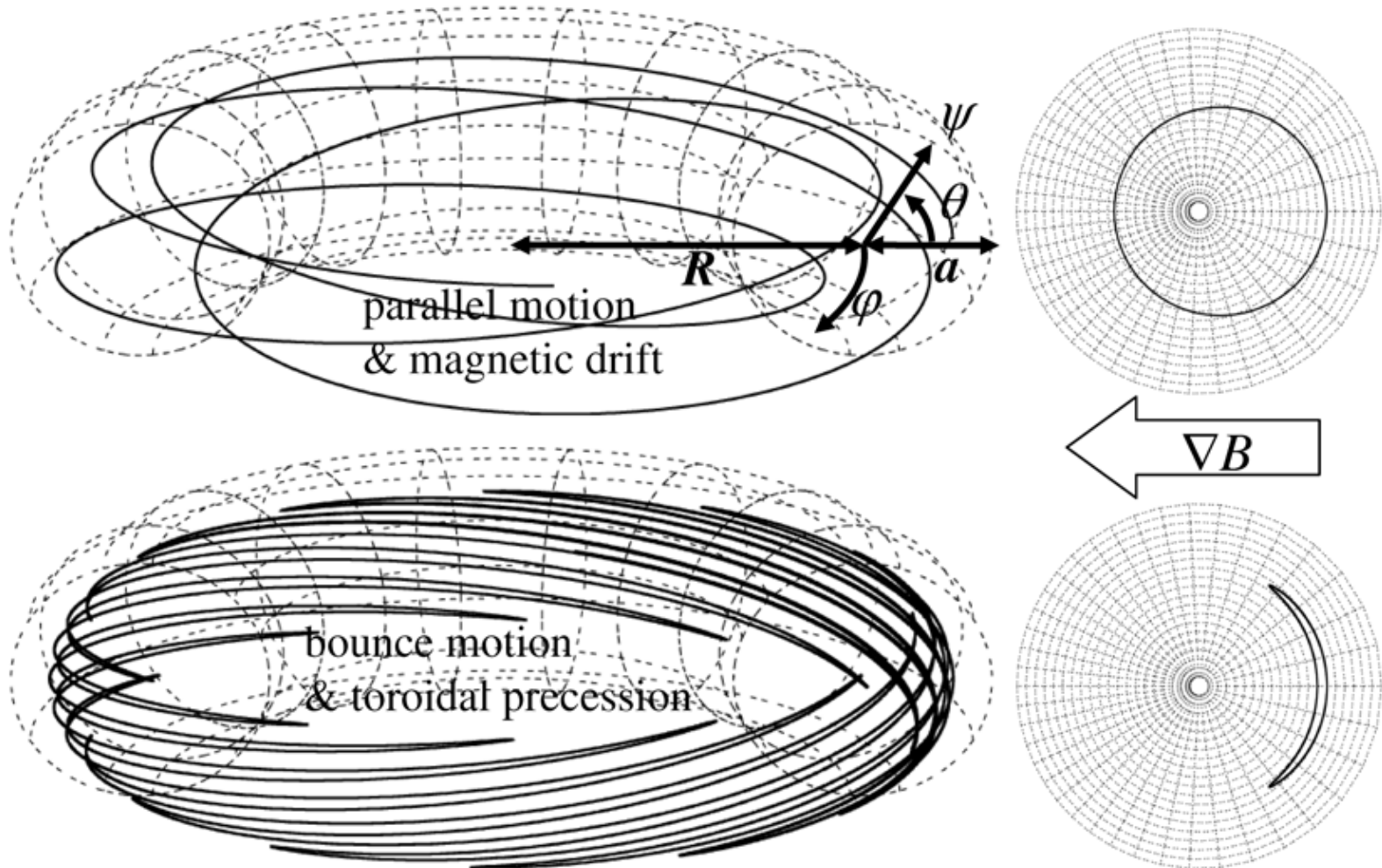




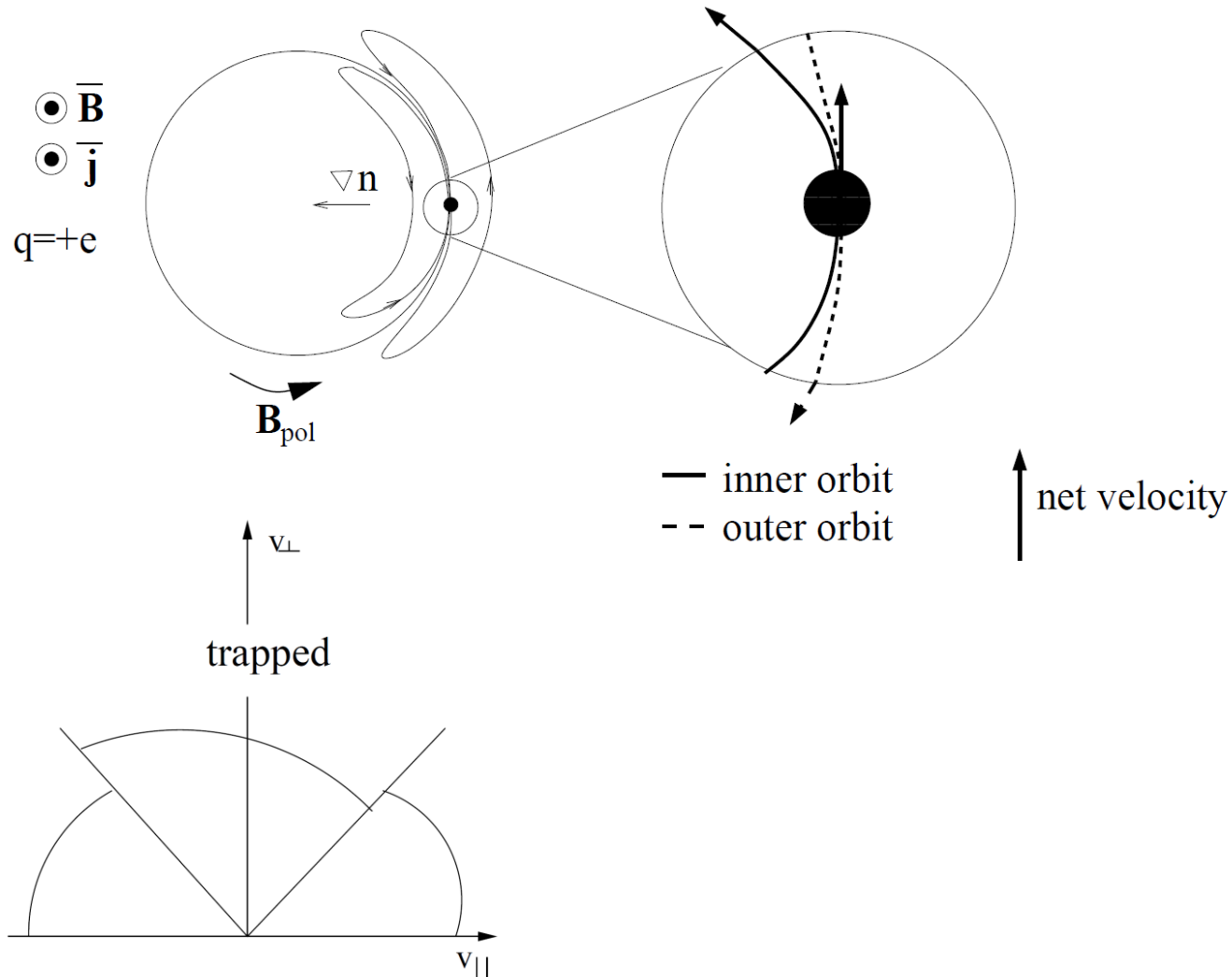
# Trajectories of charged particles



# The trajectories of charged particles follow the toroidal field lines



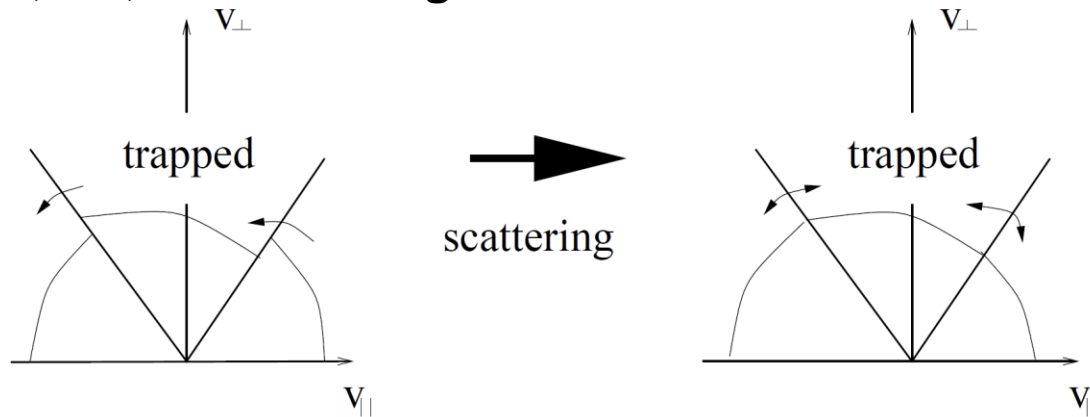
# A banana current is generated when there is a pressure gradient in the plasma



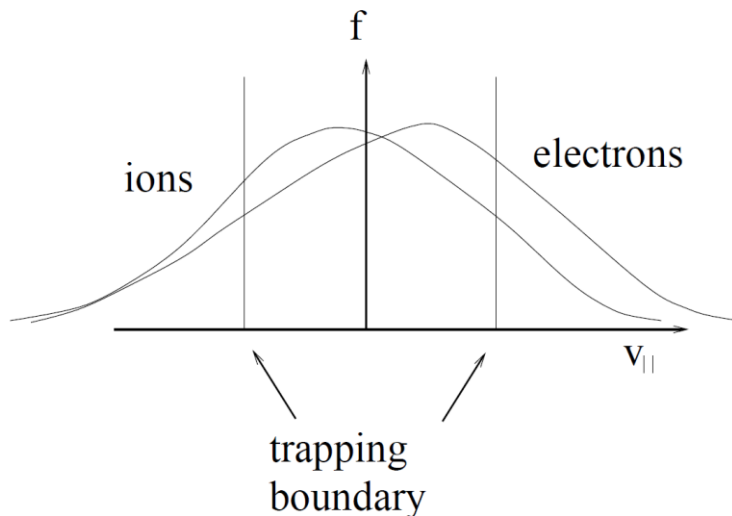
# Bootstrap current is generated when passing particles are scattered by the trapped particles



- Scattering smooths the velocity distribution and shifts it in the parallel direction, i.e., a current is generated. It is called the bootstrap current.

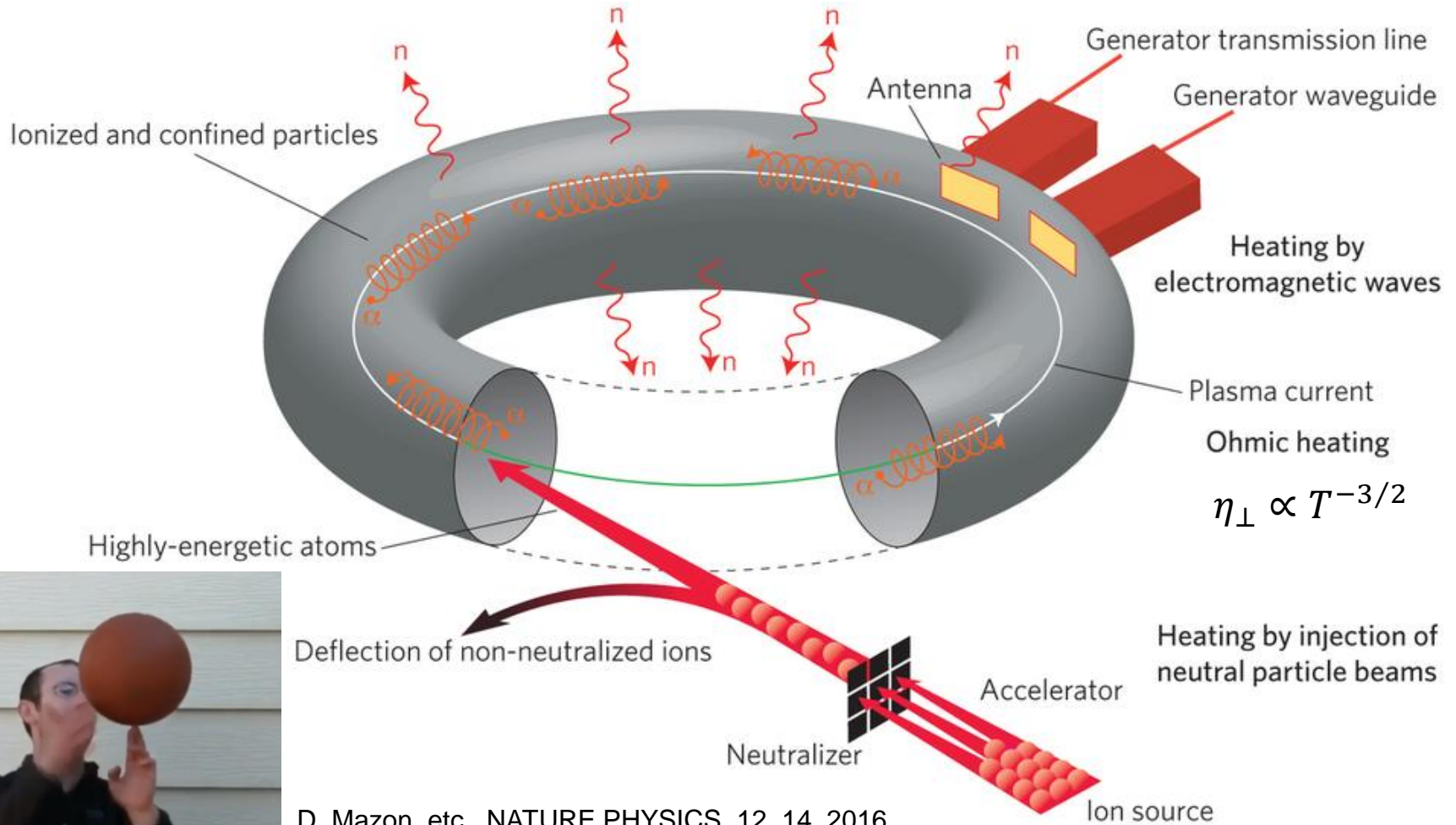


$$j = -enu_{||e} + enu_{||i} = 4\epsilon^{3/2} \frac{1}{B_p} T \frac{dn}{dr}$$



- The bootstrap current is vital for steady-state operation.

# Neutral beam injector is one of the main heat mechanisms in MCF



D. Mazon, etc., NATURE PHYSICS, 12, 14, 2016

<https://zh.wikihow.com/%E5%9C%A8%E6%89%8B%E6%8C%87%E4%B8%8A%E8%BD%AC%E7%AF%AE%E7%90%83>

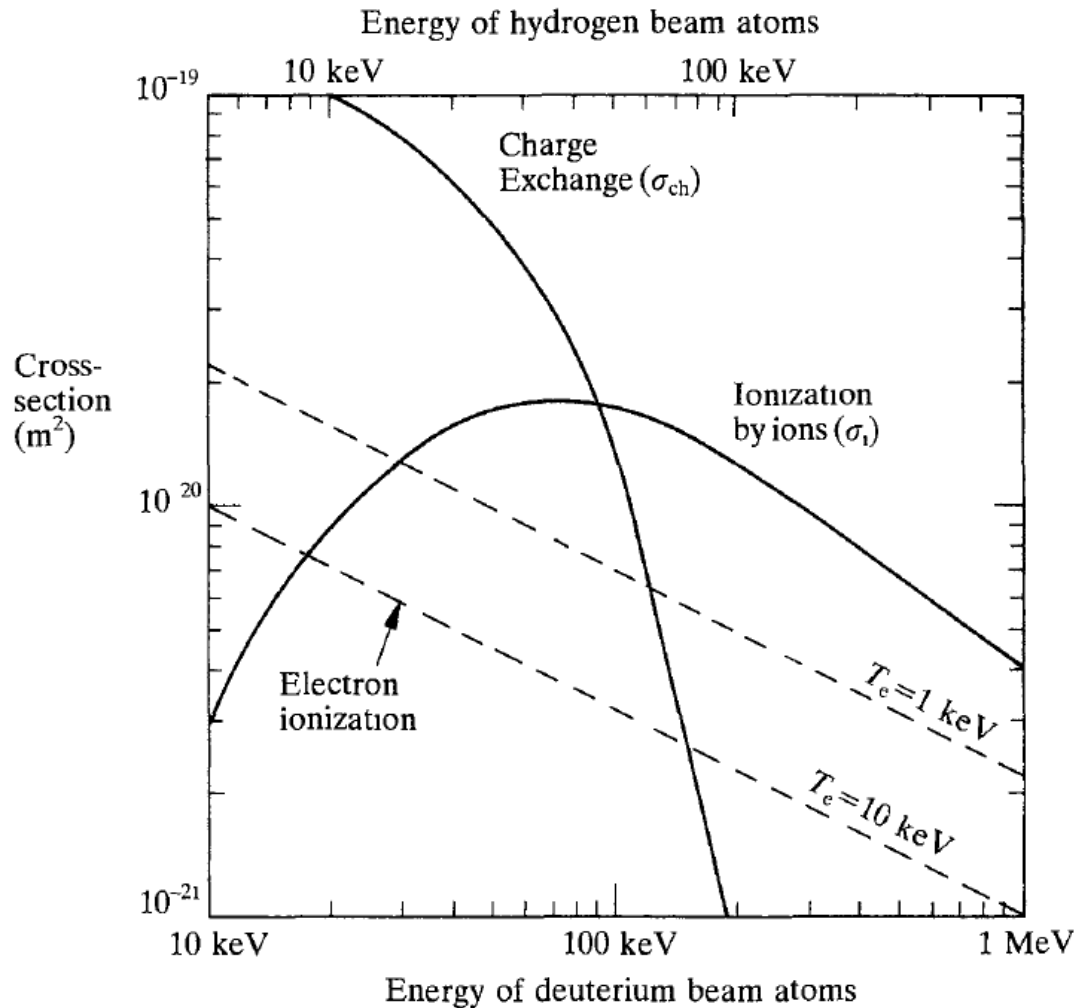
# Varies way of heating a MCF device



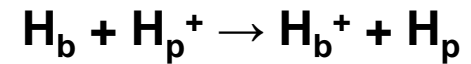
	System	Frequency/ energy	Maximum power coupled to plasma	Overall system efficiency	Development/ demonstration required	Remarks
ECRF	Demonstrated in tokamaks	28–157 GHz	2.8 MW, 0.2 s	30–40%	Power sources and windows, off-axis CD	Provides off-axis CD
	ITER needs	150–170 GHz	50 MW, SS			
ICRF	Demonstrated in tokamaks	25–120 MHz	22 MW, 3 s (L-mode); 16.5 MW, 3 s (H-mode)	50–60%	ELM tolerant system	Provides ion heating and smaller ELMs
	ITER needs	40–75 MHz	50 MW, SS			
LHRF	Demonstrated in tokamaks	1.3–8 GHz	2.5 MW, 120 s; 10 MW, 0.5 s	45–55%	Launcher, coupling to H-mode	Provides off-axis CD
	ITER needs	5 GHz	50 MW, SS			
NBI	+ve ion Demonstrated in tokamaks	80–140 keV	40 MW, 2 s; 20 MW, 8 s	35–45%	None	Not applicable
	ITER needs	None	None			
NBI	–ve ion Demonstrated in tokamaks	0.35 MeV	5.2 MW, D <sup>-</sup> , 0.8 s (from 2 sources)	~37%	System, tests on tokamak, plasma CD	provides rotation
	ITER needs	1 MeV	50 MW, SS			

‘SS’ indicates steady state

# Neutral atoms are ionized by collisions in the plasma



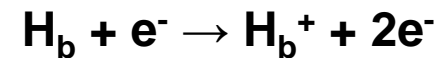
- Charge exchange:



- Ionization by ions



- Ionization by electrons

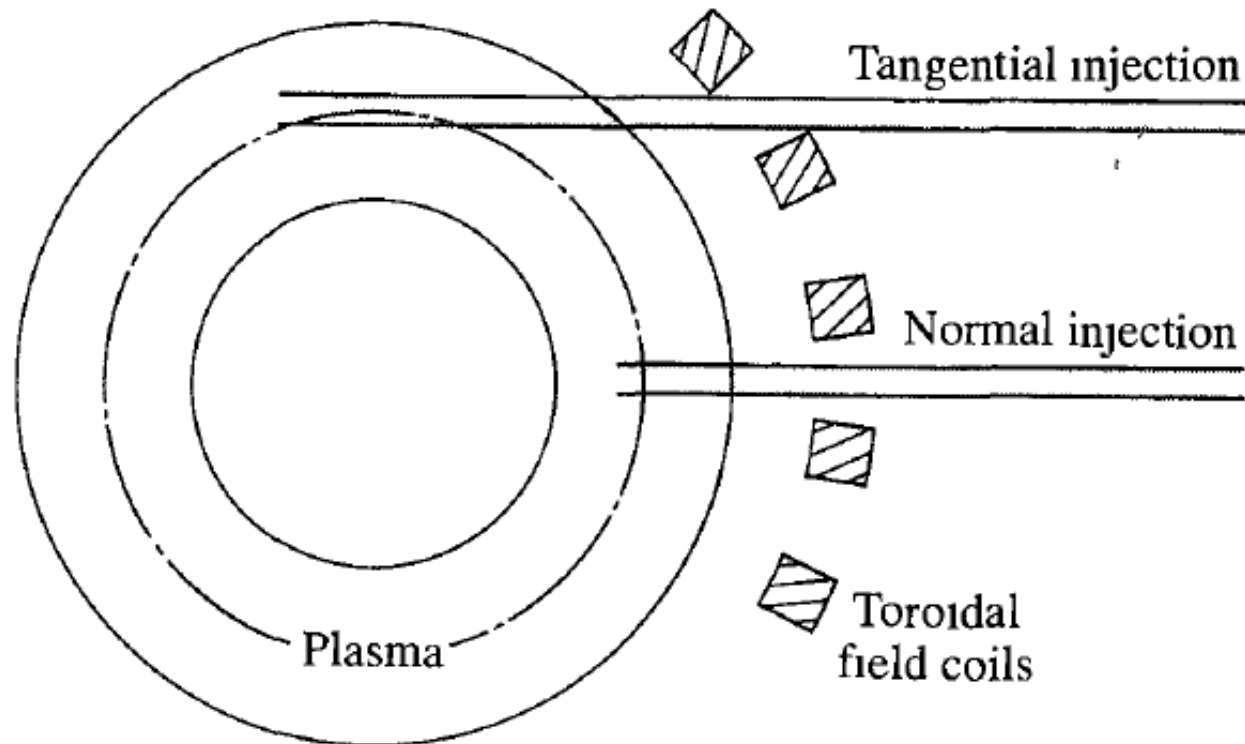


b: beam  
p: plasma

# Neutral beam absorption length increases with tangential injection

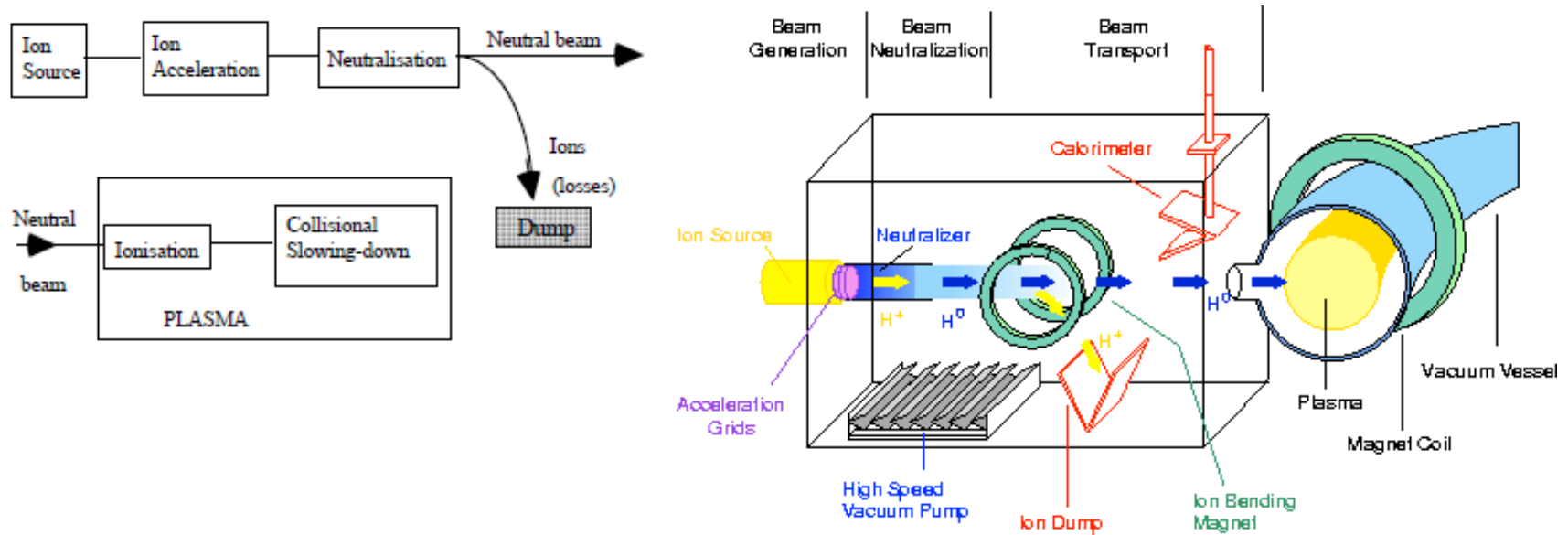


- It is more difficult to access through the toroidal field coils with tangential injection.



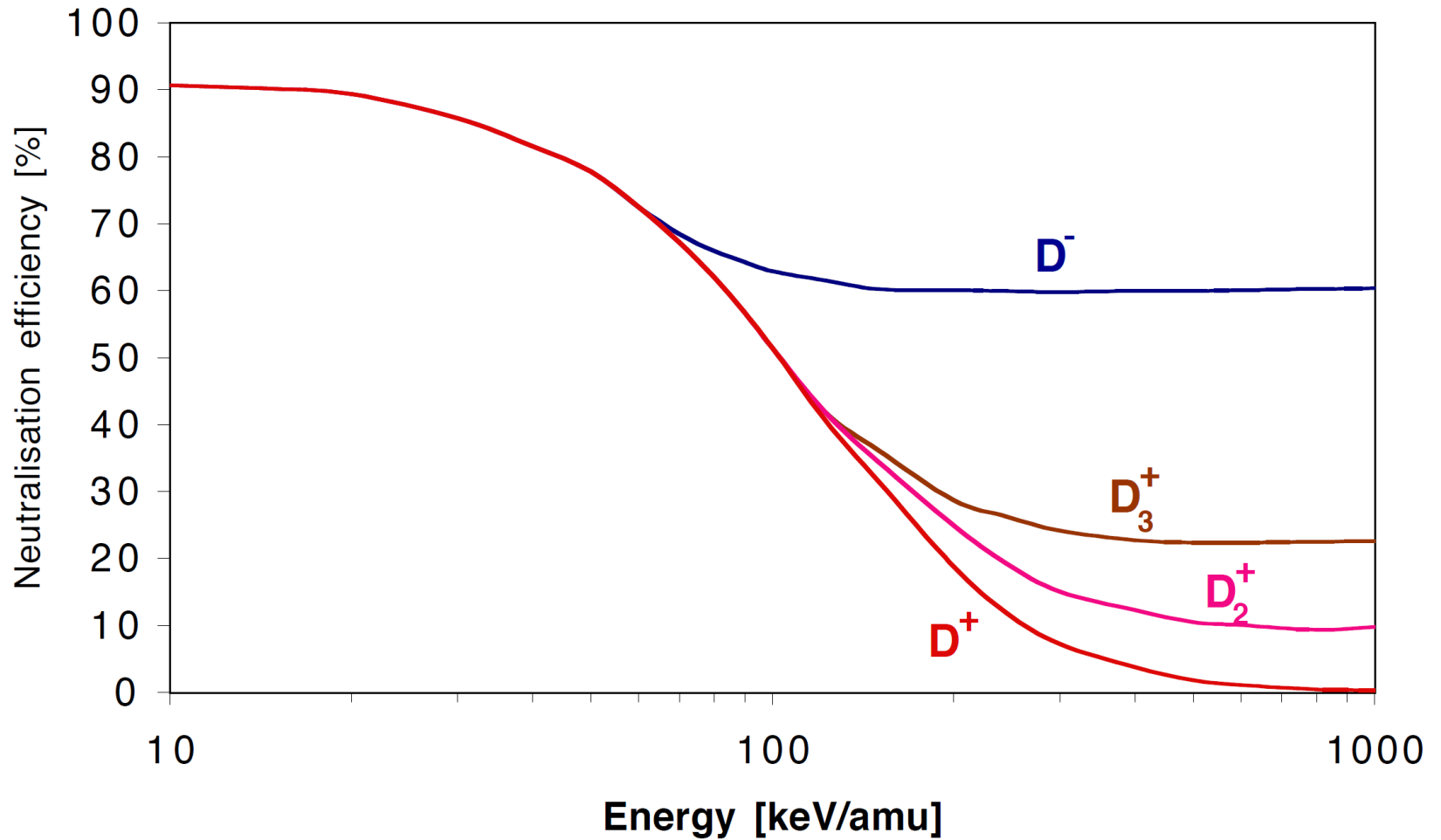


# Neutral particles heat the plasma via coulomb collisions



1. create energetic (fast) neutral ions
2. ionize the neutral particles
3. heat the plasma (electrons and ions) via Coulomb collisions

# Negative ion source is preferred due to higher neutralization efficiency

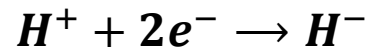
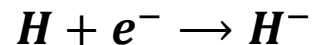
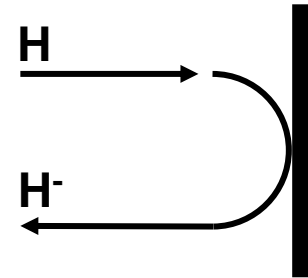


# There are two ways to make negative ions – surface and volume production

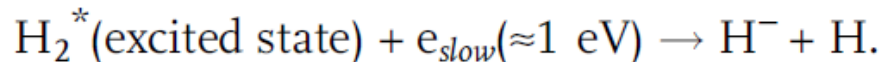
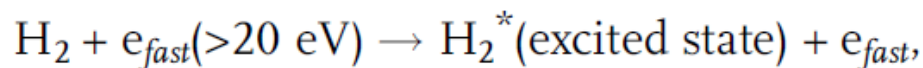


- **Surface production, depends on :**

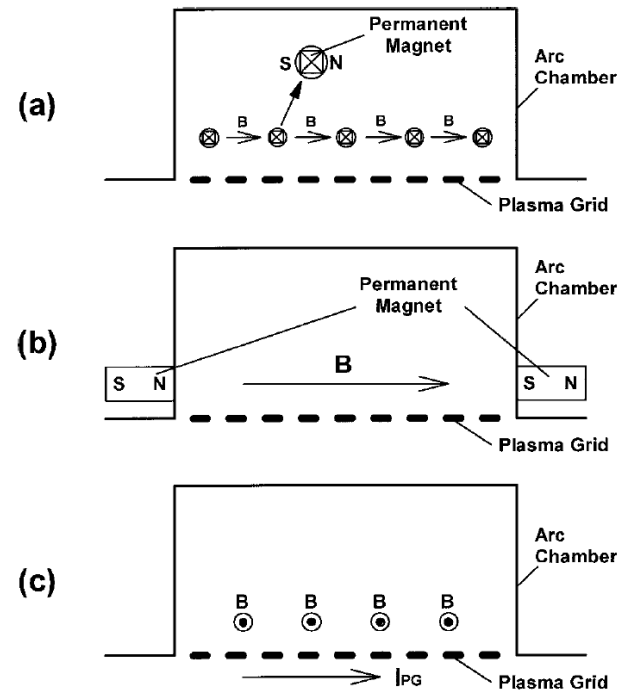
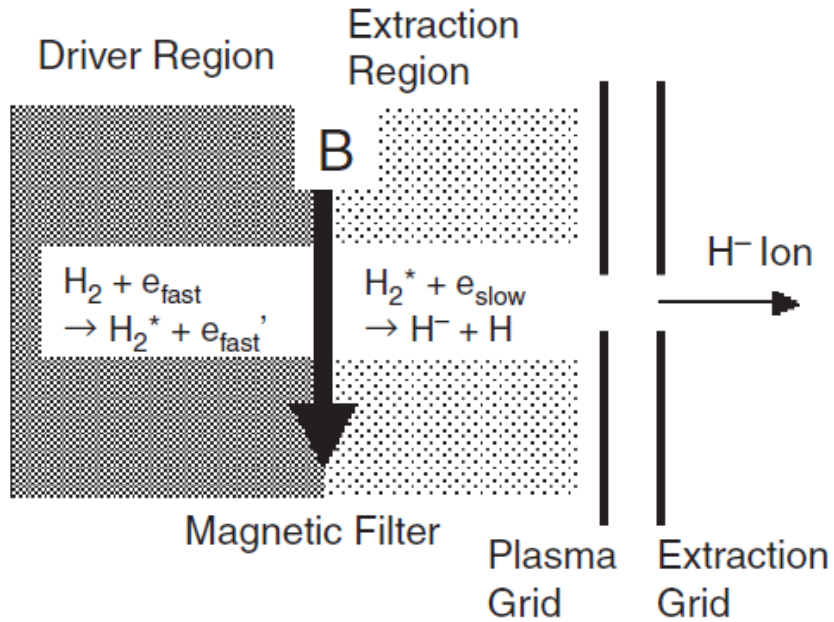
- **Work function  $\Phi$**
- **Electron affinity level, 0.75 eV for  $H^-$**
- **Perpendicular velocity**
- **Work function can be reduced by covering the metal surface with cesium**



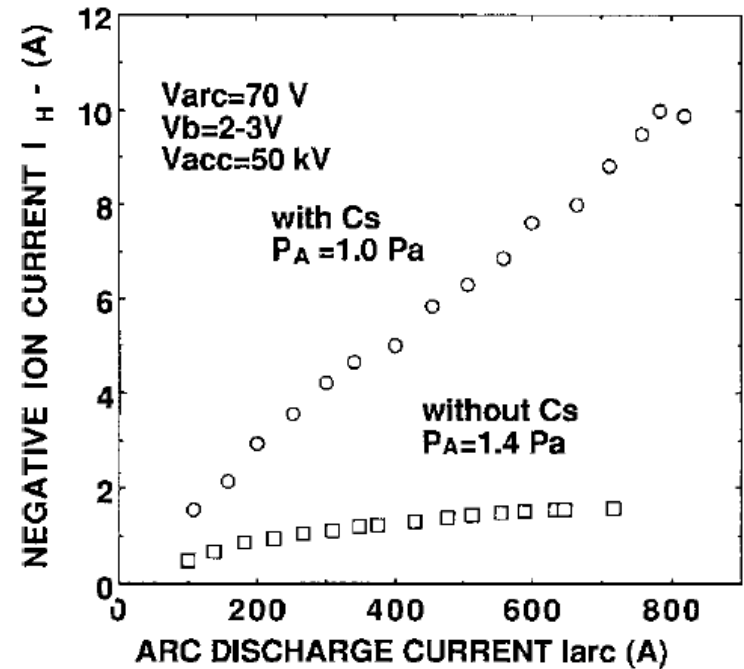
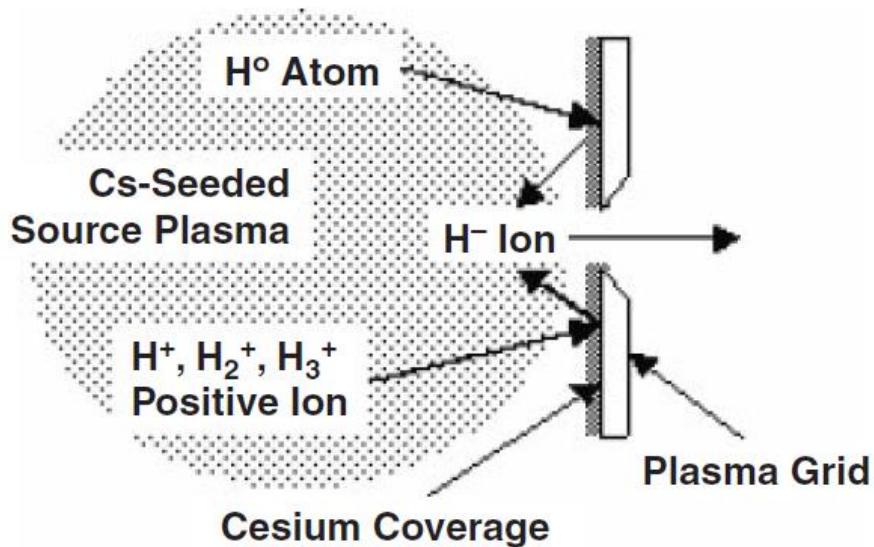
- **Volume production:**



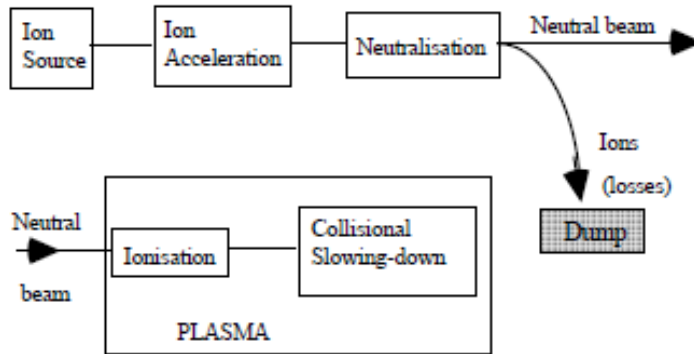
# Two-chamber method of negative ions in volume production with a magnetic filter



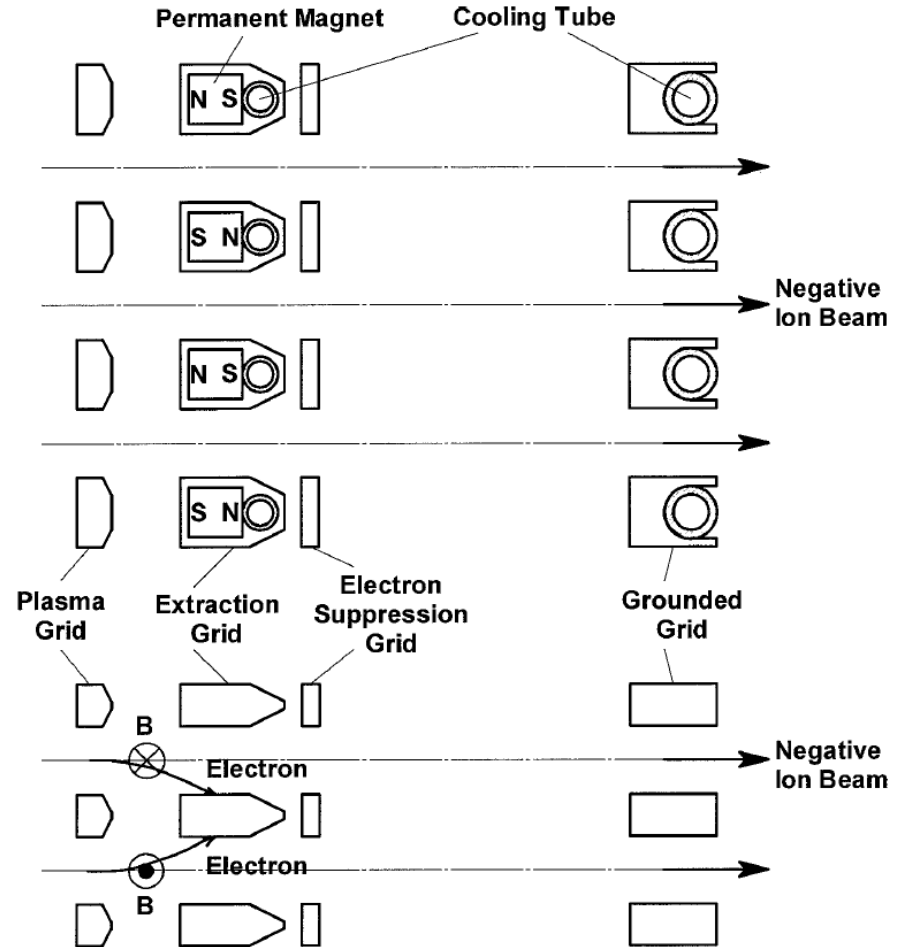
# Adding cesium increases negative ion current



# Electrons need to be filtered out since they are extracted together with negative ions



(a)

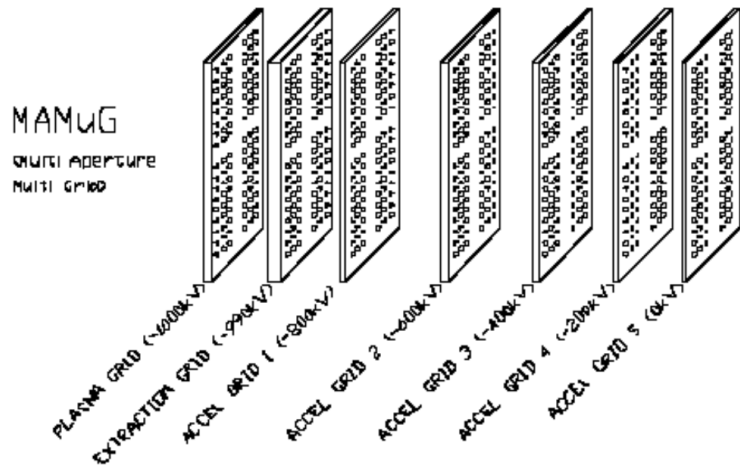
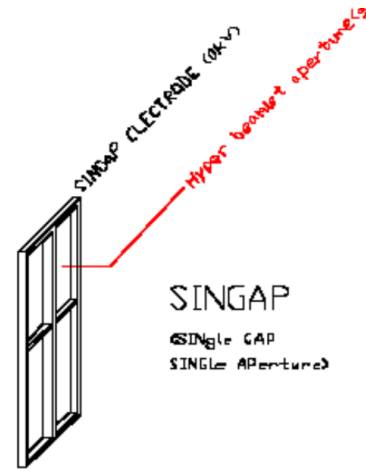
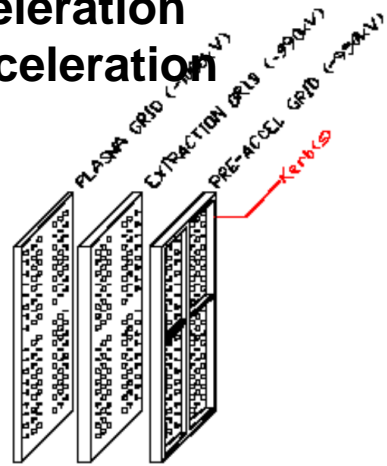


(b)

# Acceleration

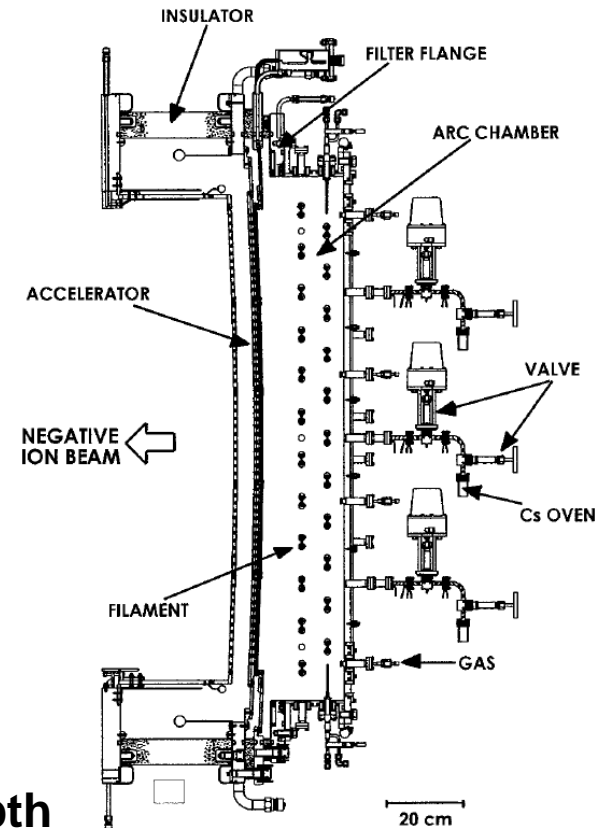
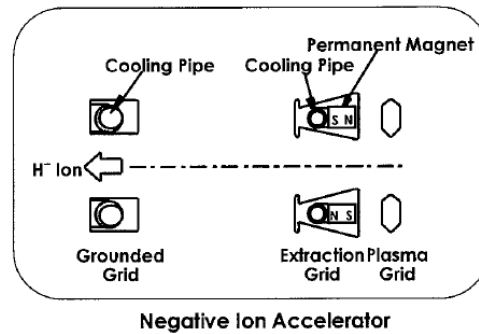


- Multi-stage acceleration
- Single-stage acceleration



The ITER neutral beam system: status of the project and review of the main technological issues, presented by V. Antoni

# NBI system of the LHD fusion machine



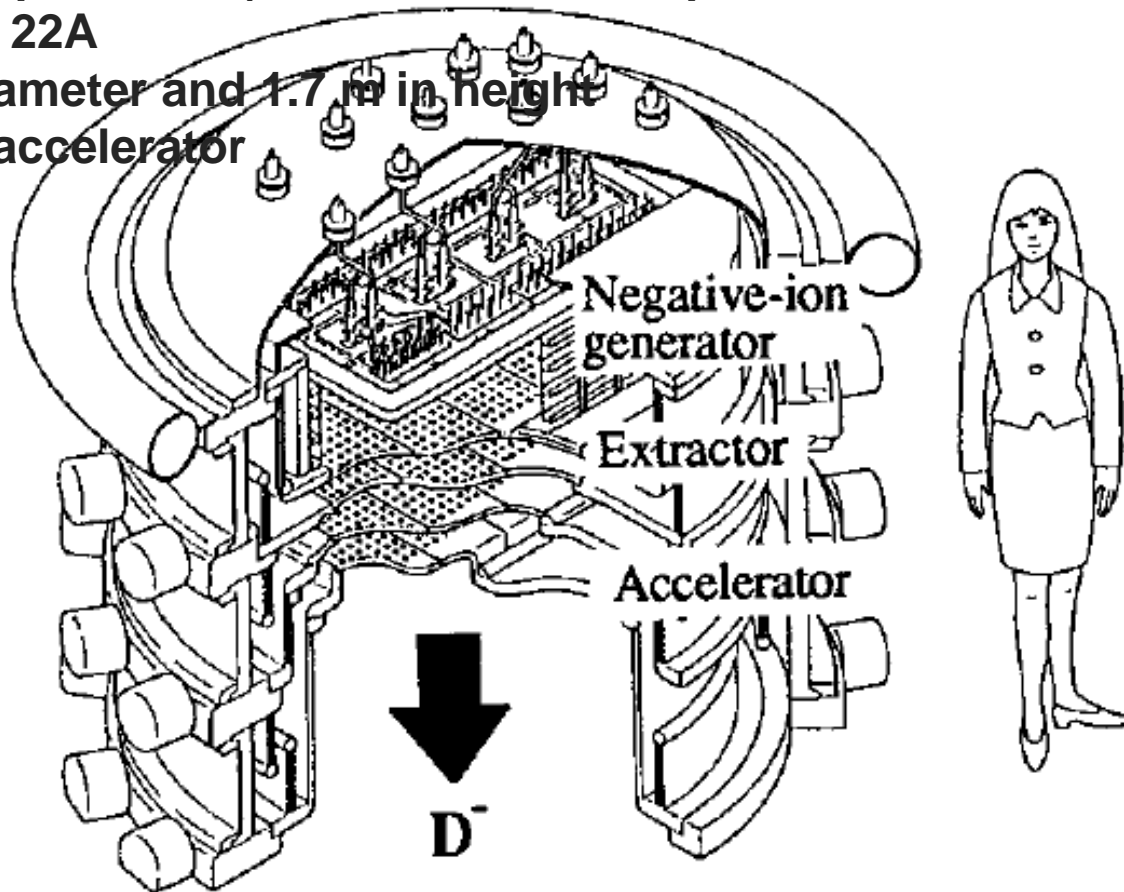
- **180 keV and 30 A**
- **Arc chamber: 35 cm x 145 cm, 21cm in depth**
- **Single stage accelerator**



# JT60U NBI system



- JT-60 (Japan-Torus) is a tokamak in Japan.
- 550 keV, 22A
- 2m in diameter and 1.7 m in height
- 3-stage accelerator



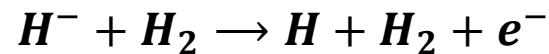
# Neutralization

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- **Gas neutralization**

- **Collisions between fast negative ions and atoms**

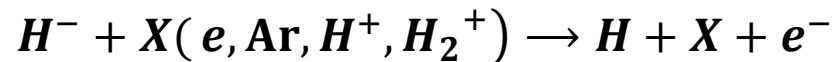


- **Fast ions can lose another electron after neutralized**



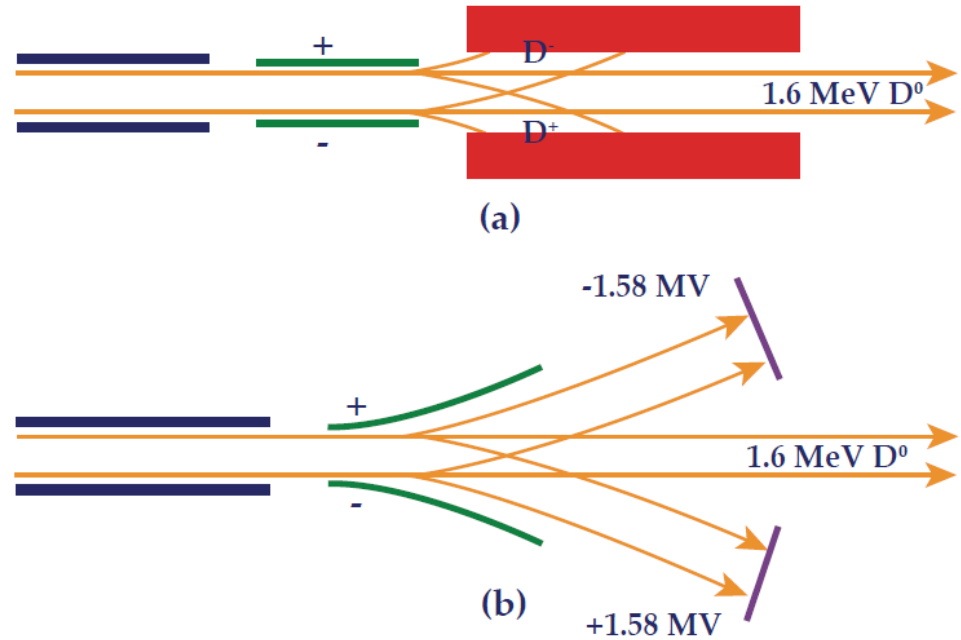
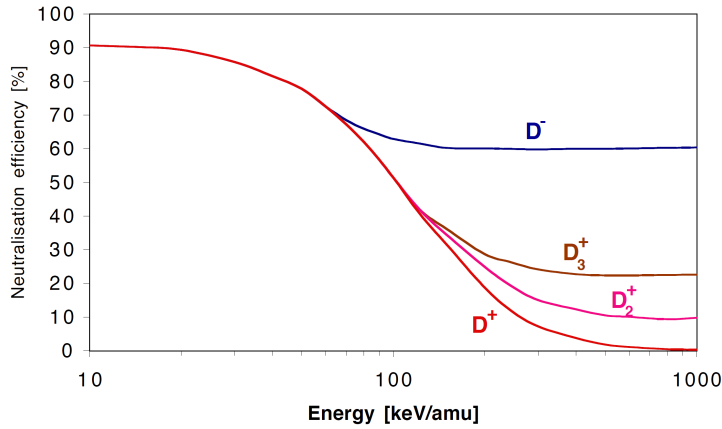
- **Plasma neutralization**

- **Collisions with charged particles in plasma**



- **The efficiencies reach up to 85% for fully ionized hydrogen plasma**

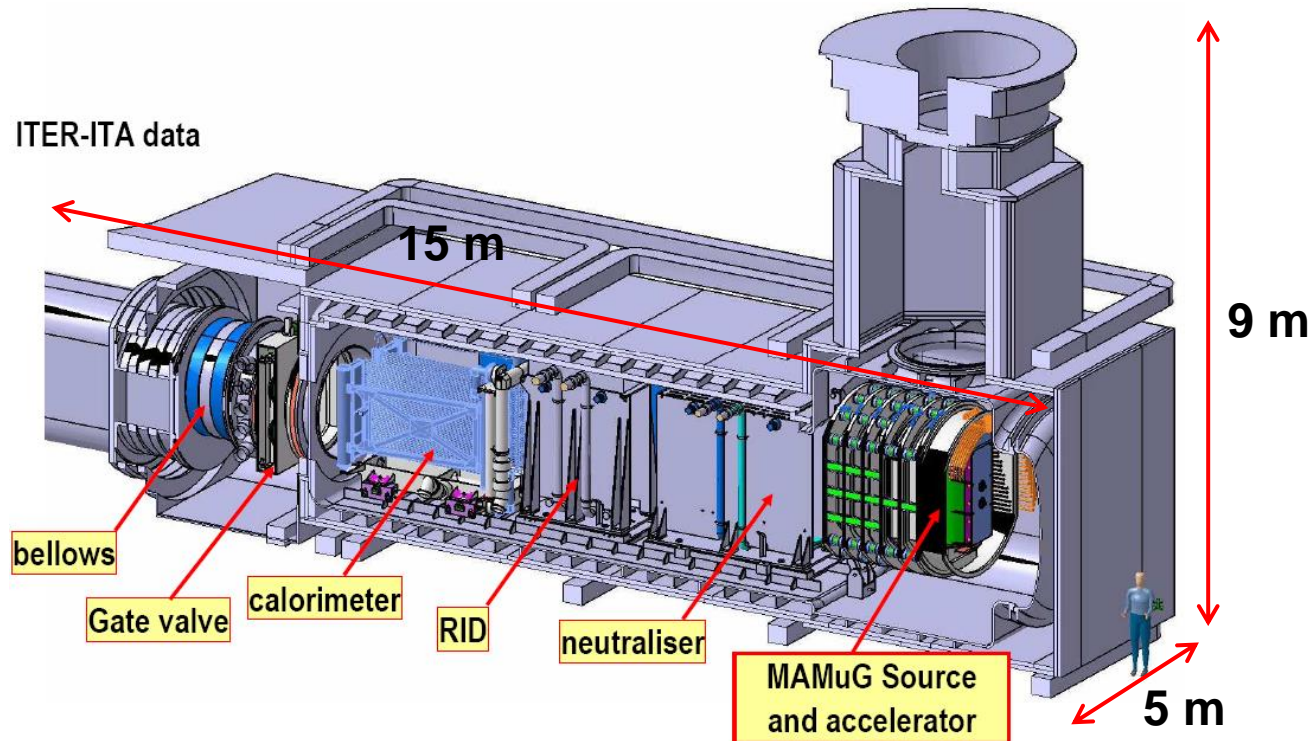
# Beam dump



# NBI for ITER

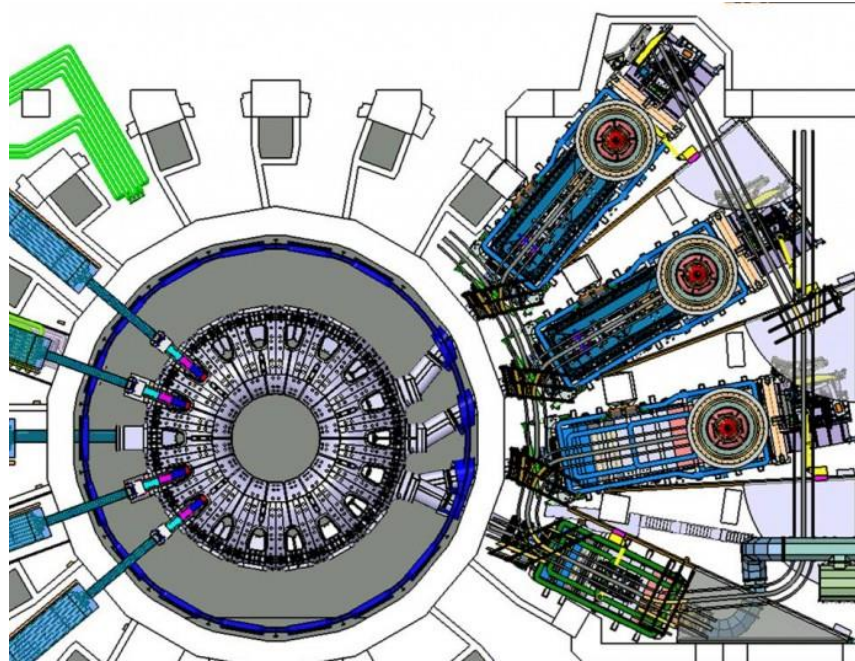


- beam components (Ion Source, Accelerator, Neutralizer, Residual Ion Dump and Calorimeter)
- other components (cryo-pump, vessels, fast shutter, duct, magnetic shielding, and residual magnetic field compensating coils)



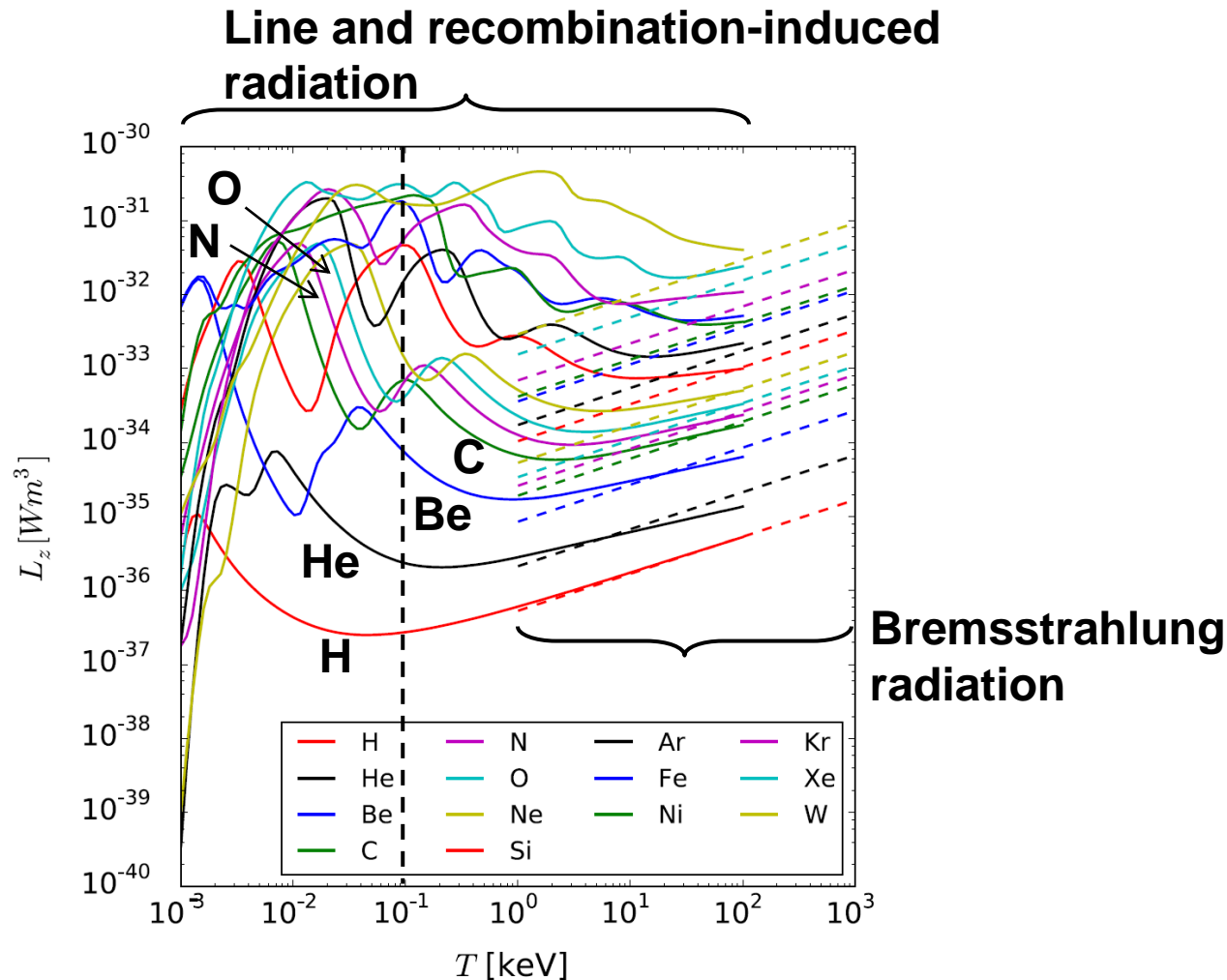
The ITER neutral beam system: status of the project and review of the main technological issues, presented by V. Antoni

# Neutral beam penetration



- **Parallel direction**
  - Longest path through the densest part of the plasma
  - Harder to be built
- **Perpendicular direction**
  - Path is short
  - Larger perpendicular energies leads to larger losses
  - Easier to be built

# Temperature of 100 eV is the threshold of radiation barrier by impurities



# Reference for MCF

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- **Jeffrey P. Freidberg, Ideal Magnetohydrodynamics**
- **John Wesson, Tokamaks**
- **Tokamak Physics by 陳騷 院士**

# Course Outline

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- **Inertial confinement fusion (ICF)**
  - Plasma frequency and critical density
  - Direct- and indirect- drive
  - Laser generated pressure (Inverse bremsstrahlung and Ablation pressure)
  - Burning fraction, why compressing a capsule?
  - Implosion dynamics
  - Shock (Compression with different adiabat)
  - Laser pulse shape
  - Rocket model, shell velocity
  - Laser-plasma interaction (Stimulated Raman Scattering, SRS; Stimulated Brillouin Scattering, SBS; Two-plasmon decay )
  - Instabilities (Rayleigh-taylor instability, Kelvin-Helmholtz instability, Richtmeyer-Meshkov instability)



# Under what conditions the plasma keeps itself hot?



- **Steady state 0-D power balance:**

$$S_{\alpha} + S_h = S_B + S_k$$

$S_{\alpha}$ :  $\alpha$  particle heating

$S_h$ : external heating

$S_B$ : Bremsstrahlung radiation

$S_k$ : heat conduction lost

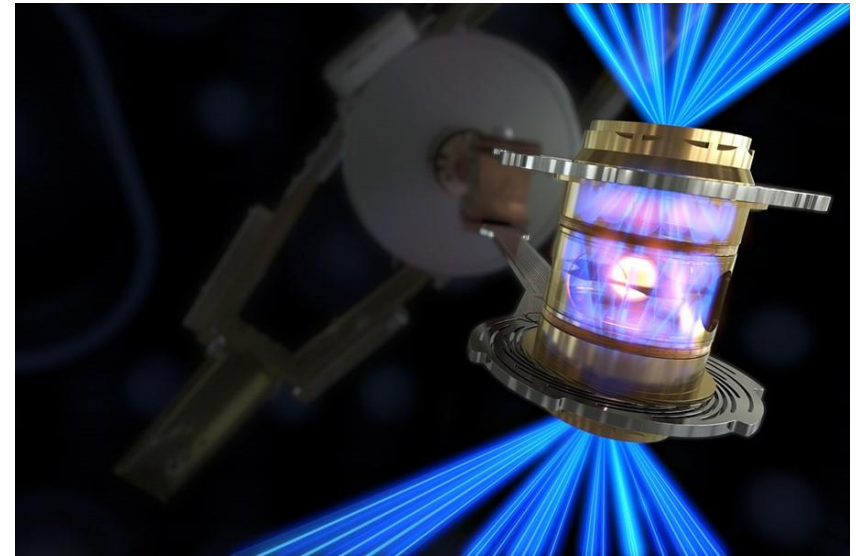
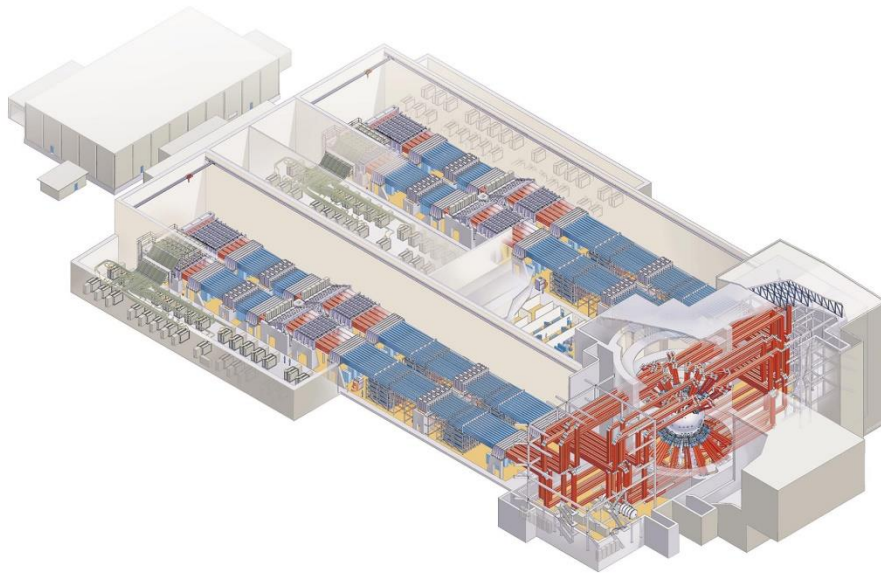
**Ignition condition:  $P\tau > 10 \text{ atm-s} = 10 \text{ Gbar} \cdot \text{ns}$**

- **P: pressure, or called energy density**
- **$\tau$  is confinement time**

# Significant breakthrough was achieved in ICF recently



- Inertial confinement fusion (ICF)



- **National Ignition Facility (NIF) demonstrated a gain greater than 1 for the first time on 2022/12/5. The yield of 3.15 MJ from the 2.05-MJ input laser energy, i.e.,  $Q=1.5$ .**

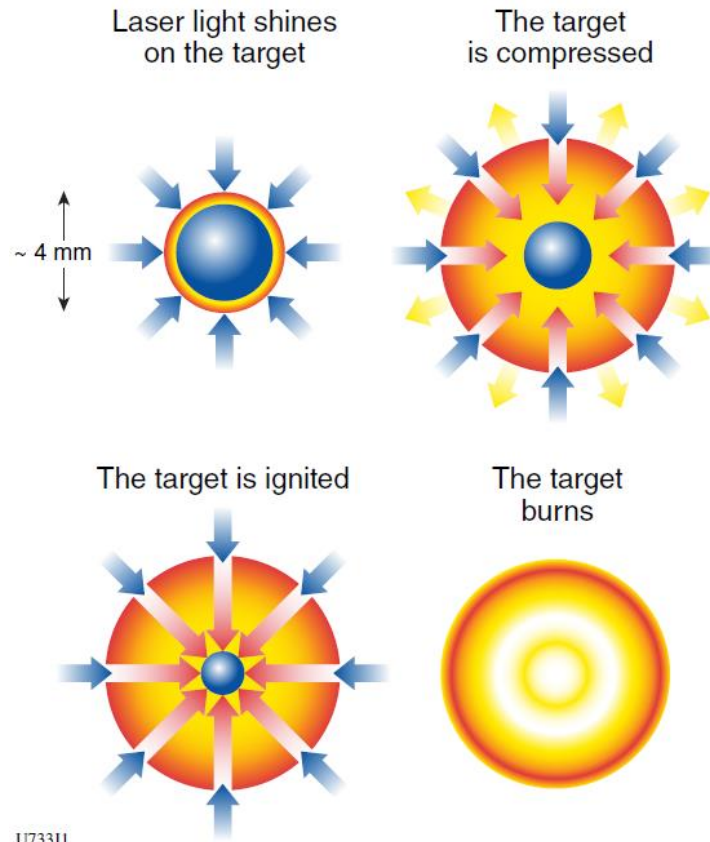
<https://zh.wikipedia.org/wiki/國家點火設施>

<https://www.science.org/content/article/historic-explosion-long-sought-fusion-breakthrough>

# Don't confine it!

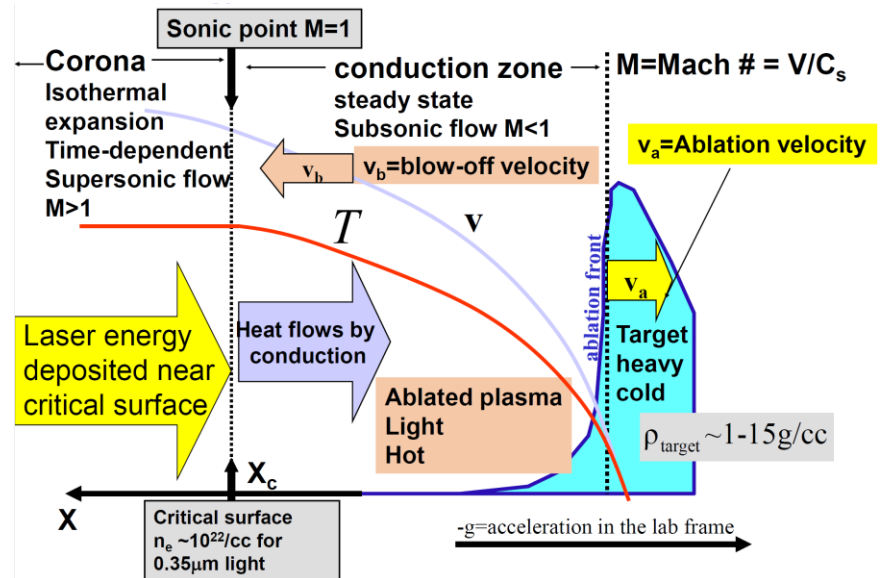
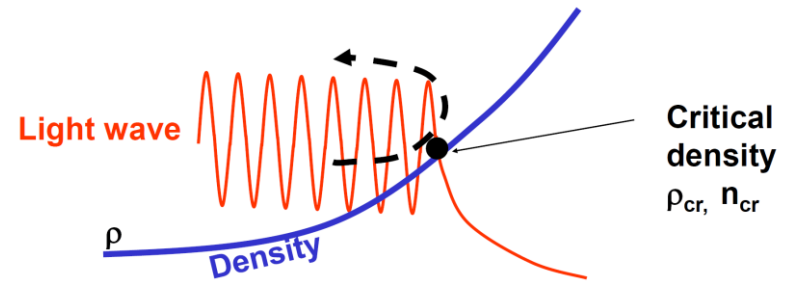
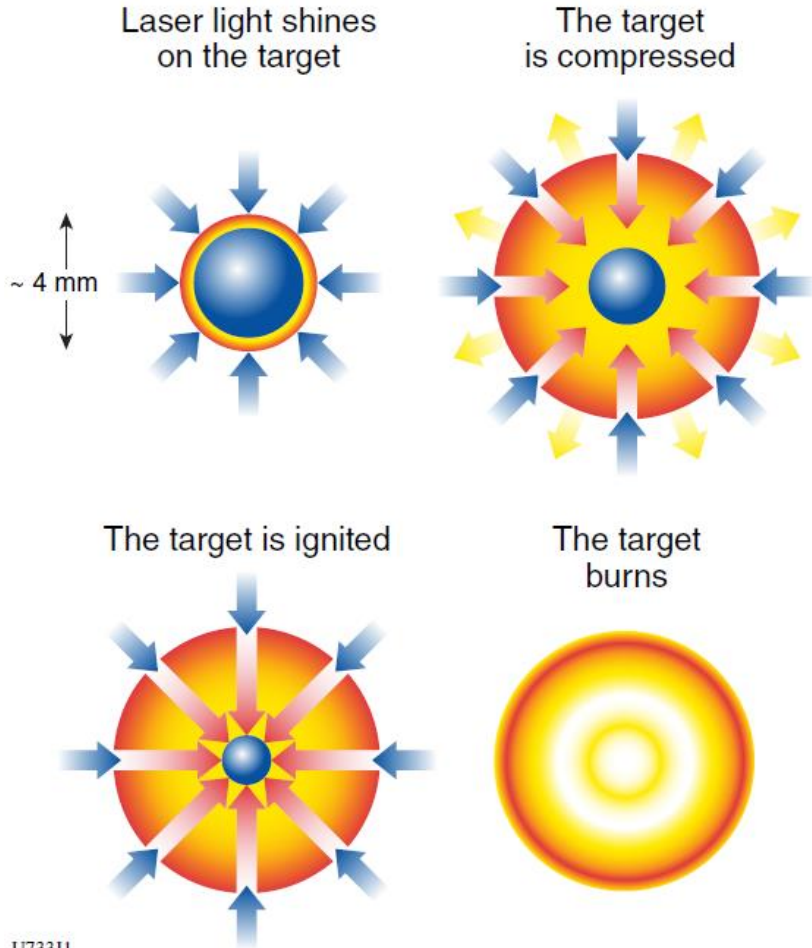


- **Solution 2: Inertial confinement fusion (ICF). Or you can say it is confined by its own inertia: P~Gigabar,  $\tau$ ~nsec, T~10 keV ( $10^8$  °C)**



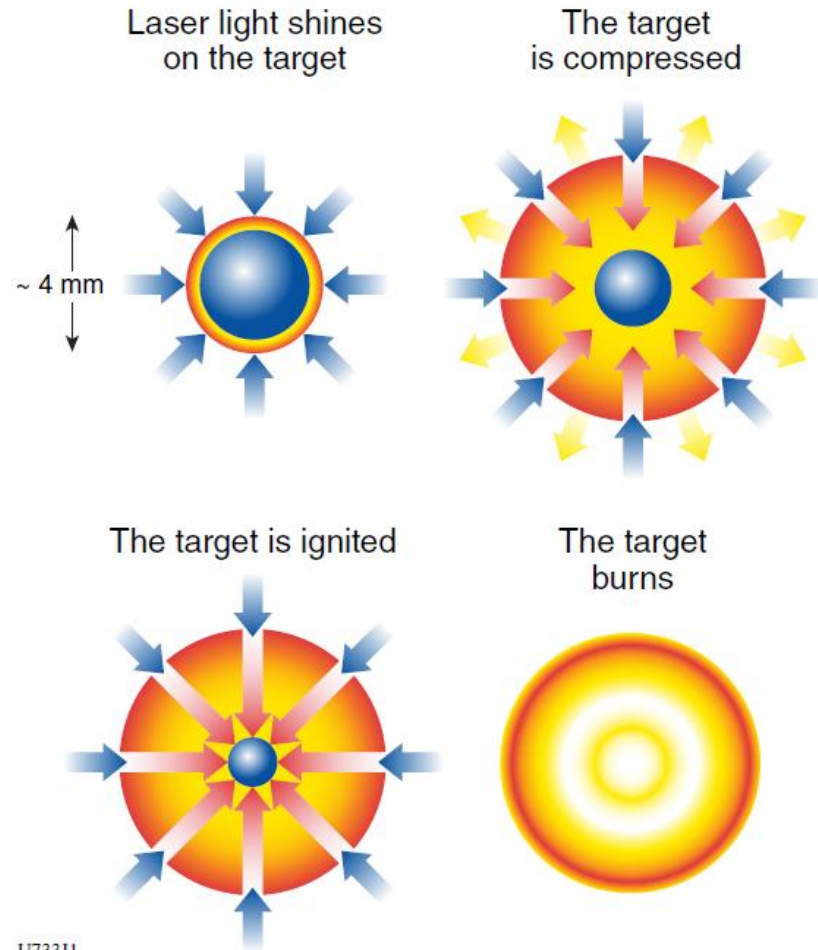
U733J1

# Compression happens when outer layer of the target is heated by laser and ablated outward

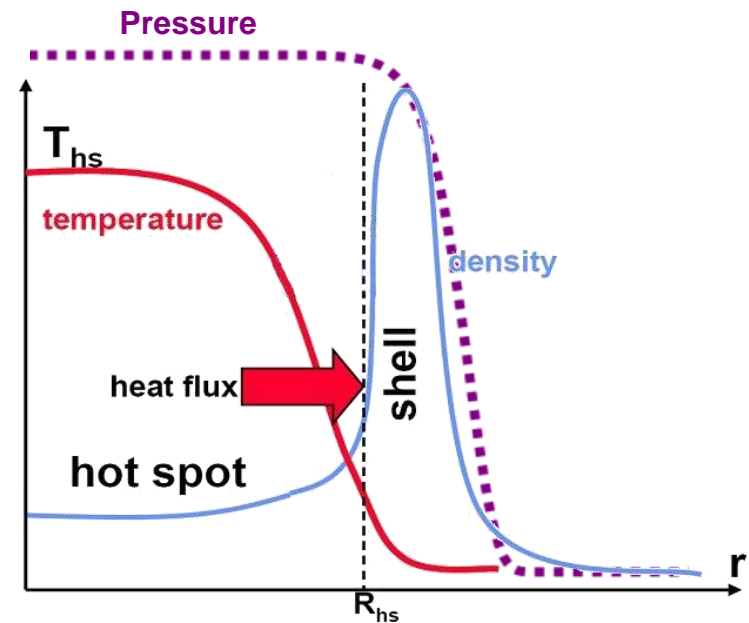


Inertial confinement fusion: an introduction, Laboratory for Laser Energetics, University of Rochester  
 R. Betti, HEDSA HEDP Summer School, 2015

# Plasma is confined by its own inertia in inertial confinement fusion (ICF)



## Spatial profile at stagnation

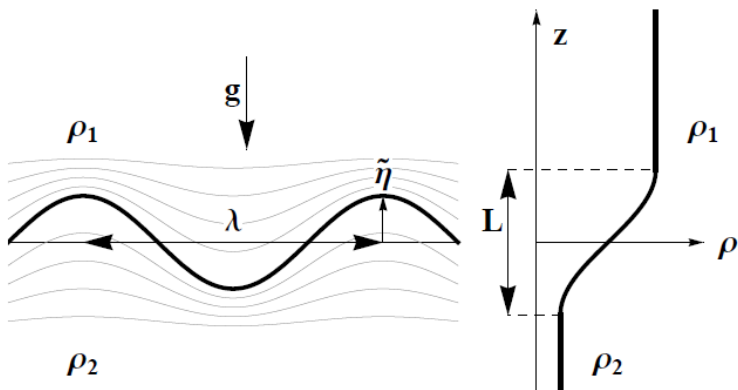


U733J1

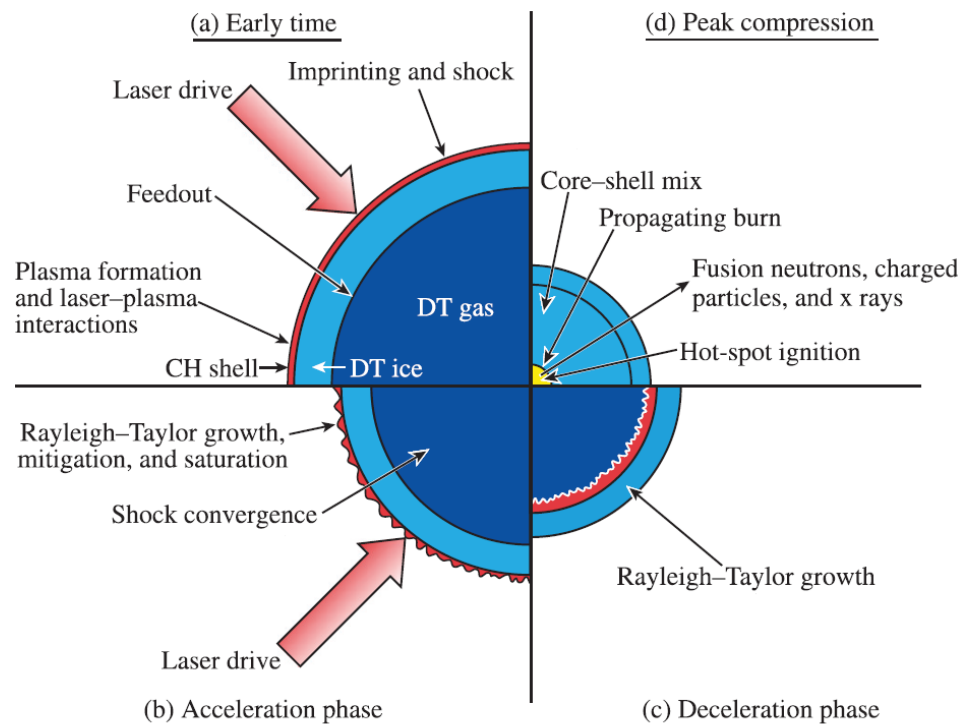
# A ball can not be compressed uniformly by being squeezed between several fingers



## • Rayleigh-Taylor instability



## • Stages of a target implosion



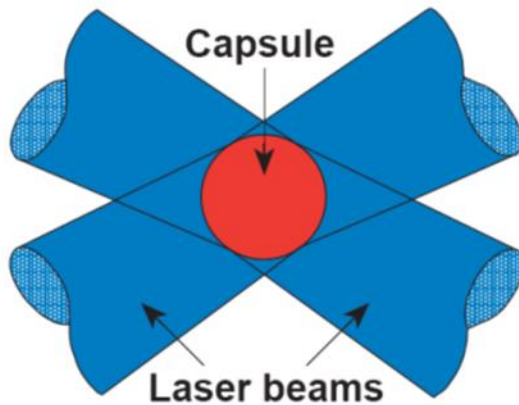
E9886J1



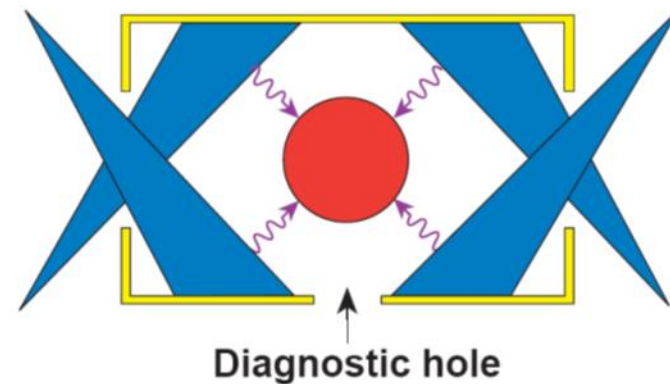
# A spherical capsule can be imploded through directly or indirectly laser illumination



Direct-drive target

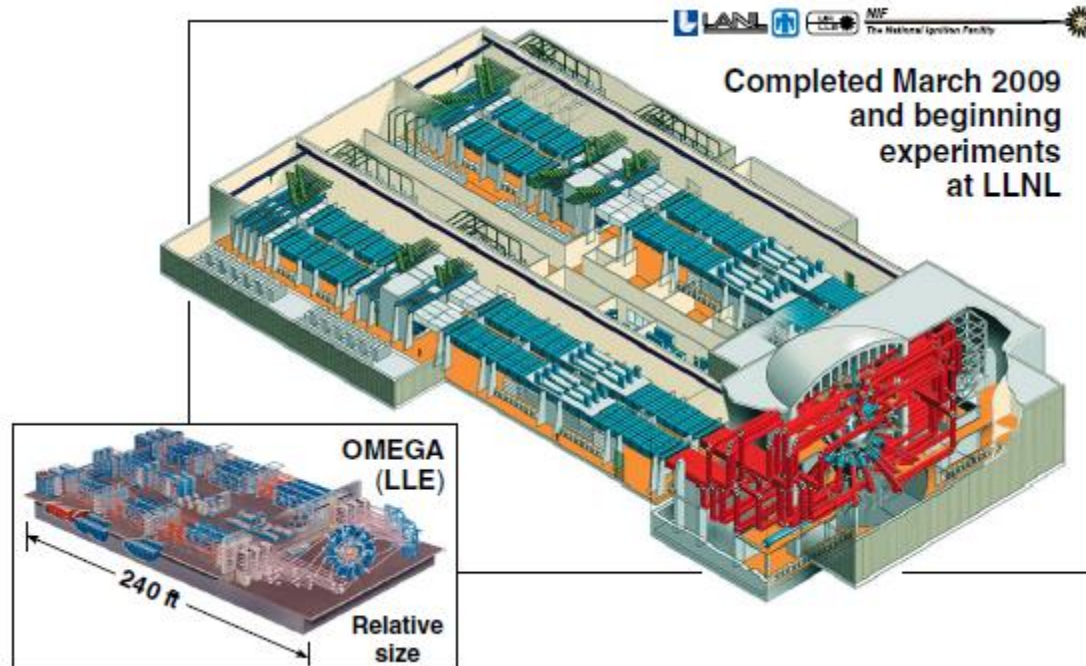


Indirect-drive target



*Hohlraum* using  
a cylindrical high-Z case

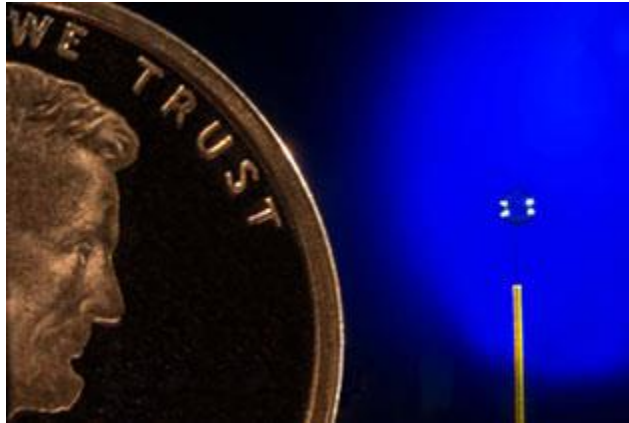
# The 1.8-MJ National Ignition Facility (NIF) will demonstrate ICF ignition and modest energy gain



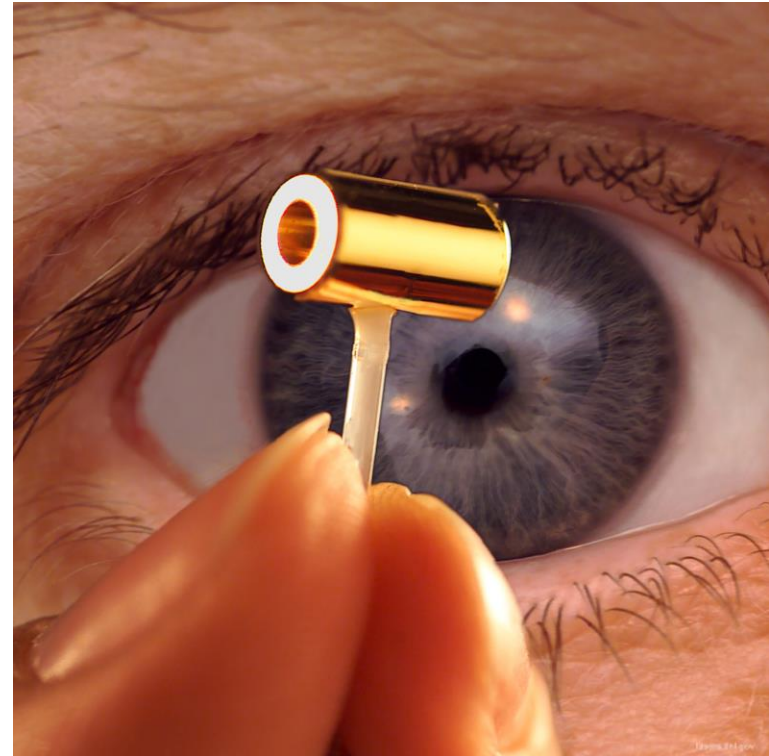
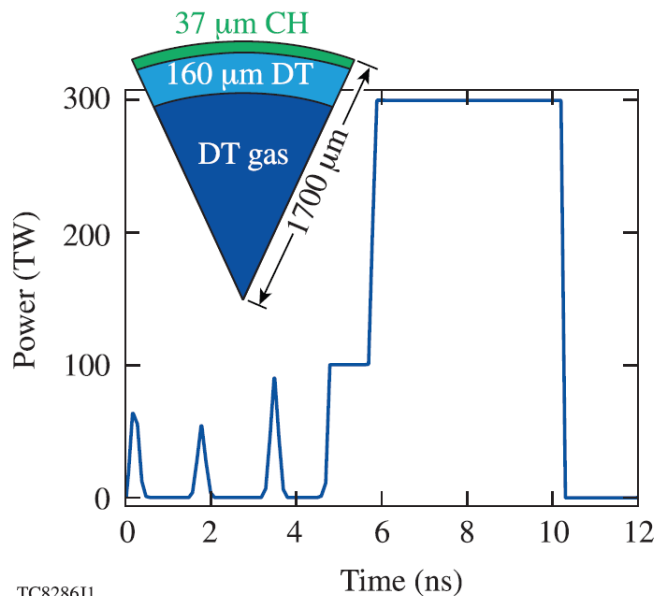
**OMEGA experiments are integral to an ignition demonstration on the NIF.**



# Targets used in ICF



- **Triple-point temperature : 19.79 K**



<http://www.lle.rochester.ed>  
[https://en.wikipedia.org/wiki/Inertial\\_confinement\\_fusion](https://en.wikipedia.org/wiki/Inertial_confinement_fusion)  
R. S. Craxton, etc., *Phys. Plasmas* **22**, 110501 (2015)

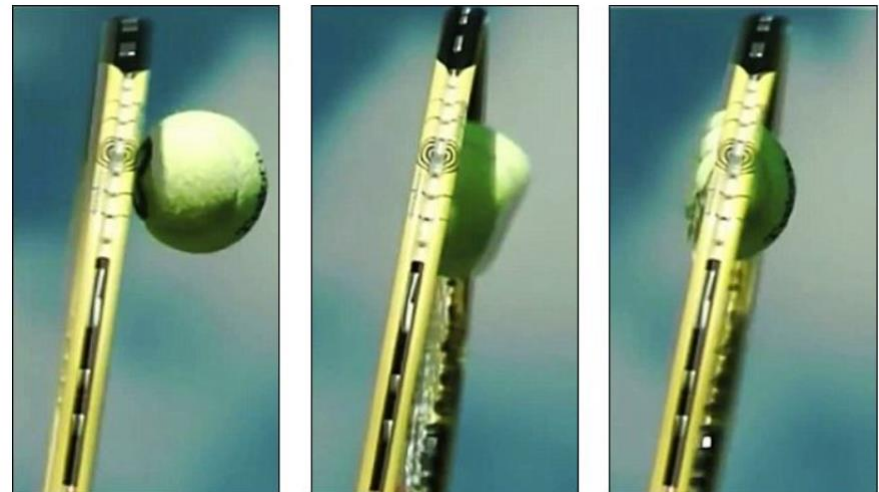
# Softer material can be compressed to higher density



- **Compression of a baseball**



- **Compression of a tennis ball**



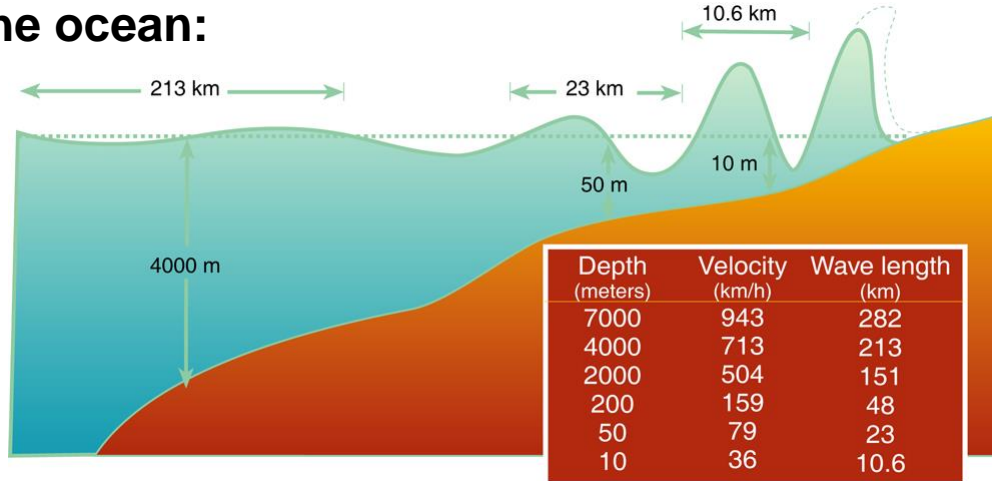
<https://www.youtube.com/watch?v=uxlldMoAwbY>

<https://newsghana.com.gh/wimbledon-slow-motion-video-of-how-a-tennis-ball-turns-to-goo-after-serve/>

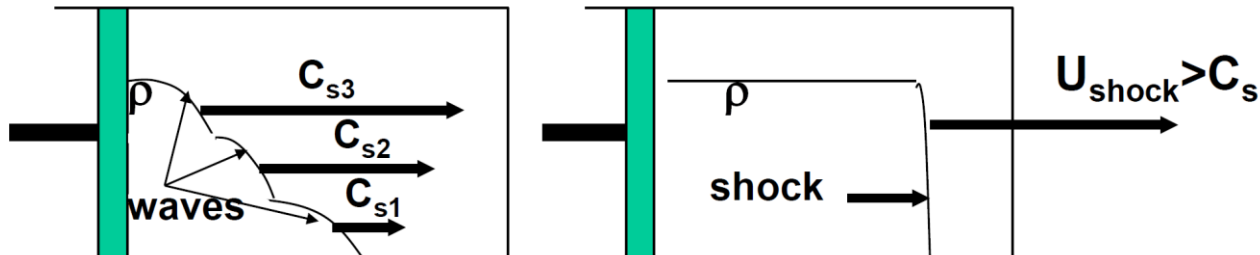
# A shock is formed due to the increasing sound speed of a compressed gas/plasma



- Wave in the ocean:

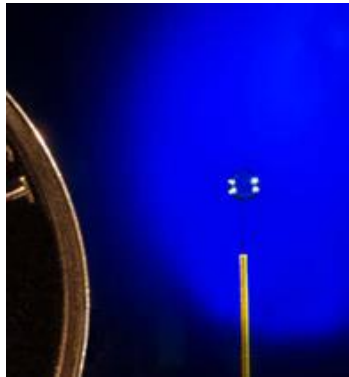


- Acoustic/compression wave driven by a piston:



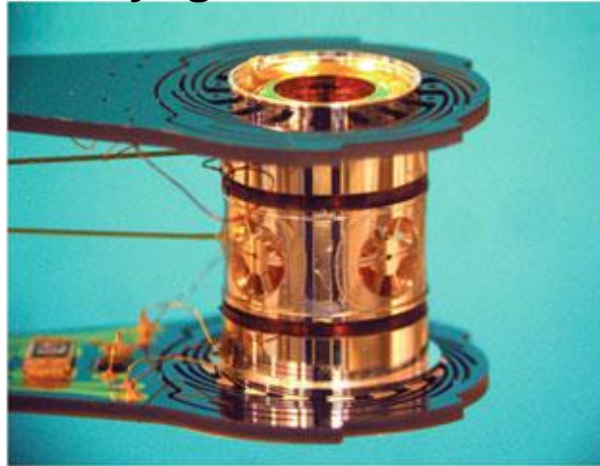
$$C_s \sim \sqrt{\frac{p}{\rho}} \sim \sqrt{\frac{\alpha \rho^{5/3}}{\rho}} \sim \sqrt{\alpha} \rho^{1/3}$$

# Targets used in ICF

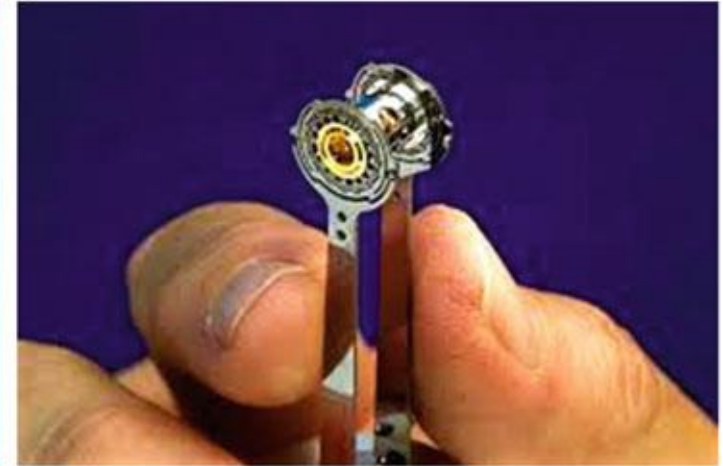


Cryogenic shroud

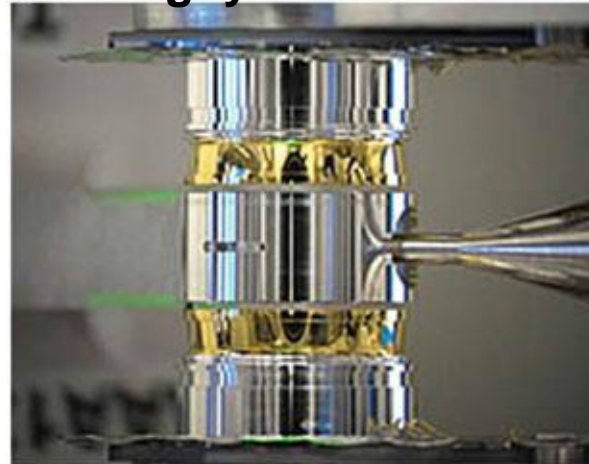
a Cryogenic hohlraum



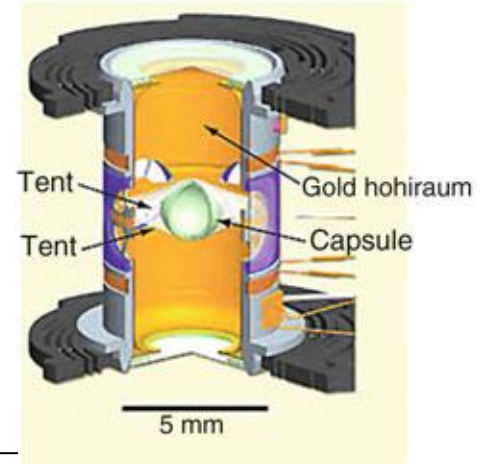
b



c Rugby hohlraum



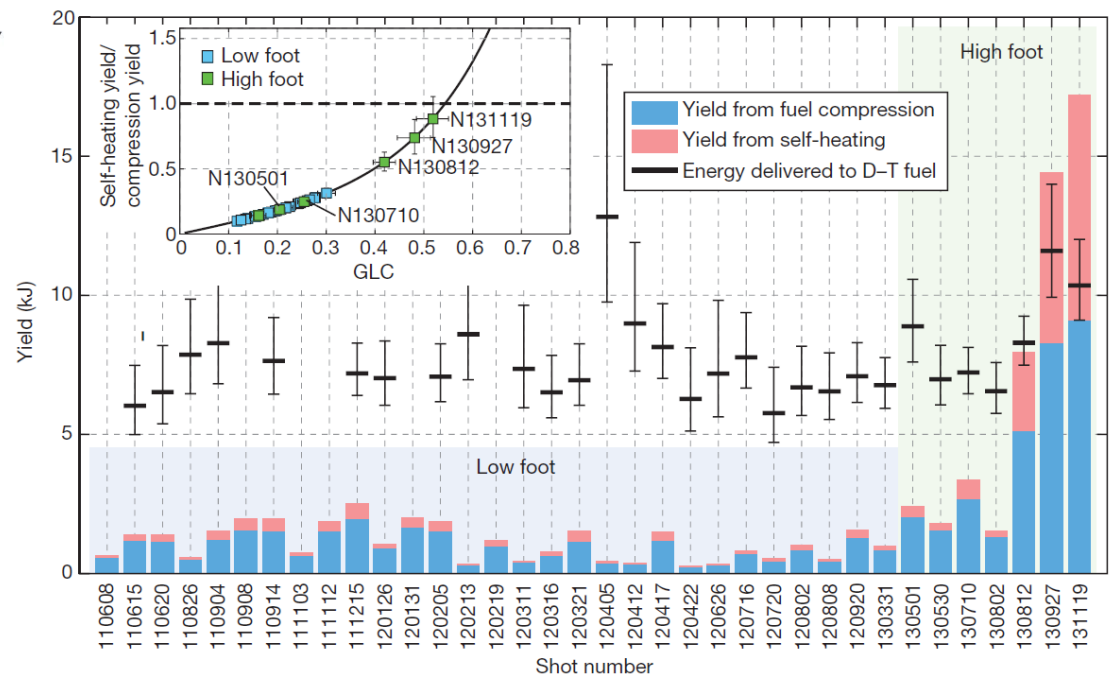
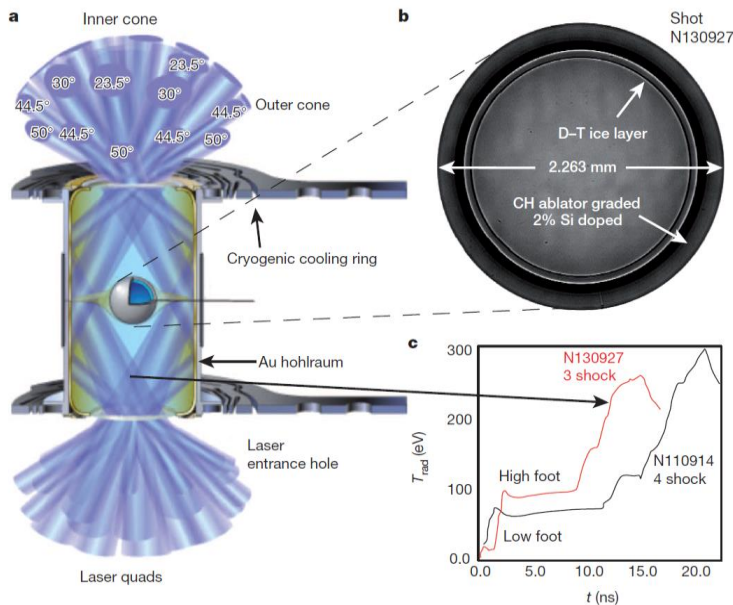
d Tent holder



<https://www.lle.rochester.edu/index.php/2014/11/10/next-generation-cryo-target/>  
Introduction to Plasma Physics and Controlled Fusion 3<sup>rd</sup> Edition, by Francis F. Chen  
<https://www.llnl.gov/news/nif-shot-lights-way-new-fusion-ignition-phase>

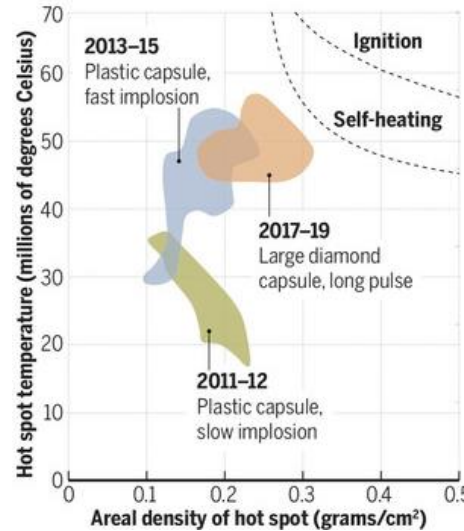
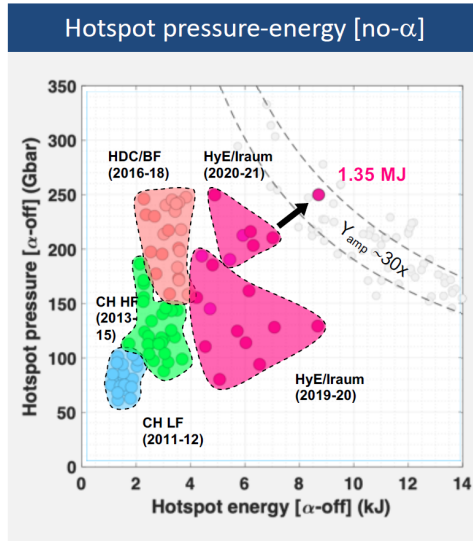


# Nature letter “Fuel gain exceeding unity in an inertially confined fusion implosion”

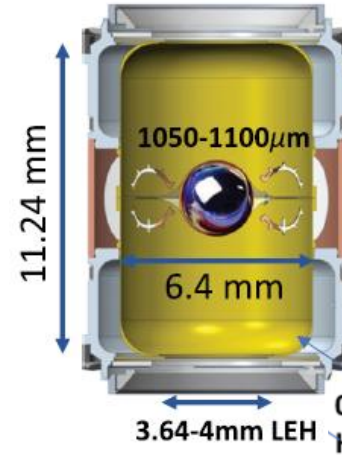


• Fuel gain exceeding unity was demonstrated for the first time.

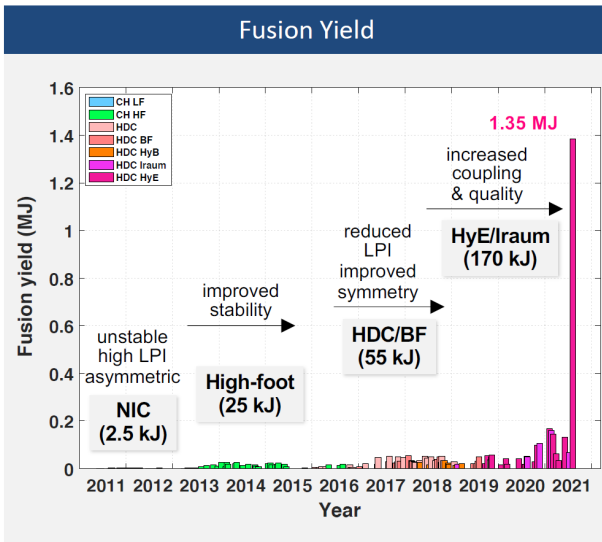
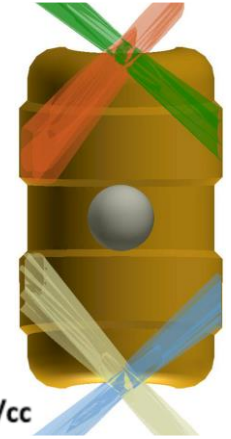
# The hot spot has entered the burning plasma regime



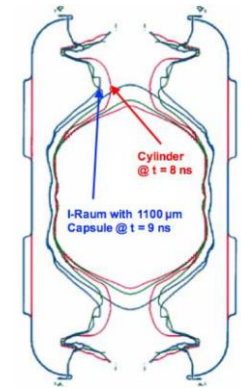
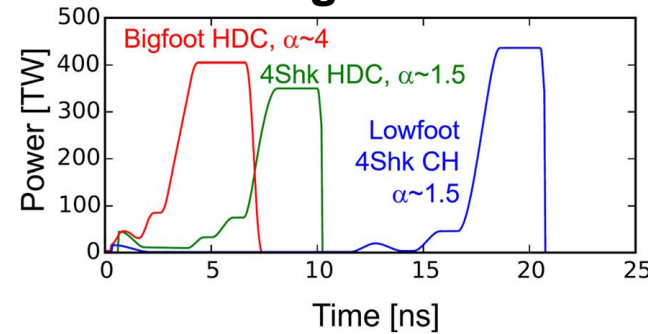
- **Hybrid-E**



- **I-raum**



- **Big foot**



T. Ma, ARPA-E workshop, April 26, 2022

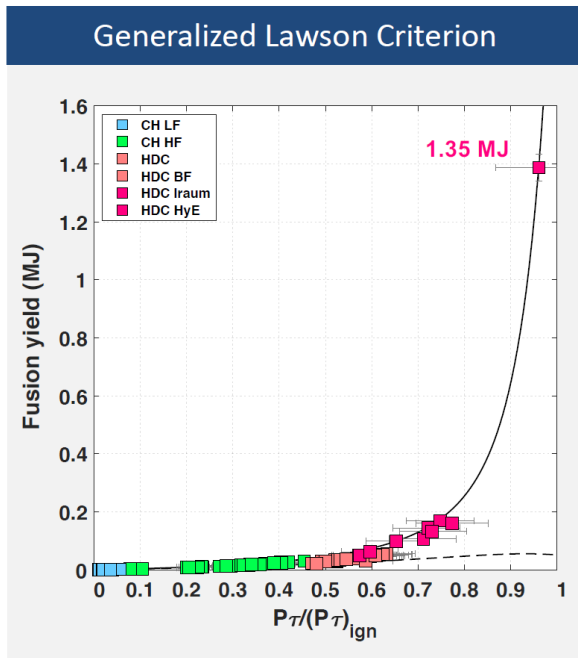
Science 370, p1019, 2020

D. T. Casey, etc., Phys. Plasmas, 25, 056308 (2018)

A. L. Kritcher, etc., Phys. Plasmas, 28, 072706 (2021)

H. F. Robey, etc., Phys. Plasmas, 25, 012711 (2018)

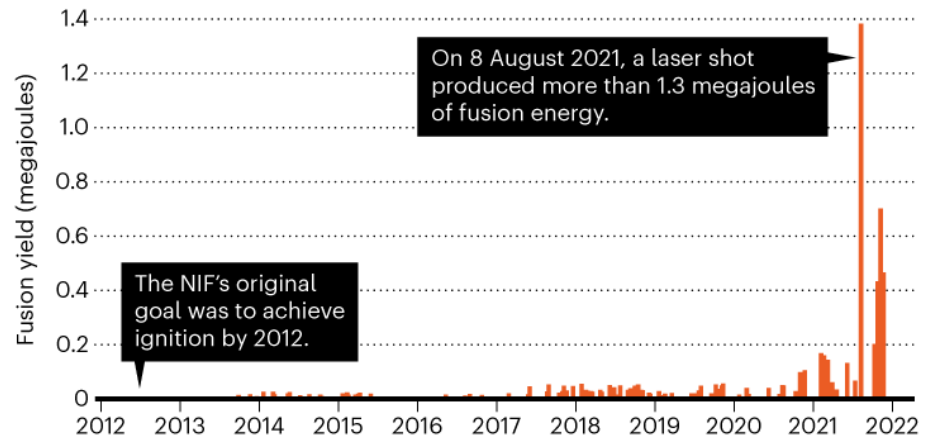
# National Ignition Facility (NIF) achieved a yield of more than 1.3 MJ from ~1.9 MJ of laser energy in 2021 (Q~0.7)



- National Ignition Facility (NIF) achieved a yield of more than 1.3 MJ (Q~0.7). This advancement puts researchers at the threshold of fusion ignition.

## THE ROAD TO IGNITION

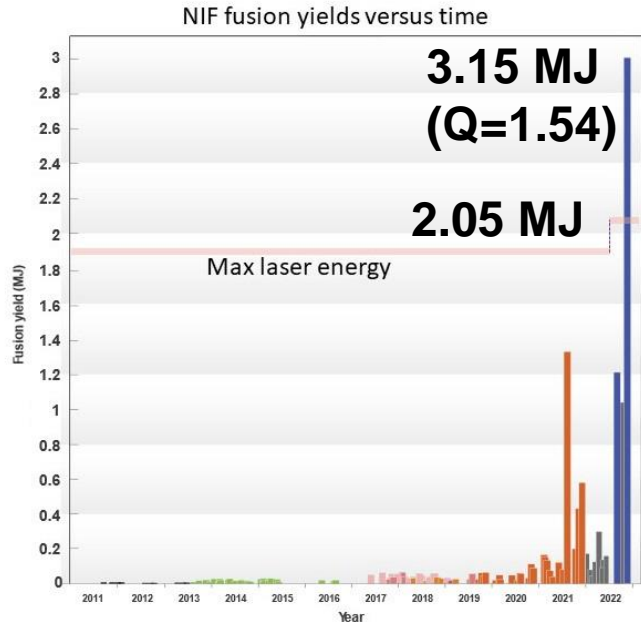
The National Ignition Facility (NIF) struggled for years before achieving a high-yield fusion reaction (considered ignition, by some measures) in 2021. Repeat experiments, however, produced less than half the energy of that result.



©nature

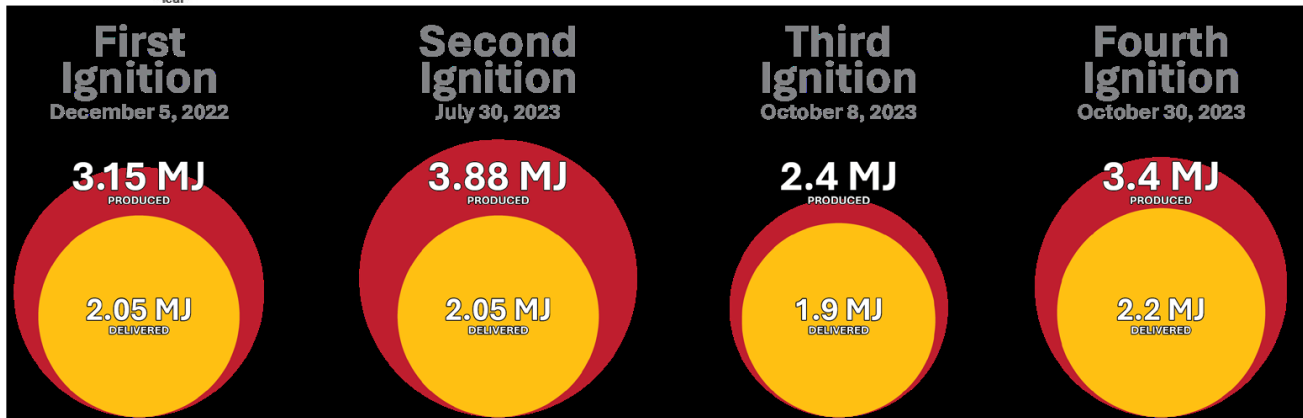
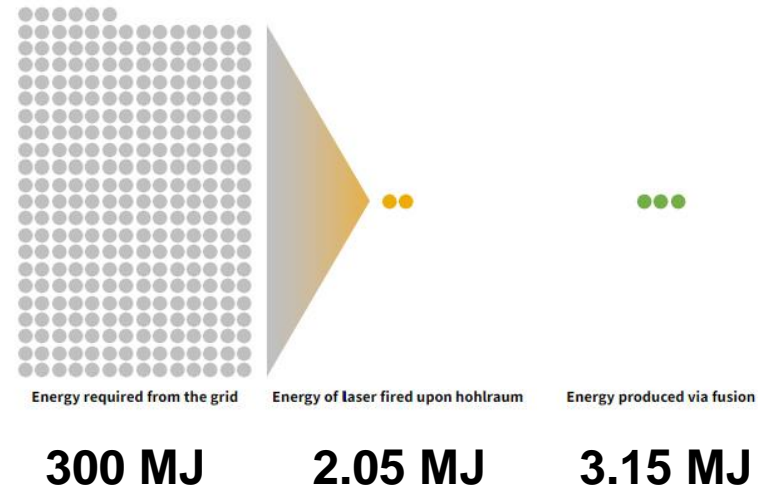
- Laser-fusion facility heads back to the drawing board.

# “Ignition” (target yield larger than one) was achieved in NIF on 2022/12/5



## NIF's ignition achievement in perspective

Energy in megajoules ● = 1



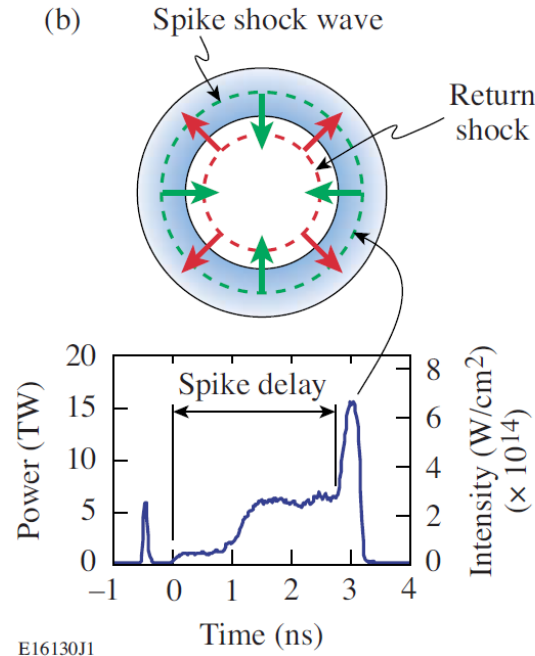
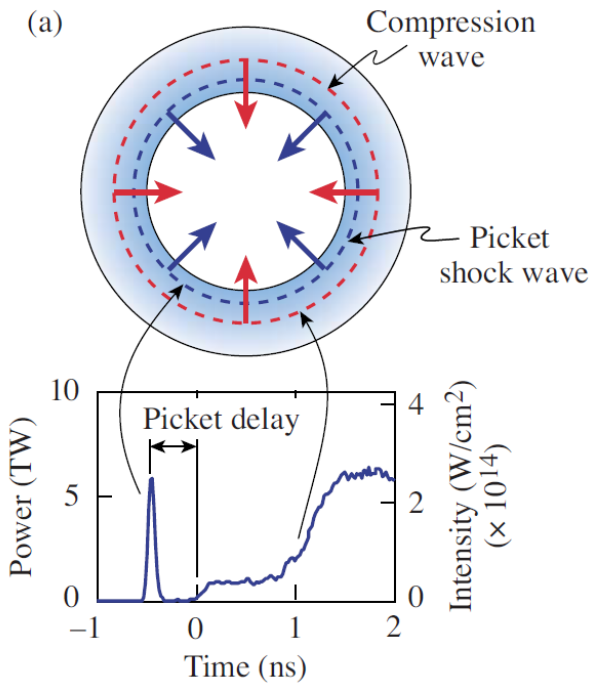
<https://physicstoday.scitation.org/doi/10.1063/PT.6.2.20221213a/full/>  
The age of ignition: anniversary edition, LLNL-BR-857901



# External “spark” can be used for ignition

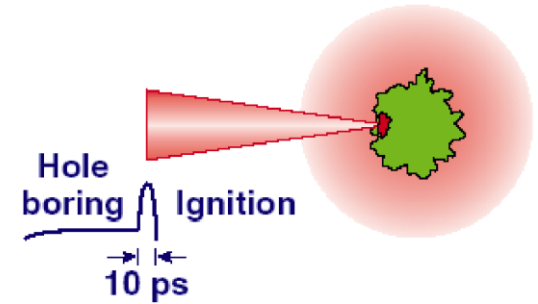


- **Shock ignition**

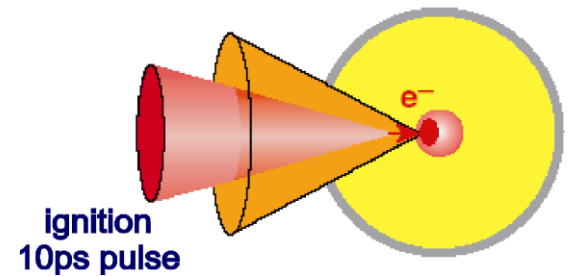


- **Fast ignition**

- a) channeling FI concept



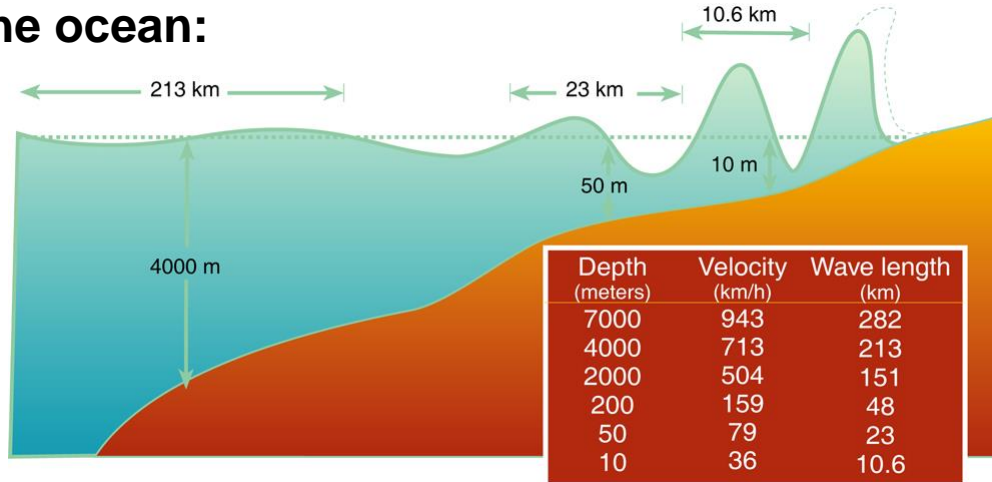
- b) cone-in-shell FI concept



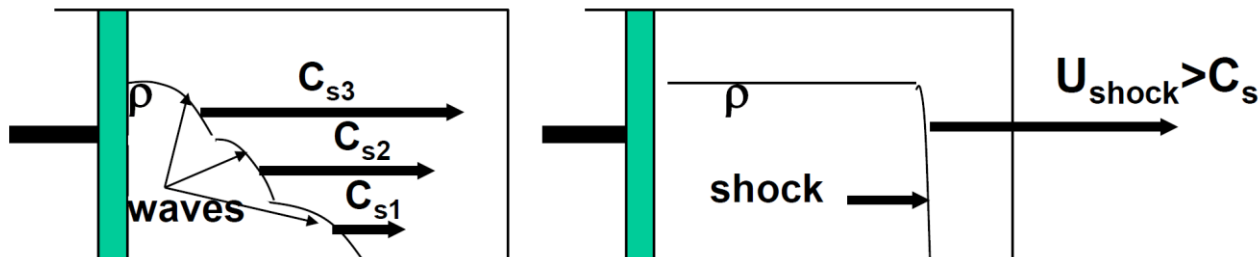
# A shock is formed due to the increasing sound speed of a compressed gas/plasma



- Wave in the ocean:



- Acoustic/compression wave driven by a piston:



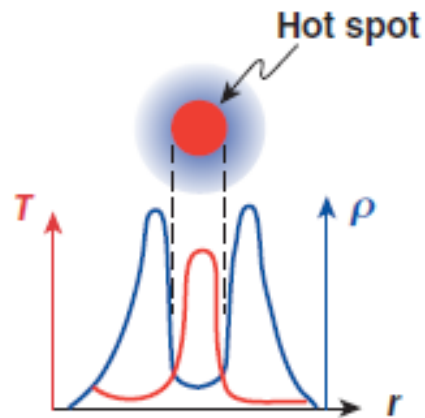
$$C_s \sim \sqrt{\frac{p}{\rho}} \sim \sqrt{\frac{\alpha \rho^{5/3}}{\rho}} \sim \sqrt{\alpha} \rho^{1/3}$$

# Ignition can happen by itself or being triggered externally



## Self-ignition

### Conventional ICF

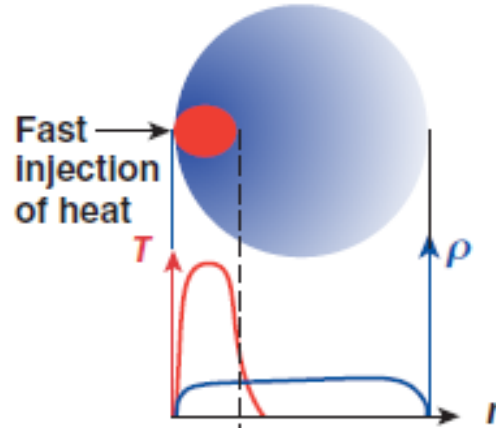


Low-density central spot ignites a high-density cold shell

$$\rho T_{\text{hot}} \approx \rho T_{\text{cold}} \text{ (isobaric)}$$

## External "spark" for fast ignition

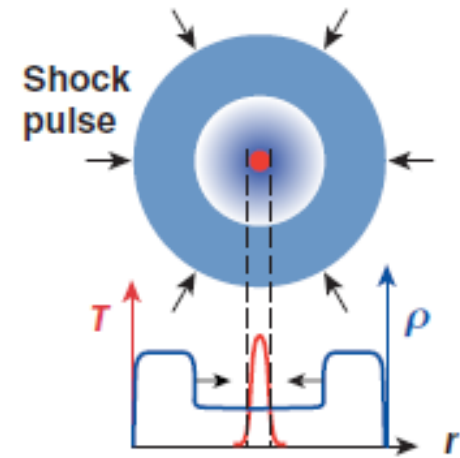
### Fast Ignition



Fast-heated side spot ignites a high-density fuel ball

$$\rho_{\text{hot}} \approx \rho_{\text{cold}} \text{ (isochoric)}$$

### Shock Ignition



Spherical shock wave ignites a high-density fuel ball

$$\rho T_{\text{hot}} \gg \rho T_{\text{cold}}$$

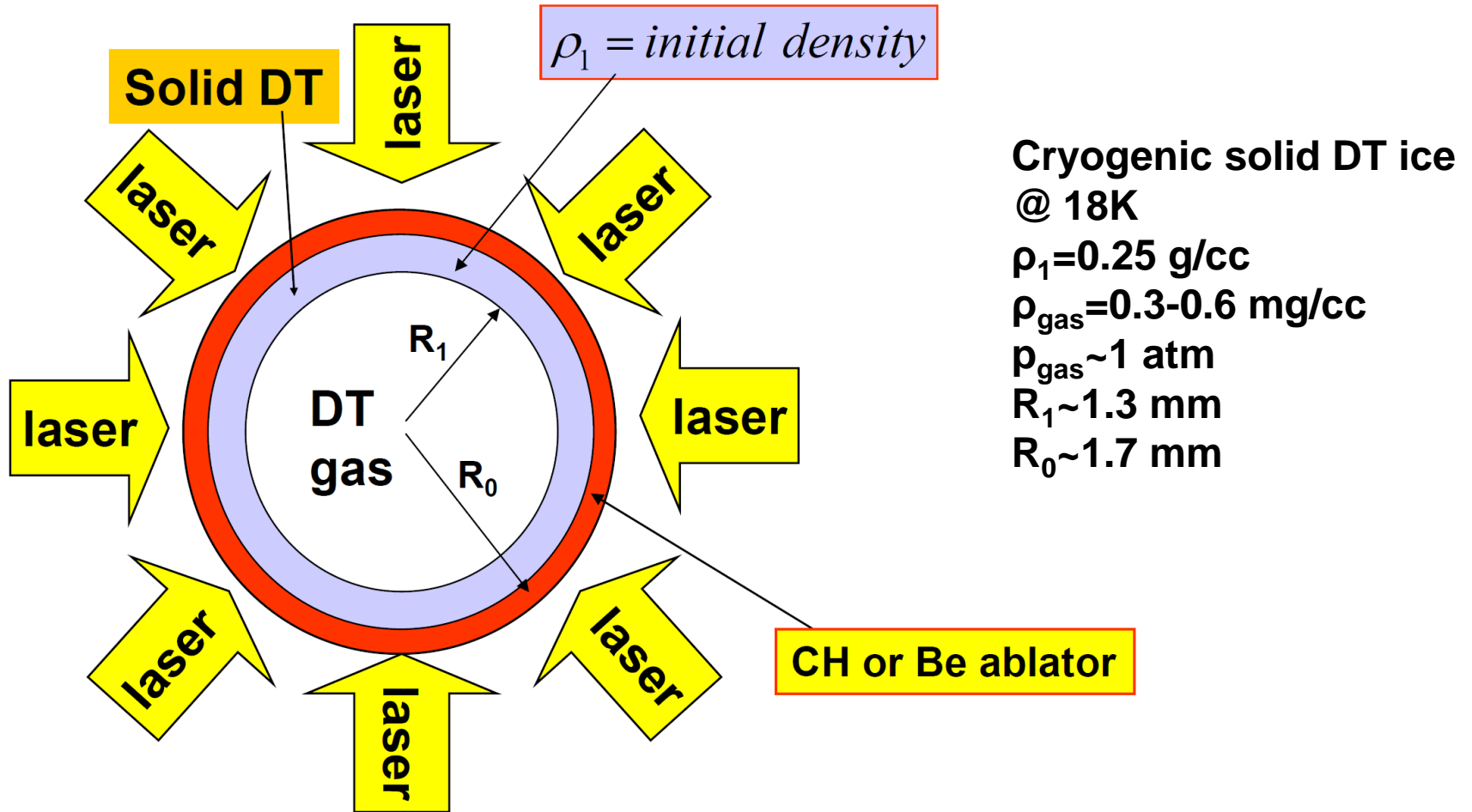
# Reference for ICF

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- **Riccardo Betti, University of Rochester, HEDSA HEDP summer school, San Diego, CA, August 16-21, 2015**
- **ICF lectures for course PHY558/ME533**
- **The physics of inertial fusion, by S. Atzeni, J. Meyer-Ter-Vehn**

# Laser-driven imploding capsules are mm-size shells with hundreds of $\mu\text{m}$ thick layers of cryogenic solid DT



# Conservation equations of gas-dynamics and ideal gas EOS are used for DT plasma



**Mass conservation:**  $\partial_t \rho + \partial_x(\rho \vec{v}) = 0$

**Momentum conservation:**  $\partial_t(\rho \vec{v}) + \partial_x(p + \rho v^2) = \vec{F}$

**Energy conservation:**  $\partial_t \epsilon + \partial_x(\vec{v}(\epsilon + p) - \kappa \partial_x T) = \text{source} + \text{sinks}$

**Ideal gas EOS:**  $p = (n_e T_e + n_i T_i) = 2nT = \frac{2}{m_i} \rho_i T = \frac{\rho T}{A}$

**Total energy per unit volume:**  $\epsilon = \frac{3}{2} p + \rho \frac{v^2}{2}$

**Mass density:**  $\rho = n_i m_i$

**Plasma thermal conductivity:**  $\kappa$

# The plasma thermal conductivity is written in a power law of T



$$n \frac{\partial T}{\partial t} = \frac{\partial}{\partial x} \left( \kappa \frac{\partial T}{\partial x} \right) \rightarrow n \frac{T}{t} \sim \frac{\kappa T}{x^2} \Rightarrow \kappa \sim n \frac{x^2}{t}$$

$$x \Rightarrow \lambda_{\text{mfp}} \sim v_{\text{th}} \tau_{\text{coll}} = \frac{v_{\text{th}}}{\nu_{\text{coll}}} \quad t \Rightarrow \tau_{\text{coll}} = \frac{1}{\nu_{\text{coll}}} \quad \Rightarrow \kappa \sim n \frac{v_{\text{th}}^2}{\nu_{\text{coll}}}$$

$$v_{\text{th}}^2 \sim \frac{T}{m_e} \quad \nu_{\text{coll}} \sim \frac{n}{T^{3/2}} \quad \Rightarrow \kappa \sim T^{5/2}$$

**$v_{\text{th}}$** : thermal velocity  
 **$\nu_{\text{coll}}$** : collision frequency  
 **$\tau_{\text{coll}}$** : collision time

Plasma thermal conductivity

$$\kappa \approx \kappa_0 T^{5/2}$$

# Sound speed in an ideal DT gas/plasma



- **Adiabatic sound speed when the entropy is conserved along the fluid motion**

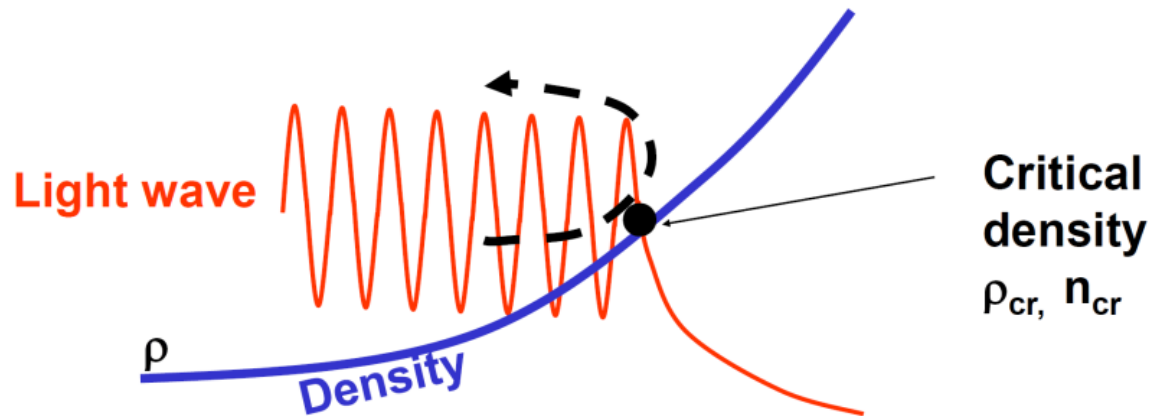
$$C_s^{\text{adiabatic}} = C_s \text{ (constant entropy)} = \sqrt{\frac{5 p}{3 \rho}} = \sqrt{\frac{10 T}{3 m_i}}$$

- **Isothermal sound speed when the temperature is constant along the fluid motion**

$$C_s^{\text{isothermal}} = C_s \text{ (constant temperature)} = \sqrt{\frac{p}{\rho}} = \sqrt{\frac{2T}{m_i}}$$



# The laser light cannot propagate past a critical density



- **Critical density is given by plasma frequency=laser frequency**

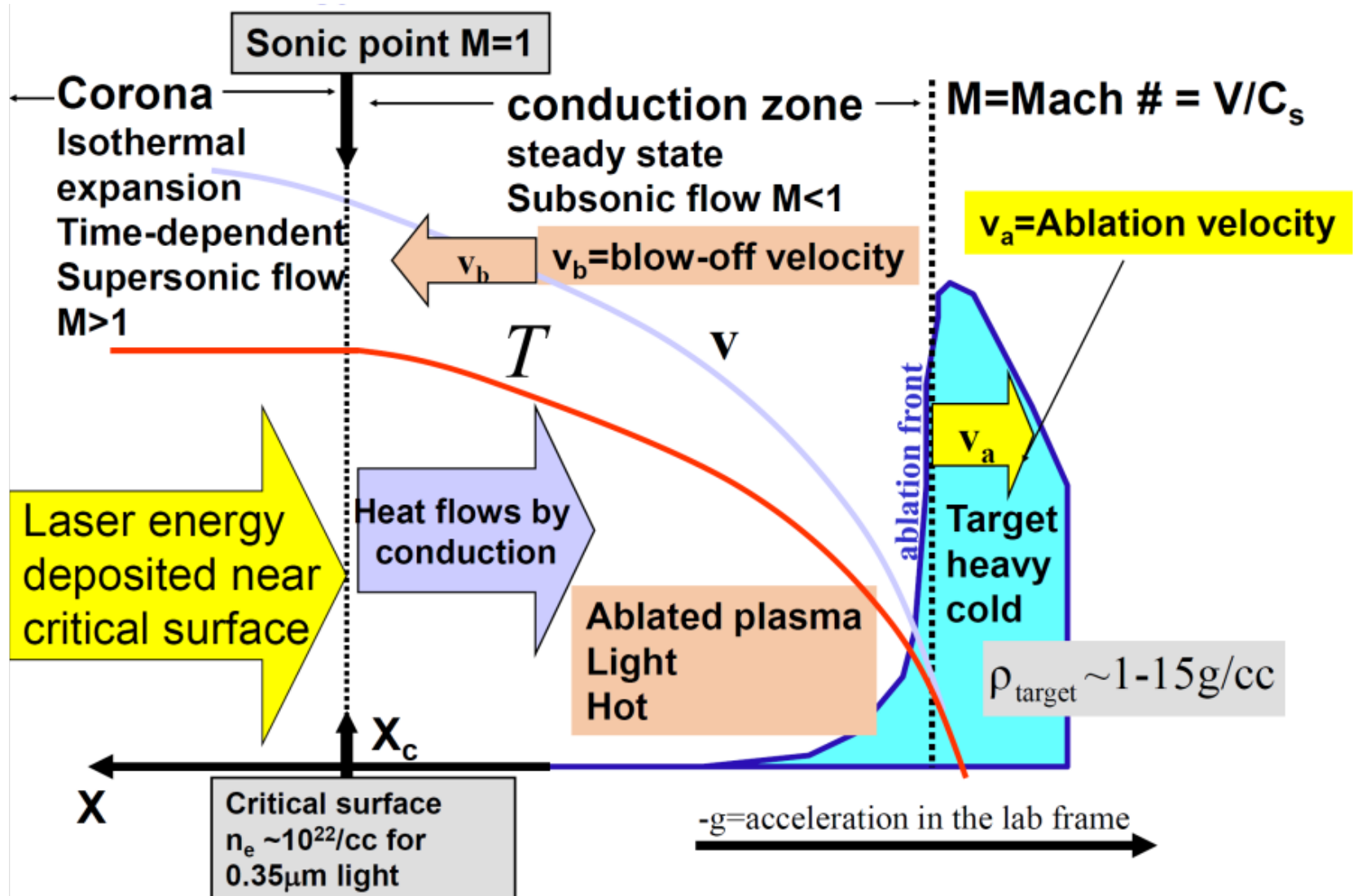
$$\omega_L = \frac{2\pi c}{\lambda_L}$$

$$\omega_{pe} = \sqrt{\frac{4\pi n_e e^2}{m_e}}$$

$$\omega_L^2 = \omega_{pe}^2$$

$$n_e^{cr} = \frac{1.1 \times 10^{21}}{\lambda_{L,\mu m}^2} \text{ cm}^{-3}$$

# The laser generates a pressure by depositing energy at the critical surface



# Consider the steady state equations of motion in the conduction zone



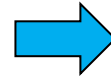
$$\partial_t \rho + \partial_x (\rho \vec{v}) = 0$$

$$\frac{d}{dt} = 0$$

$$\frac{d}{dx} (\rho v) = 0$$

$$\kappa = \kappa_0 T^{5/2}$$

$$\partial_t (\rho \vec{v}) + \partial_x (p + \rho v^2) = \vec{F}$$



$$\frac{d}{dx} (p + \rho v^2) = 0$$

$$\partial_t \epsilon + \partial_x (\vec{v} (\epsilon + p) - \kappa \partial_x T) = \text{source} + \text{sinks}$$

$$\frac{d}{dx} \left( v \left( \frac{5}{2} p + \frac{\rho v^2}{2} \right) - \kappa \frac{dT}{dx} \right) = 0$$

$$p = (n_e T_e + n_i T_i) = 2nT = \frac{2}{m_i} \rho_i T = \frac{\rho T}{A}$$

$$p = \frac{\rho T}{A} \quad A = \frac{m_i}{1+z}$$

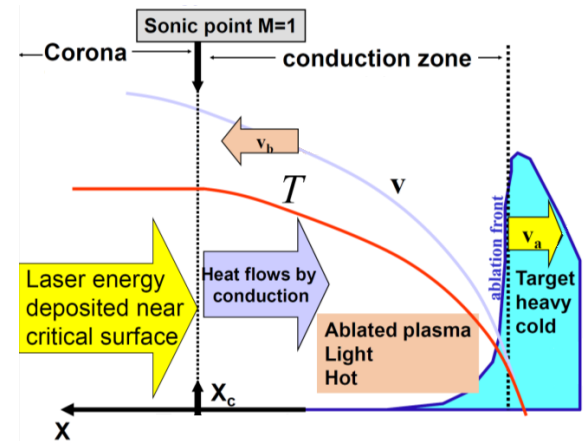
- Integrate with space:

$$\rho v = \rho_c v_c$$

$$p + \rho v^2 = p_c + \rho_c v_c^2 \quad \frac{\rho T}{A} + \rho v^2 = \frac{\rho_c T_c}{A} + \rho_c v_c^2$$

$$\rho v \left( \frac{T}{Av} + v \right) = \rho_c v_c \left( \frac{T_c}{Av_c} + v_c \right)$$

$$\frac{T}{Av} + v = \frac{T_c}{Av_c} + v_c \quad v \left( \frac{1}{M^2} + 1 \right) = v_c \left( \frac{1}{M_c^2} + 1 \right)$$

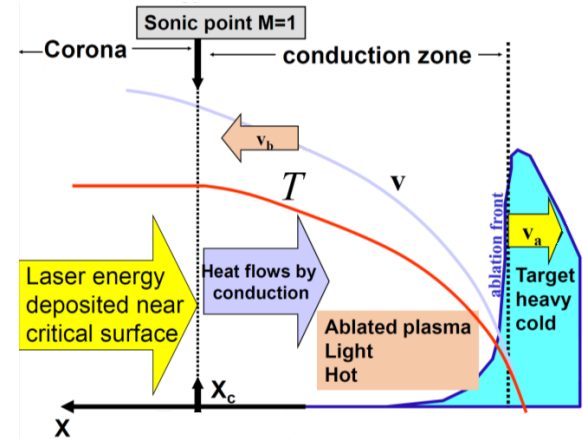


# Consider the steady state equations of motion in the conduction zone



$$\frac{T}{Av} + v = \frac{T_c}{Av_c} + v_c \quad v^2 - v \left( \frac{T_c}{Av_c} + v_c \right) + \frac{T}{A} = 0$$

$$v = \frac{1}{2} \left( \frac{T_c}{Av_c} + v_c \pm \sqrt{\left( \frac{T_c}{Av_c} + v_c \right)^2 - \frac{4T}{A}} \right)$$



- Near the target where  $T \ll T_c$ , one expect that  $v \ll v_c$ . Therefore,

$$v = \frac{1}{2} \left( \frac{T_c}{Av_c} + v_c - \sqrt{\left( \frac{T_c}{Av_c} + v_c \right)^2 - \frac{4T}{A}} \right)$$

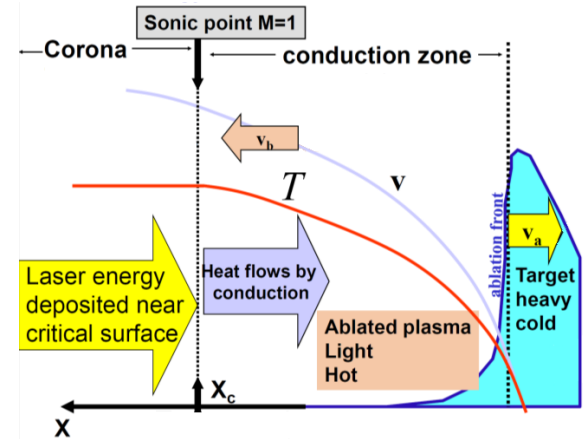
- At  $T = T_c$ ,  $v = v_c$ :

$$v_c = \frac{1}{2} \left( \frac{T_c}{Av_c} + v_c - \left| \frac{T_c}{Av_c} - v_c \right| \right)$$

# Consider the steady state equations of motion in the conduction zone



- If  $\frac{T_c}{Av_c} - v_c \leq 0$        $v_c = \frac{T_c}{Av_c}$        $M_c = 1$
- If  $\frac{T_c}{Av_c} - v_c \geq 0$        $v_c = v_c$        $M_c \leq 1$
- Pick  $M_c = 1$ , i.e., the flow is sonic at the critical surface.



- Integrate the energy equation in the conduction zone:

Assuming  $M_c \ll 1$ , i. e.,  $p \gg \rho v^2$

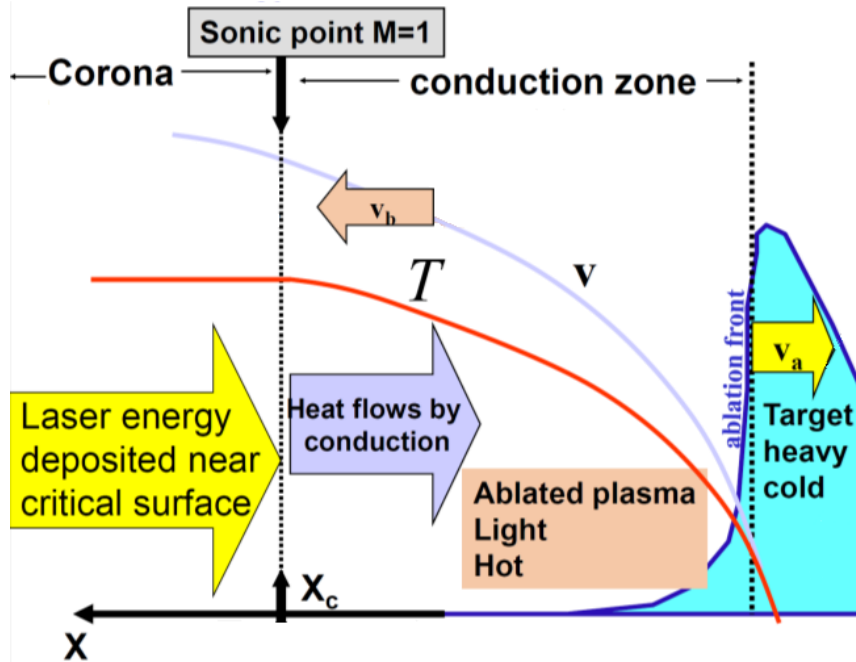
$$\frac{5}{2}pv - \kappa \frac{dT}{dx} = \frac{5}{2}p_o v_o - \left( \kappa \frac{dT}{dx} \right)_o = \frac{5}{2} \frac{\rho_o T_o}{A} v_o - \left( \kappa_o T^{5/2} \frac{dT}{dx} \right)_o \rightarrow 0$$

$$\frac{5}{2} \frac{\rho T v}{A} - \kappa_o T^{5/2} \frac{dT}{dx} = 0$$

$$\frac{5}{2} \frac{\rho_c v_c T}{A} - \kappa_o T^{5/2} \frac{dT}{dx} = 0$$

$$T = T_c \left( 1 + \frac{25}{4A} \frac{\rho_c v_c}{\kappa_o T_c^{5/2}} (x - x_c) \right)^{2/5}$$

# Consider the steady state equations of motion in the conduction zone



$$T = T_c \left( 1 + \frac{25}{4A} \frac{\rho_c v_c}{k_o T_c^{5/2}} (x - x_c) \right)^{2/5}$$

$$v = \frac{1}{2} \left( \frac{T_c}{A v_c} + v_c - \sqrt{\left( \frac{T_c}{A v_c} + v_c \right)^2 - \frac{4T}{A}} \right)$$

$$\rho = \frac{\rho_c v_c}{v}$$

# The plasma keeps expanding in the corona zone so that no steady state can be found



- For  $x > x_c$ :

$$\partial_t \rho + \partial_x(\rho v) = 0$$

$$\rho(\partial_t v + v \partial_x v) + \partial_x p = 0$$

$$\partial_t \left( \frac{3p}{2} + \frac{\rho v^2}{2} \right) + \partial_x \left( v \left( \frac{5p}{2} + \frac{\rho v^2}{2} \right) - \kappa \frac{\partial T}{\partial x} \right) = 0$$

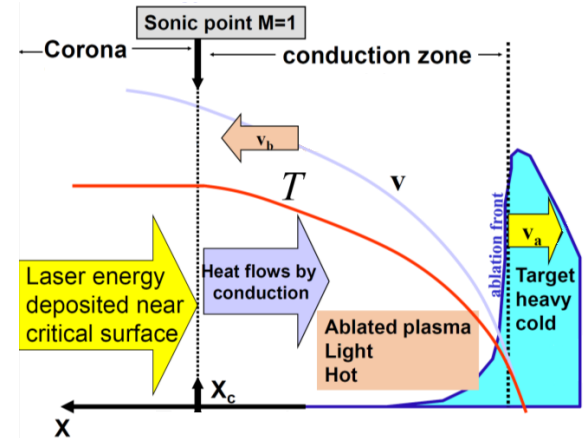
- The temperature in the corona is high.

$$\kappa = \kappa_0 T^{5/2} \Rightarrow \text{very large} \Rightarrow \frac{\partial T}{\partial x} = 0 \Rightarrow T = T_c = \text{constant} \quad p = \frac{\rho T_c}{A}$$

$$\rho(\partial_t v + v \partial_x v) + \frac{T_c}{A} \partial_x \rho = 0$$

- Self-similar solutions depending on  $\xi = \frac{z}{t}$   $z \equiv x - x_c$

$$\partial_t \rightarrow -\frac{\xi}{t} \partial_\xi \quad \partial_x \rightarrow \frac{1}{t} \partial_\xi$$



# The plasma keeps expanding in the corona zone so that no steady state can be found



$$\partial_t \rho + \partial_x(\rho v) = 0$$

$$\rho(\partial_t v + v \partial_x v) + \frac{T_c}{A} \partial_x \rho = 0$$

$$-\xi \partial_\xi \rho + \partial_\xi(\rho v) = 0 \quad \frac{\partial_\xi \rho}{\rho} = \frac{\partial_\xi v}{\xi - v}$$

$$\rho \left( -\frac{\xi}{t} \partial_\xi v + \frac{v}{t} \partial_\xi v \right) + \frac{T_c}{A} \frac{1}{t} \partial_\xi \rho = 0$$

$$(\xi - v) \partial_\xi v = \frac{T_c}{A} \frac{\partial_\xi v}{\xi - v}$$

• At  $z=0$  ( $x=x_c$ ),  $v = v_c = \sqrt{\frac{T_c}{A}} \Rightarrow v = \xi + \sqrt{\frac{T_c}{A}}$

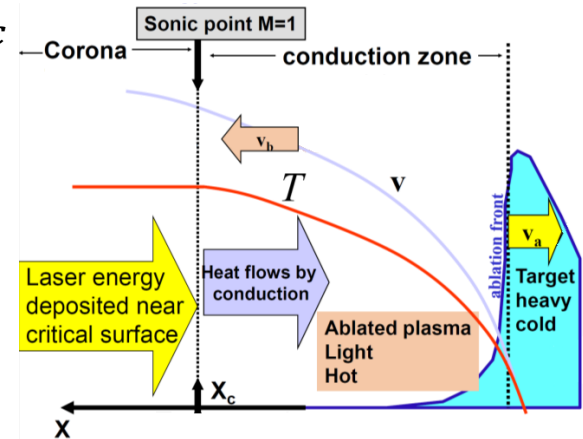
$$\xi = \frac{z}{t} \quad z \equiv x - x_c$$

$$\partial_t \rightarrow -\frac{\xi}{t} \partial_\xi$$

$$\partial_x \rightarrow \frac{1}{t} \partial_\xi$$

$$(\xi - v) \partial_\xi v = \frac{T_c}{A} \frac{\partial_\xi \rho}{\rho}$$

$$(\xi - v)^2 = \frac{T_c}{A}$$



$$v = \xi \pm \sqrt{\frac{T_c}{A}}$$



# The plasma keeps expanding in the corona zone so that no steady state can be found



$$\frac{\partial_{\xi} \rho}{\rho} = \frac{\partial_{\xi} v}{\xi - v}$$

$$v = \xi + \sqrt{\frac{T_c}{A}}$$

$$(\xi - v) \partial_{\xi} v = \frac{T_c}{A} \frac{\partial_{\xi} \rho}{\rho}$$

$$\partial_{\xi} \ln \rho = - \frac{\partial_{\xi} v}{\sqrt{T_c/A}}$$

$$\ln \rho = - \frac{\xi}{\sqrt{T_c/A}} + \text{constant}$$

$$\rho = \rho_c e^{-\frac{\xi}{\sqrt{T_c/A}}}$$

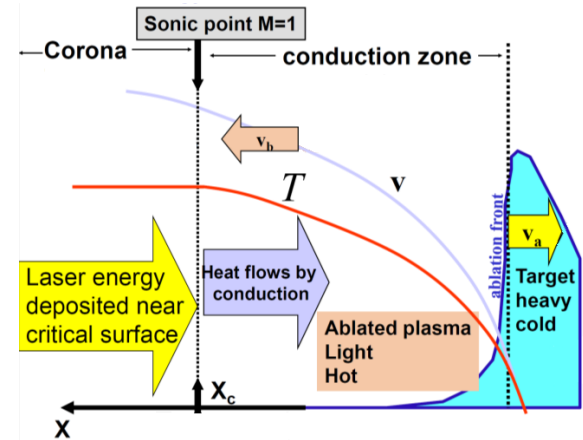
$$\rho = \rho_c \text{ at } \xi = 0$$

$$v = \frac{x - x_c}{t} + \sqrt{\frac{T_c}{A}}$$

$$\rho = \rho_c \exp\left(-\frac{x - x_c}{t \sqrt{T_c/A}}\right)$$

- Laser energy is absorbed at the critical surface:

$$\frac{\partial}{\partial t} \left( \frac{3p}{2} + \frac{\rho v^2}{2} \right) + \frac{\partial}{\partial x} \left( v \left( \frac{5p}{2} + \frac{\rho v^2}{2} \right) - \kappa \frac{\partial T}{\partial x} \right) = I \delta(x)$$



# The plasma keeps expanding in the corona zone so that no steady state can be found



- Laser energy is absorbed at the critical surface:

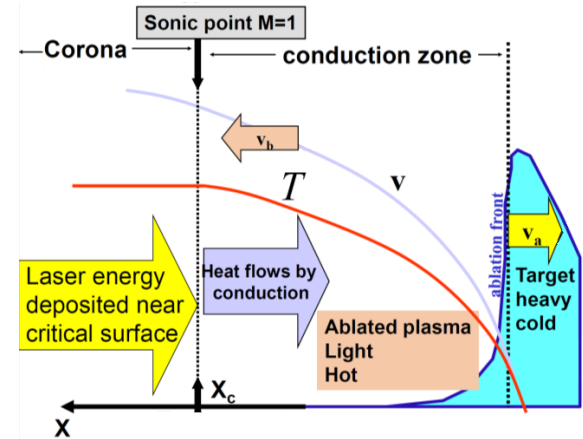
$$\frac{\partial}{\partial t} \left( \frac{3p}{2} + \frac{\rho v^2}{2} \right) + \frac{\partial}{\partial x} \left( v \left( \frac{5p}{2} + \frac{\rho v^2}{2} \right) - \kappa \frac{\partial T}{\partial x} \right) = I \delta(x)$$

- The jump conditions are

$$\left[ -\kappa \frac{\partial T}{\partial x} \right]_{x_c^-}^{x_c^+} = I = -\kappa^+ \left( \frac{\partial T}{\partial x} \right)^+ + \kappa^- \left( \frac{\partial T}{\partial x} \right)^-$$

$$\kappa^- \left( \frac{\partial T}{\partial x} \right)^- \simeq \frac{5}{2} \frac{\rho_c v_c T_c}{A} + \frac{1}{2} \rho_c v_c^3 = 3 \frac{\rho_c v_c T_c}{A} = 3 \rho_c \left( \frac{T_c}{A} \right)^{3/2}$$

$$\kappa^+ \left( \frac{\partial T}{\partial x} \right)^+ = ? \quad \left( \frac{\partial T}{\partial x} \right)^+ \rightarrow 0 \quad \kappa^+ \rightarrow \infty$$



# The plasma keeps expanding in the corona zone so that no steady state can be found



- Total energy in the corona:

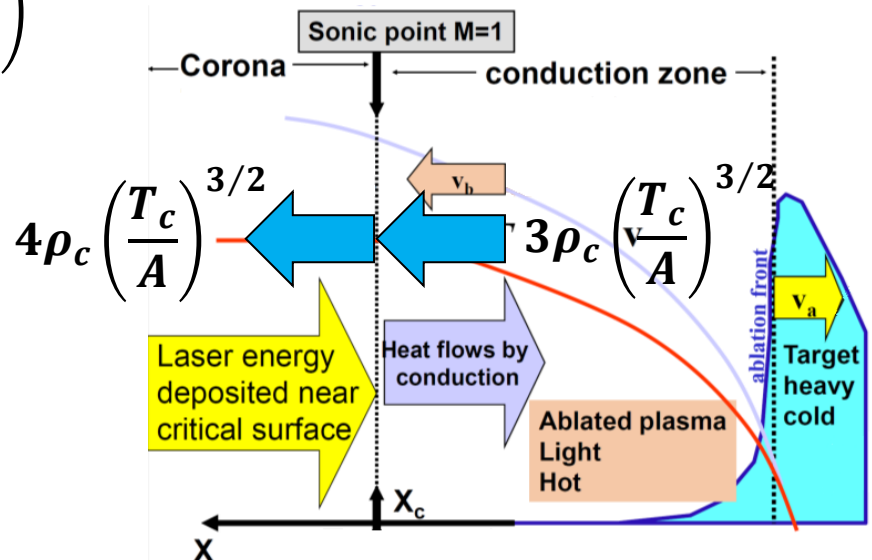
$$\epsilon = \int_{x_c}^{\infty} dx \left( \frac{3}{2} p + \frac{1}{2} \rho v^2 \right) = \int_0^{\infty} dz \left( \frac{3}{2} \rho \frac{T_c}{A} + \frac{1}{2} \rho v^2 \right)$$

$$= t \int_0^{\infty} d\xi \rho_c e^{-\frac{\xi}{\sqrt{T_c/A}}} \left( \frac{3}{2} \frac{T_c}{A} + \frac{1}{2} \xi^2 + \xi \sqrt{\frac{T_c}{A}} + \frac{1}{2} \frac{T_c}{A} \right)$$

$$= t \left( \frac{T_c}{A} \right)^{3/2} \rho_c \int_0^{\infty} d\zeta e^{-\zeta} \left( 2 + \frac{1}{2} \zeta^2 + \zeta \right)$$

$$= 4 \rho_c \left( \frac{T_c}{A} \right)^{3/2} t$$

$$\frac{d\epsilon}{dt} = 4 \rho_c \left( \frac{T_c}{A} \right)^{3/2}$$



# The plasma keeps expanding in the corona zone so that no steady state can be found

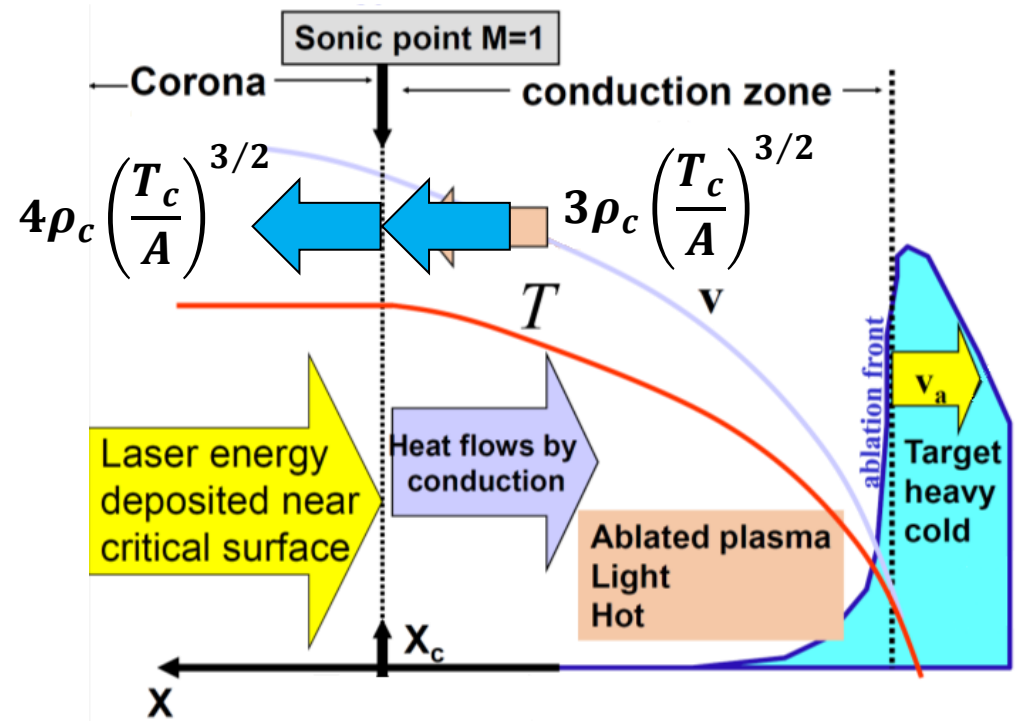


$$\kappa^- \left( \frac{\partial T}{\partial x} \right)^- = 3\rho_c \left( \frac{T_c}{A} \right)^{3/2}$$

$$-\kappa^+ \left( \frac{\partial T}{\partial x} \right)^+ = \rho_c \left( \frac{T_c}{A} \right)^{3/2}$$

$$I = -\kappa^+ \left( \frac{\partial T}{\partial x} \right)^+ + \kappa^- \left( \frac{\partial T}{\partial x} \right)^-$$

$$= 4\rho_c \left( \frac{T_c}{A} \right)^{3/2}$$



# The plasma keeps expanding in the corona zone so that no steady state can be found



- Total ablation pressure (static + dynamic):

$$P_A = \frac{\rho_c T_c}{A} + \rho_c v_c^2 = 2 \frac{\rho_c T_c}{A} \sim \rho_c \frac{I^{2/3}}{\rho_c^{2/3}} \sim \rho_c^{1/3} I^{2/3}$$

- Temperature at critical surface:

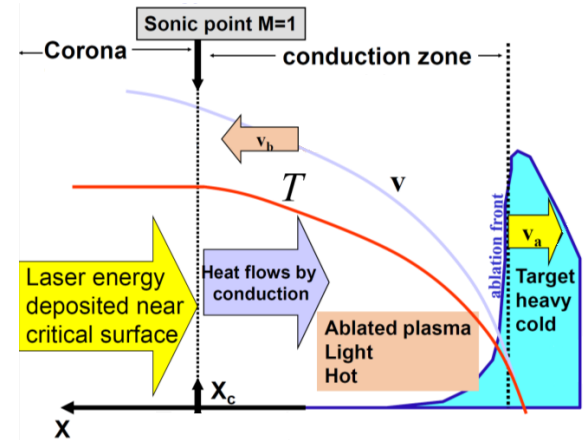
$$T_c \sim \left( \frac{I}{\rho_c} \right)^{2/3}$$

- Velocity at critical surface:

$$v_c \sim \left( \frac{I}{\rho_c} \right)^{1/3}$$

- Ablation rate:

$$\rho_c v_c \sim \rho_c^{2/3} I^{1/3}$$

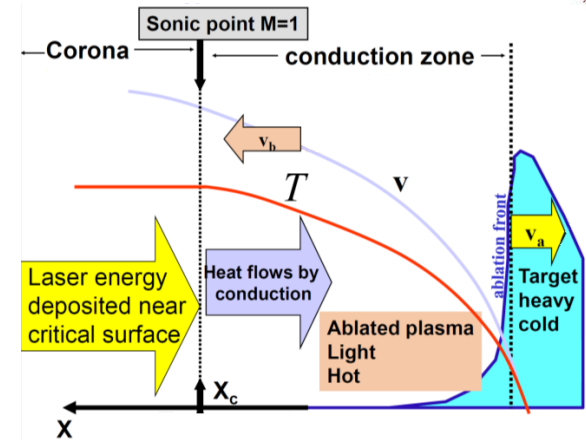


# Pressure generated by a laser is obtained using energy conservation equation



- Energy conservation equation:

$$\partial_t \varepsilon + \partial_x [\vec{v}(\varepsilon + p) - \kappa \partial_x T] = \underbrace{I \delta(x - x_{cr})}_{w/cm^2 \cdot s \cdot 1/cm}$$



- Since the temperature gradients are small in the corona, the heat flux is small

$$\kappa \partial_x T (x \geq x_{cr}) \ll \kappa \partial_x T (x \leq x_{cr}) \quad \left( \kappa \partial_x T (x \geq x_{cr}) \approx \frac{1}{3} \kappa \partial_x T (x \leq x_{cr}) \right)$$

- Integrate around critical surface  $x_c$

$$\int_{x_{cr}^-}^{x_{cr}^+} \{ \partial_t \varepsilon + \partial_x [\vec{v}(\varepsilon + p) - \kappa \partial_x T] \} dx = \int_{x_{cr}^-}^{x_{cr}^+} \{ I \delta(x - x_{cr}) \} dx$$

$$\partial_t \varepsilon x \Big|_{x_{cr}^-}^{x_{cr}^+} + [v(\varepsilon + p)]_{x_{cr}^-}^{x_{cr}^+} - [\kappa \partial_x T]_{x_{cr}^-}^{x_{cr}^+} = I$$

$$- [\kappa \partial_x T]_{x_{cr}^-}^{x_{cr}^+} = I$$

# Laser produced ablation pressure



- Solving at steady state in the conduction zone ( $x < x_c$ ) leads to

$$v(\varepsilon + p) \sim \kappa \partial_x T \quad \text{for } x \leq x_{cr}^-$$

- At the sonic point (i.e., critical surface)  $C_s \sim \sqrt{p/\rho}$

$$I = [v(\varepsilon + p)]_{x_{cr}^-} = C_s \left( \frac{5}{2} p_{cr} + \rho_{cr} \frac{C_s^2}{2} \right) \sim \frac{p_{cr}^{3/2}}{\rho_{cr}^{1/2}}$$

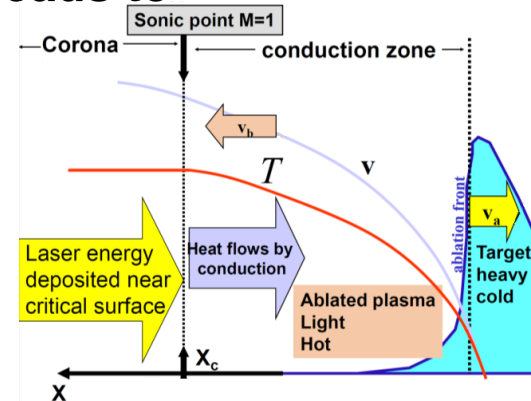
- The total pressure (static+dynamic) is the ablation pressure

$$p_A = [p + \rho v^2]_{x=x_{cr}} = 2p_{cr} \sim \left( I \rho_{cr}^{1/2} \right)^{2/3} \sim \left( \frac{I}{\lambda_L} \right)^{2/3}$$

- The laser-produced total (ablation) pressure on target:

$$p_A \text{ (Mbar)} \approx 83 \left( \frac{I_{15}}{\lambda_{L,\mu m}/0.35} \right)^{2/3}$$

$I_{15}$ : laser intensity in  $10^{15} \text{w/cm}^2$   
 $\lambda_{L,\mu m}$ : laser wavelength in  $\mu m$



# Mass ablation rate induced by the laser



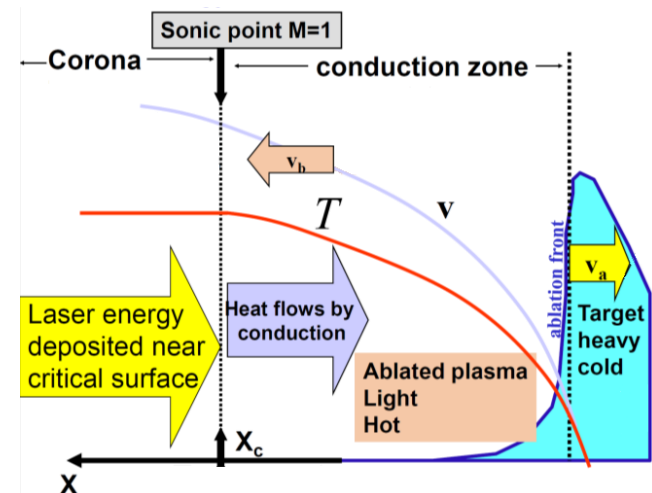
- At steady state, the mass flow across the critical surface must equal the mass flow off the shell (i.e., the mass ablation rate )

$$\dot{m}_a = \rho v = \rho_{cr} v_{cr} = \rho_{cr} C_s^{cr} = \rho_{cr} \sqrt{\frac{p_{cr}}{\rho_{cr}}} = \sqrt{\rho_{cr} p_{cr}}$$

$$\rho_{cr} \sim \frac{1}{\lambda_L^2} \quad p_{cr} \sim \left(\frac{I}{\lambda}\right)^{2/3}$$

$$\Rightarrow \dot{m}_a = \frac{I^{1/3}}{\lambda_L^{4/3}}$$

$$\dot{m}_a = 3.3 \times 10^5 \frac{I_{15}^{1/3}}{\lambda_L^{4/3}} \text{ g/cm}^2 \text{ s}$$





# Entropy of an ideal gas/plasma



- The entropy  $S$  is a property of a gas just like  $p$ ,  $T$ , and  $\rho$

$$S = c_v \ln \left[ \frac{p}{\rho^{5/3}} \text{const} \right] = c_v \ln \alpha \qquad \alpha = \text{const} \frac{p}{\rho^{5/3}}$$

- $\alpha$  is called the “adiabat”
- The entropy/adiabat  $S/\alpha$  changes through dissipation or heat sources/sinks

$$\rho \left( \frac{\partial S}{\partial t} + \vec{u} \cdot \nabla S \right) = \frac{DS}{Dt} = \mu \frac{|\nabla \vec{u}|^2}{T} + \frac{\nabla \cdot \kappa \nabla T}{T} + \text{sources/sinks}$$

- In an ideal gas (no dissipation) and without sources and sinks, the entropy/adiabat is a constant of motion of each fluid element

$$\frac{DS}{dt} = 0 \Rightarrow S, \quad \alpha = \text{const} \Rightarrow p \sim \alpha \rho^{5/3}$$

# It is easier to compress a low adiabat (entropy) gas



- **Smaller  $\alpha$  -> less work to compress from low to high density**

$$W_{1 \rightarrow 2} = - \int p dV \sim - \int_{\rho_1}^{\rho_2} \alpha \rho^{5/3} d \left( \frac{M}{\rho} \right) \sim \alpha M \left( \rho_2^{2/3} - \rho_1^{2/3} \right)$$

- **Smaller  $\alpha$  -> higher density for the same pressure**

$$\alpha \sim \frac{p}{\rho^{5/3}} \Rightarrow \rho \sim \left( \frac{p}{\alpha} \right)^{3/5}$$

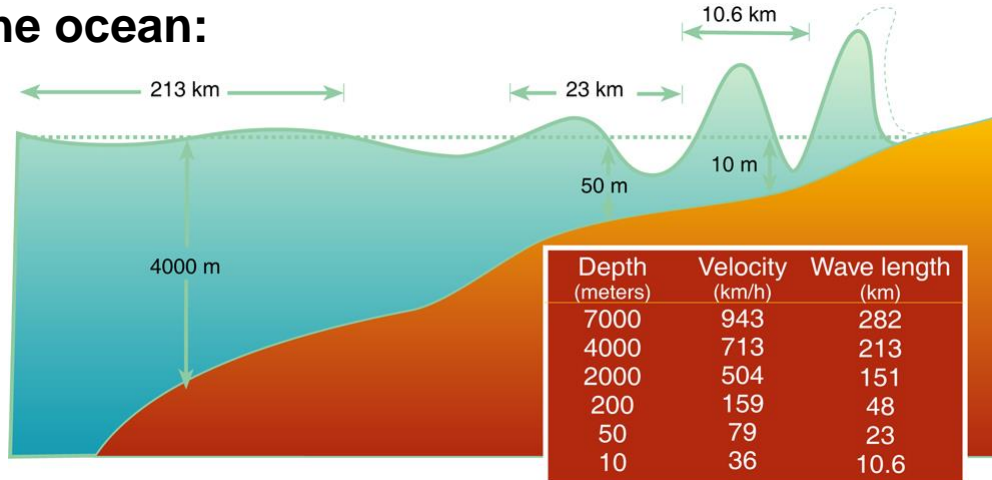
- **In HEDP, the constant in adiabat definition comes from the normalization of the pressure against the Fermi pressure.**
- **When thermal effects are negligible at very high densities, the pressure is proportional to  $p \propto \rho^{5/3}$  due to the quantum mechanical effects (degenerate electron gas) just like isentropic flow**

$$\alpha \equiv \frac{p}{\rho^{5/3}} \Rightarrow \alpha_{DT} = \frac{p_{\text{Mbar}}}{2.2 \rho_{\text{g/cc}}^{5/3}}$$

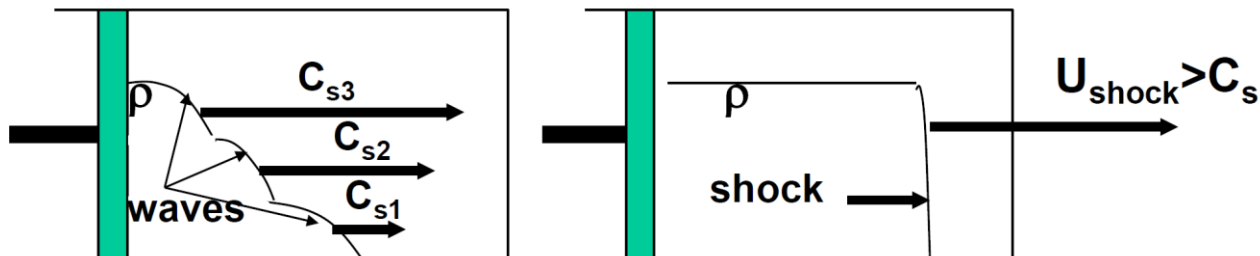
# A shock is formed due to the increasing sound speed of a compressed gas/plasma



- Wave in the ocean:



- Acoustic/compression wave driven by a piston:



$$C_s \sim \sqrt{\frac{p}{\rho}} \sim \sqrt{\frac{\alpha \rho^{5/3}}{\rho}} \sim \sqrt{\alpha} \rho^{1/3}$$

# Rankine-Hugoniot conditions are obtained using conservation of mass, momentum and energy across the shock front



$$\rho_1 u_1 = \rho_2 u_2$$

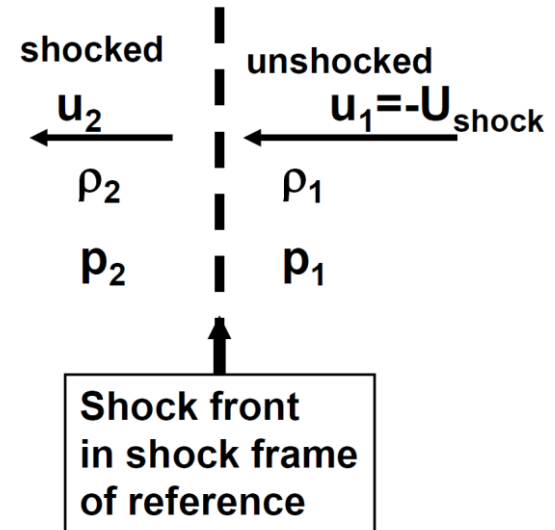
$$p_1 + \rho_1 u_1^2 = p_2 + \rho_2 u_2^2$$

$$u_1 (\varepsilon_1 + p_1) = u_2 (\varepsilon_2 + p_2)$$

- **Ideal gas/plasma:**

$$\varepsilon = \frac{3}{2}p + \rho \frac{u^2}{2}$$

- **With assigned  $\rho_1$ ,  $p_1$ , and  $p_2$ ,  $\rho_2$ ,  $u_2$ , and  $u_1 = -U_{\text{shock}}$  can be obtained using Rankine-Hugoniot conditions**



# For a strong shock where $p_2 \gg p_1$ , the R-H conditions are simplified

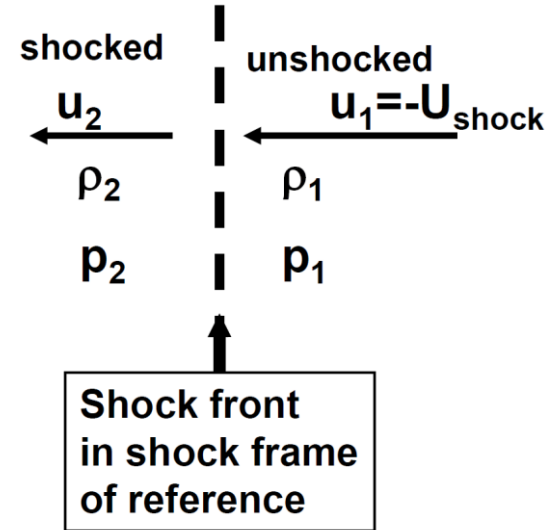


$$\frac{\rho_2}{\rho_1} \approx 4$$

$$U_{\text{shock}} = -u_1 \approx \sqrt{\frac{4p_2}{3\rho_1}}$$

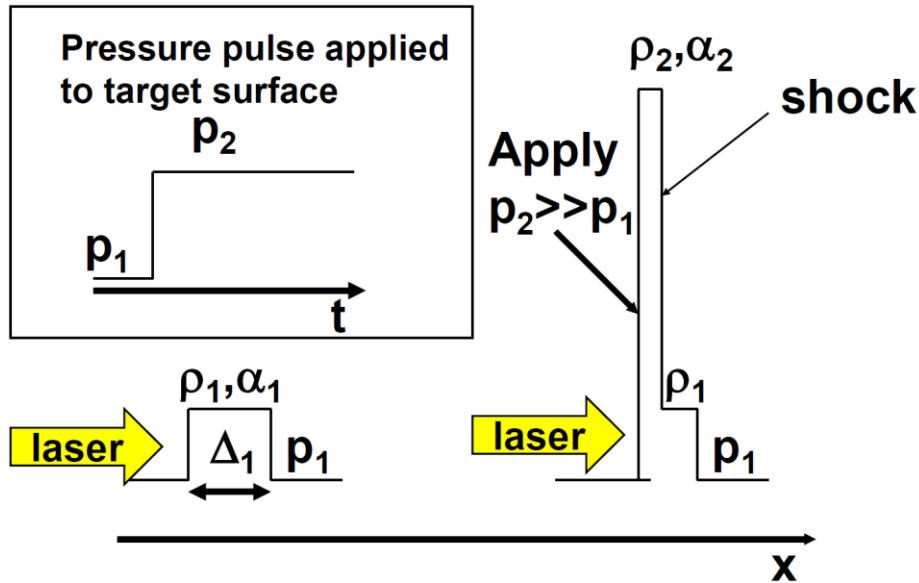
$$u_2 \approx \sqrt{\frac{p_2}{12\rho_1}}$$

$$\frac{\alpha_2}{\alpha_1} = \frac{p_2/\rho_2^{5/3}}{p_1/\rho_1^{5/3}} \approx \frac{1}{4^{5/4}} \frac{p_2}{p_1} \gg 1$$



- The adiabat increases through the shock.

# In an ideal gas/plasma, the adiabat $\alpha$ only raises when a shock is present



- Post-shock density

$$\rho_2 \approx 4\rho_1$$

- Adiabat set by the shock for DT:

$$\alpha_2 \approx \frac{p_2, \text{Mbar}}{2.2 (4\rho_1, \text{g/cc})^{5/3}}$$

- Time required for the shock to reach the rear target surface (shock break-out time,  $t_{\text{sb}}$ )

$$t_{\text{sb}} = \frac{\Delta_1}{u_{\text{shock}}} = \Delta_1 \sqrt{\frac{3\rho_1}{4p_2}} \propto \sqrt{\frac{1}{\alpha_2 \rho_1^{2/3}}}$$

# Higher laser intensity leads to higher adiabat



- For a cryogenic solid DT target at 18 k:

$$\rho_1 = 0.25 \text{ g/cc} \quad \alpha = \frac{p \text{ Mbar}}{2.2} \quad p \approx 83 \left( \frac{I_{15}}{\lambda_{\mu\text{m}}/0.35} \right)^{2/3}$$

$$I \approx 4.3 \times 10^{12} \text{ w/cm}^2 \Rightarrow p = 2.2 \text{ Mbar} \Rightarrow \alpha = 1$$

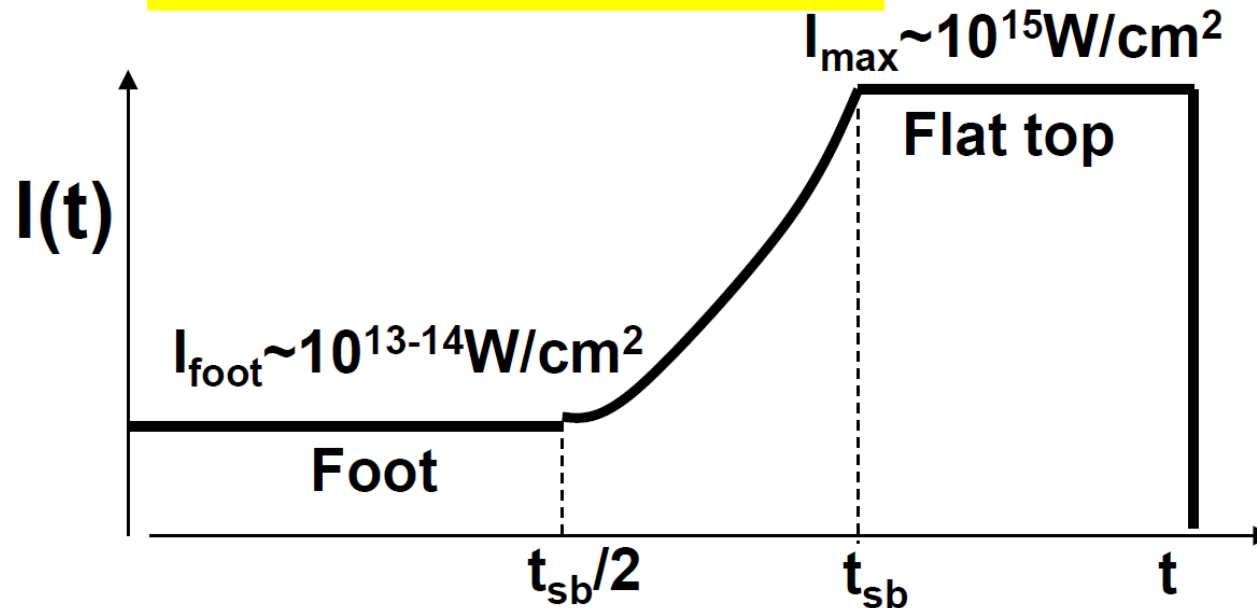
$$I \approx 1.2 \times 10^{13} \text{ w/cm}^2 \Rightarrow p = 4.4 \text{ Mbar} \Rightarrow \alpha = 2$$

$$I \approx 2.2 \times 10^{13} \text{ w/cm}^2 \Rightarrow p = 6.6 \text{ Mbar} \Rightarrow \alpha = 3$$

The pressure must be “slowly” increased after the first shock to avoid raising the adiabat



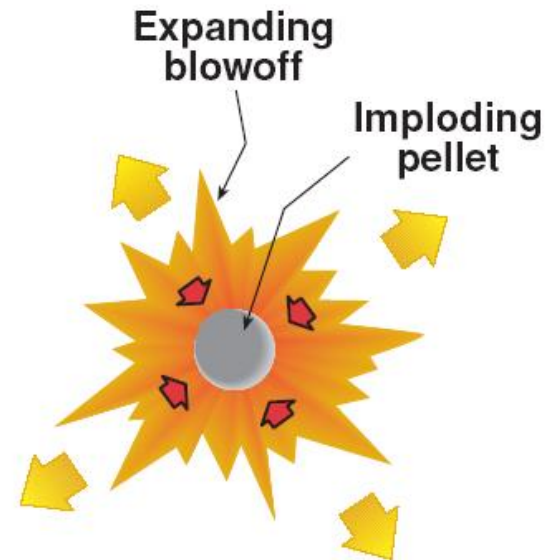
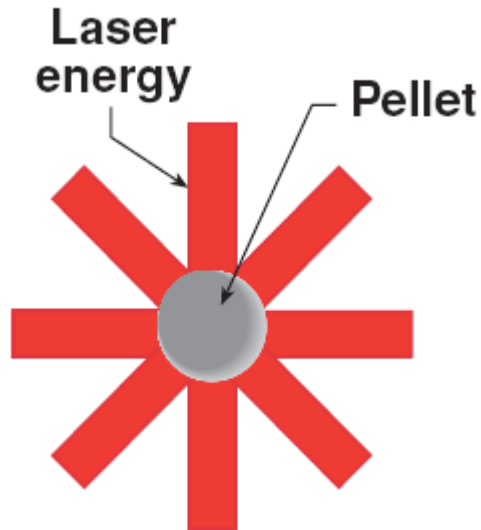
## Laser pulse shape



- After the foot of the laser pulse, the laser intensity must be raised starting at about  $0.5t_{\text{sb}}$  and reach its peak at about  $t_{\text{sb}}$
- Reaching  $I_{\text{max}}$  at  $t_{\text{sb}}$  prevents a rarefaction/decompression wave to propagate back from the rear target surface and decompress the target.



# Most of the absorbed laser energy goes into the kinetic and thermal energy of the expanding blow-off plasma



- The rocket model:

Shell Newton's law

$$M \frac{du}{dt} = -4\pi R^2 p_a$$

Shell mass decreases due to ablation

$$\frac{dM}{dt} = -4\pi R^2 \dot{m}_a$$

$p_a$  = ablation rate x exhaust velocity

$$p_a = \dot{m}_a u_{\text{exhaust}}$$

# Shell velocity can be obtained by integrating the rocket equations



$$M \frac{du}{dt} = -4\pi R^2 p_a \quad \frac{dM}{dt} = -4\pi R^2 \dot{m}_a \quad p_a = \dot{m}_a u_{\text{exhaust}}$$

$$M \frac{du}{dt} = -4\pi R^2 p_a = -4\pi R^2 \dot{m}_a u_{\text{exhaust}}$$

$$= -4\pi R^2 u_{\text{exhaust}} \frac{1}{-4\pi R^2} \frac{dM}{dt}$$

$$= u_{\text{exhaust}} \frac{dM}{dt}$$

$$\int du = u_{\text{exhaust}} \int \frac{dM}{M}$$

$$u_{\text{shell}} = u_{\text{exhaust}} \ln \left( \frac{M_{\text{initial}}}{M_{\text{final}}} \right)$$

$$E_{\text{kin}}^{\text{shell}} = \frac{M_{\text{final}}}{2} u_{\text{shell}}^2 = \frac{M_{\text{final}}}{2} \left[ u_{\text{exhaust}} \ln \left( \frac{M_{\text{initial}}}{M_{\text{final}}} \right) \right]^2$$

$$E_{\text{exhaust}} = (M_{\text{initial}} - M_{\text{final}}) \left( \frac{u_{\text{exhaust}}^2}{2} + \frac{3}{2} \frac{p_{\text{ex}}}{\rho_{\text{ex}}} \right)$$

# Maximum hydro efficiency is about 15%

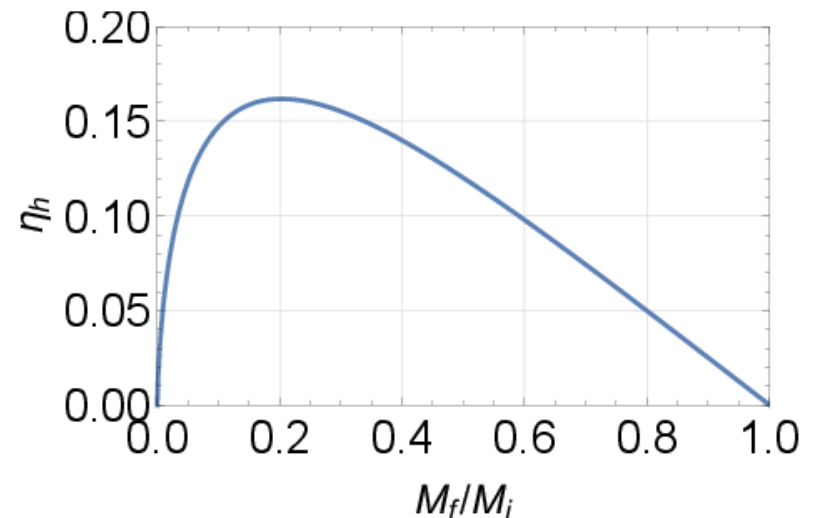


$$E_{\text{kin}}^{\text{shell}} = \frac{M_{\text{final}}}{2} u_{\text{shell}}^2 = \frac{M_{\text{final}}}{2} \left[ u_{\text{exhaust}} \ln \left( \frac{M_{\text{initial}}}{M_{\text{final}}} \right) \right]^2$$

$$E_{\text{exhaust}} = (M_{\text{initial}} - M_{\text{final}}) \left( \frac{u_{\text{exhaust}}^2}{2} + \frac{3}{2} \frac{p_{\text{ex}}}{\rho_{\text{ex}}} \right)$$

$$\text{Take } u_{\text{exhaust}}^2 \approx C_s^2 \approx \frac{p_{\text{ex}}}{\rho_{\text{ex}}}$$

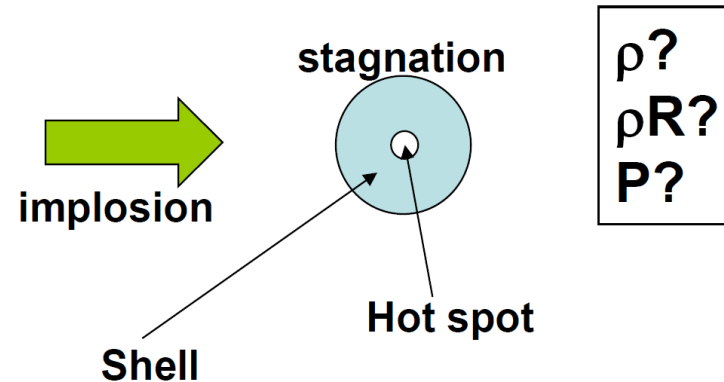
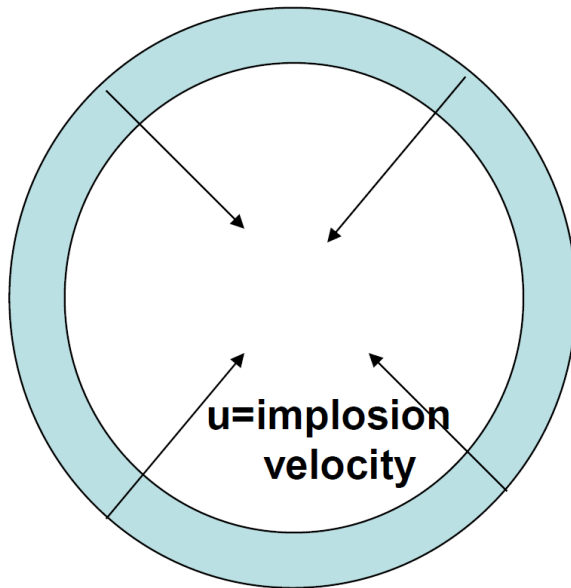
$$\eta_h = \frac{E_{\text{kin}}^{\text{shell}}}{E_{\text{exhaust}}} = \frac{M_f/M_i [\ln(M_f/M_i)]^2}{4(1 - M_f/M_i)}$$



# One dimensional implosion hydrodynamics



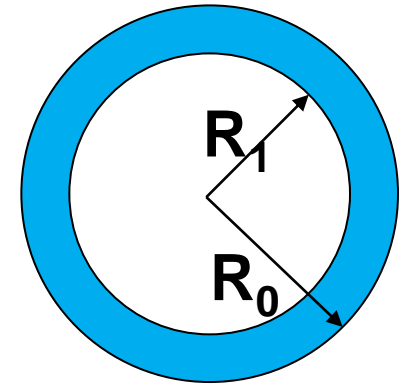
- What are the stagnation values of the relevant hydrodynamic properties?



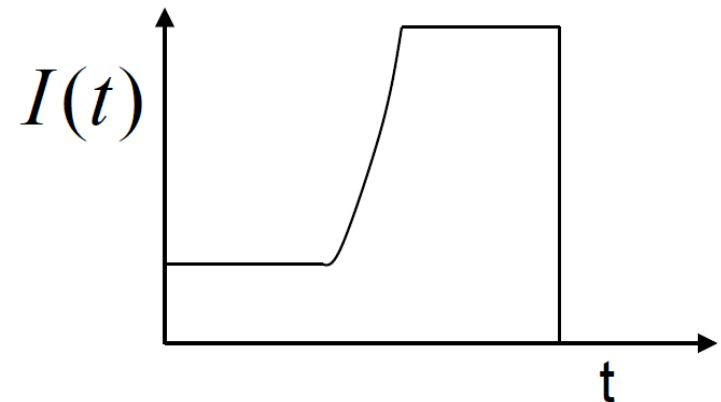
# What variables can be controlled?



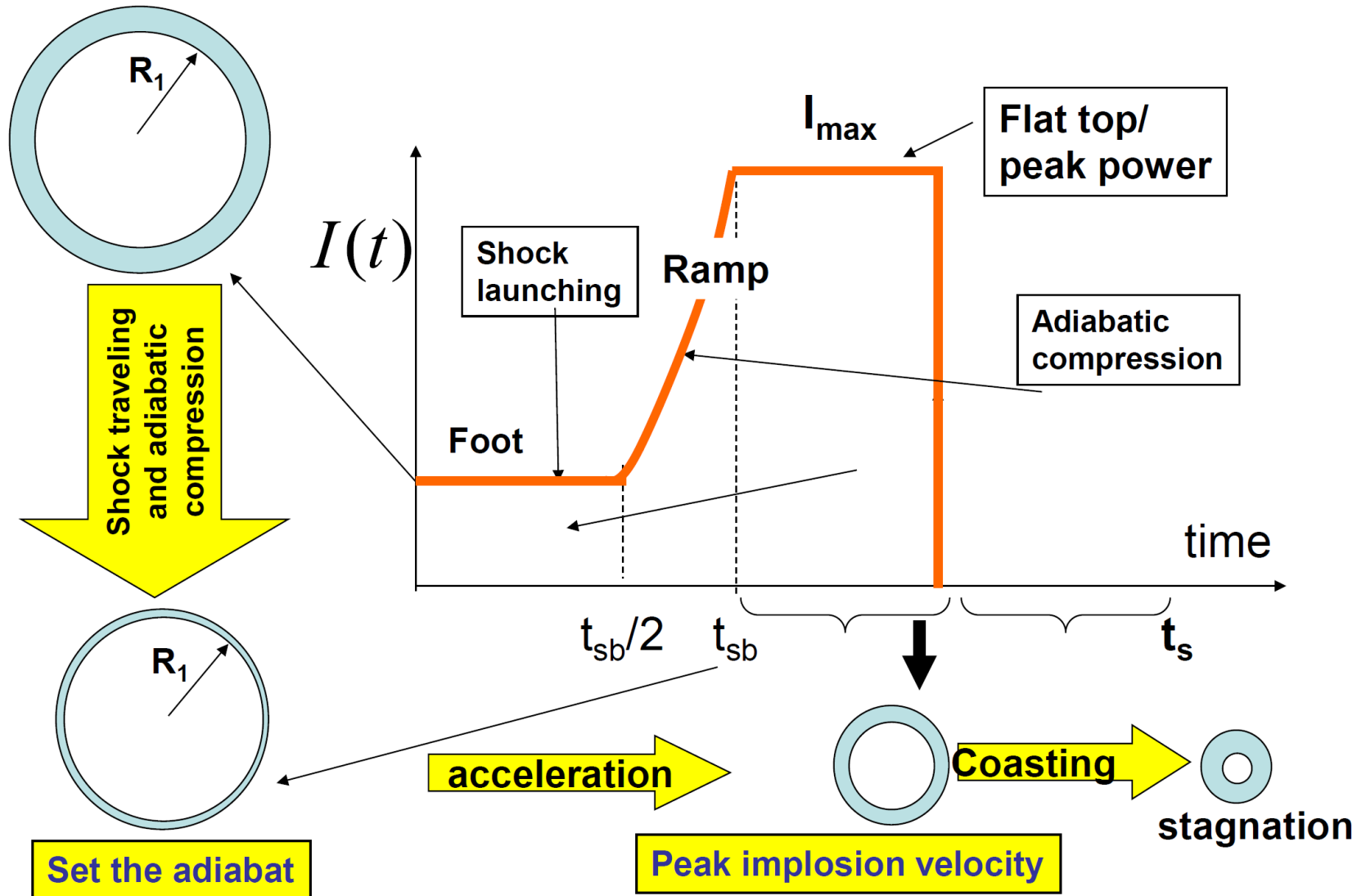
- Shell outer radius  $R_0$  at time  $t=0$
- Shell inner radius  $R_1$  at time  $t=0$
- The total laser energy on target
- Adiatat  $\alpha$  through shocks
- Applied pressure  $p(t)$  through the pulse shape  $I(t)$



$$\alpha \sim \frac{p}{\rho^{5/3}} \quad p \sim I^{2/3}$$



# There are three stages in the laser pulse: foot, ramp, and flat top

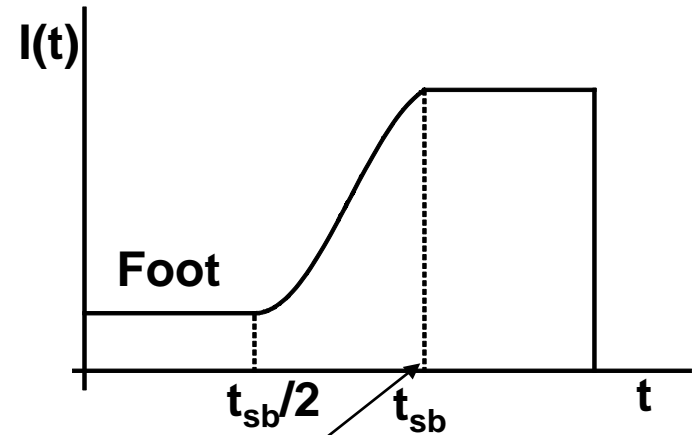


# The adiabat is set by the shock launched by the foot of the laser pulse

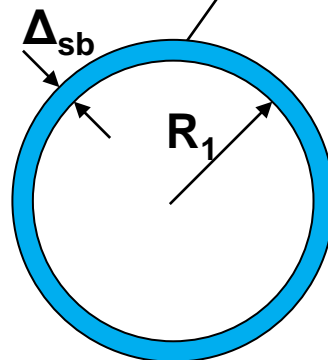
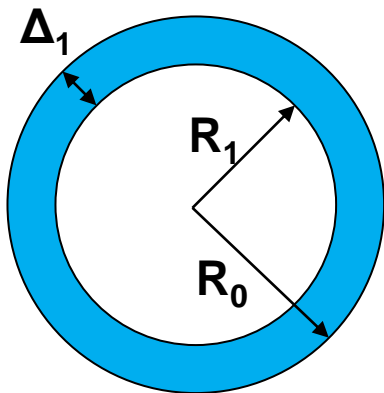


$$\alpha \sim \frac{p_{\text{foot}}}{(4\rho_1)^{5/3}}$$

$\rho_1 = \text{initial density}$



Shock break-out



$$\rho_{\text{sb}} \sim \left( \frac{p_{\text{max}}}{\alpha} \right)^{5/3} = 4\rho_1 \left( \frac{p_{\text{max}}}{p_{\text{foot}}} \right)^{3/5}$$

$$\Delta_{\text{sb}} \sim \frac{\Delta_1}{4} \left( \frac{p_{\text{foot}}}{p_{\text{max}}} \right)^{3/5}$$

# Density and thickness at shock break out time are expressed in laser intensity

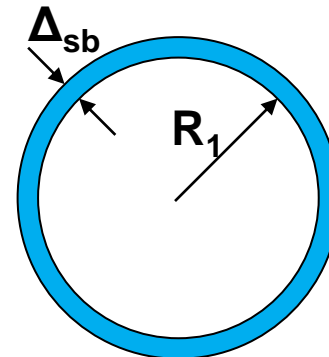


- Use  $p \sim I^{2/3}$

- Shell density 
$$\rho_{sb} \sim 4\rho_1 \left( \frac{p_{\max}}{p_{\text{foot}}} \right)^{3/5} = 4\rho_1 \left( \frac{I_{\max}}{I_{\text{foot}}} \right)^{2/5}$$

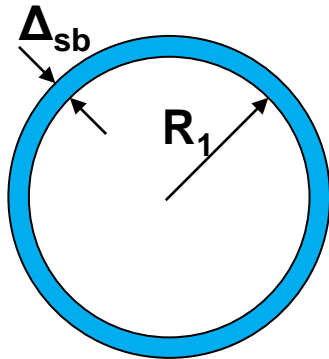
- Shell thickness 
$$\Delta_{sb} \sim \frac{\Delta_1}{4} \left( \frac{p_{\text{foot}}}{p_{\max}} \right)^{3/5} = \frac{\Delta_1}{4} \left( \frac{I_{\text{foot}}}{I_{\max}} \right)^{2/5}$$

- Shell radius 
$$R \approx R_1$$





# The aspect ratio is maximum at shock break out



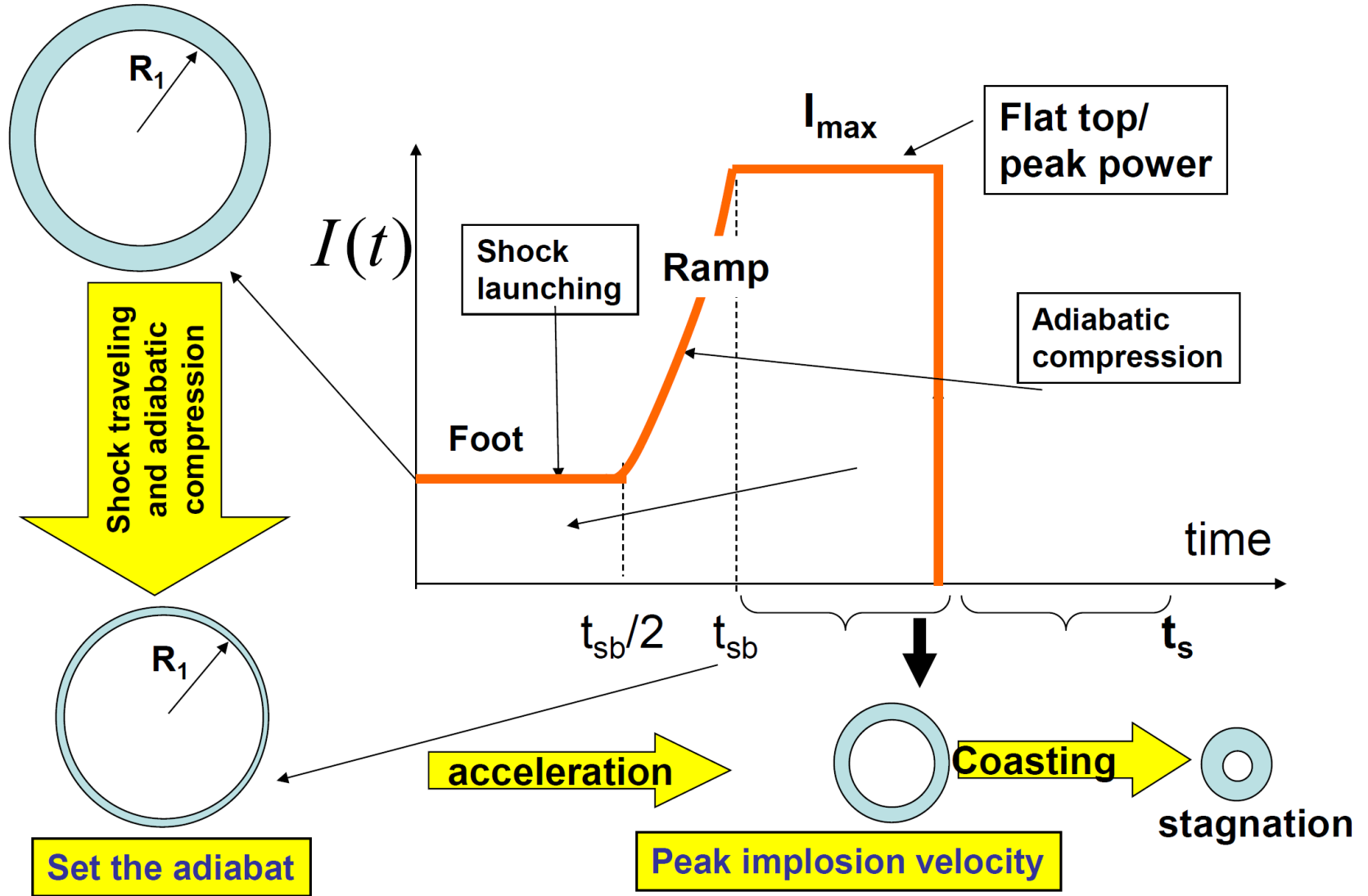
$$A_1 = \frac{R_1}{\Delta_1} = \text{initial aspect ratio}$$

$$A_{sb} = IFAR = \frac{R_1}{\Delta_{sb}} = 4A_1 \left( \frac{I_{\max}}{I_{\text{foot}}} \right)^{2/5}$$

$$A_{sb} = A_{\max}$$

**IFAR  $\equiv$  Maximum In-Flight-Aspect-Ratio = aspect ratio at shock break-out**

# There are three stages in the laser pulse: foot, ramp, and flat top

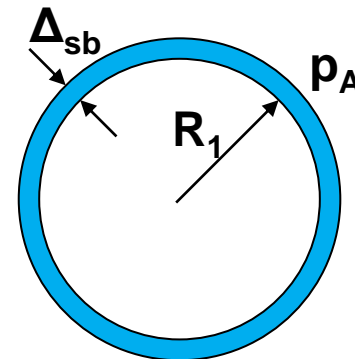


# A simple implosion theory can be derived in the limit of infinite initial aspect ratio

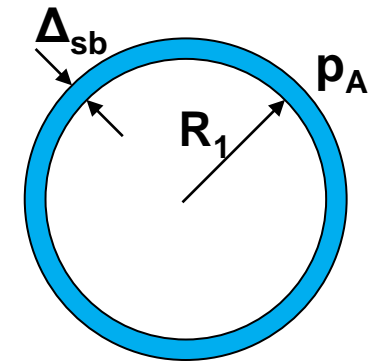
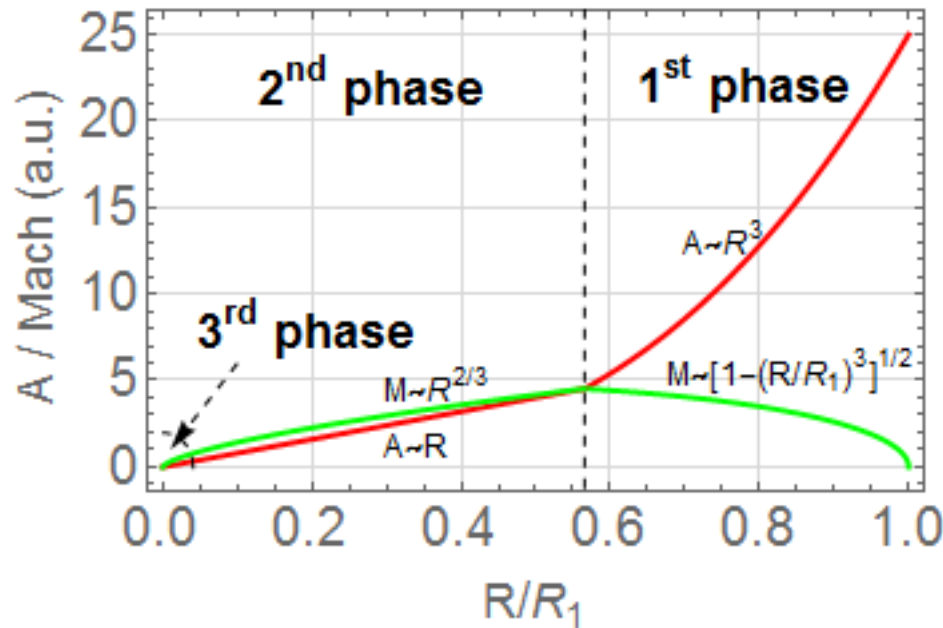


- Start from a high aspect ratio shell (thin shell) at the beginning of the acceleration phase
  - Constant ablated pressure
  - The adiabat is set and kept fixed by the first and the only shock

$$IFAR = A_{sb} = \frac{R_1}{\Delta_{sb}} \gg 1$$



# The implosion are divided in 3 phases after the shock break out



- 1<sup>st</sup> phase: acceleration
- 2<sup>nd</sup> phase: coasting
- 3<sup>rd</sup> phase: stagnation

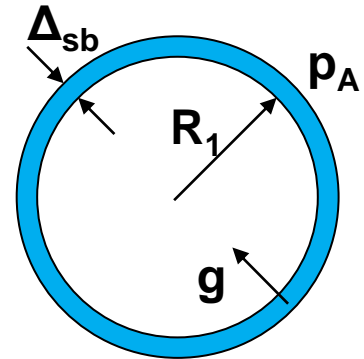
# The shell density is constant



- In the shell frame of reference:

$$\rho(\partial_t \vec{w} + \vec{w} \cdot \nabla \vec{w}) = -\nabla p + \rho g \hat{r}$$

Neglect the first two term (check later)  $\Rightarrow \frac{dp}{dr} = -\rho \ddot{R}$

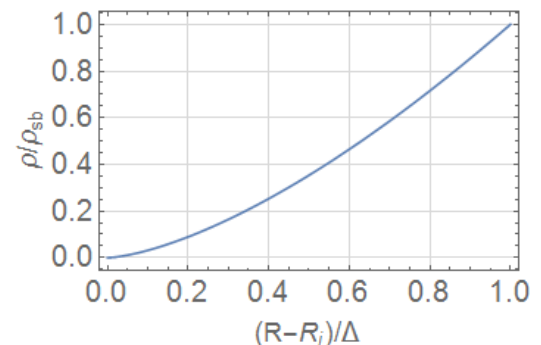


Use  $p = \alpha_0 \rho^{5/3}$  and integrate along r:

$$\alpha_0 \frac{d\rho^{5/3}}{dr} = -\rho \ddot{R} \Rightarrow \alpha_0 \frac{d\rho^{5/3}}{\rho} = -\ddot{R} dr \Rightarrow \alpha_0 \frac{5}{3} \int_{@R_i}^{@R} \frac{\rho^{2/3}}{\rho} d\rho = -\ddot{R}(t) \int_{R_i}^R dr$$

$$\rho = \rho_{sb} \left( \frac{R - R_i}{\Delta} \right)^{3/2}$$

$$\begin{aligned} \text{where } \Delta &= -\frac{5}{2} \frac{\alpha_0 \rho_{sb}^{2/3}}{\ddot{R}} = -\frac{3}{2} \frac{5}{3} \frac{p_A}{\rho_{sb} \ddot{R}} \\ &= -\frac{3}{2} \frac{C_s}{\ddot{R}(t)} \end{aligned}$$



# The requirement of the 1<sup>st</sup> phase is obtained using mass conservation



- Mass conservation:

$$m = \int_{R_i}^{R_i+\Delta} \rho r^2 dr = \rho_{sb} \int_{R_i}^{R_i+\Delta} \left( \frac{r - R_i}{\Delta} \right)^{3/2} r^2 dr$$

$$\simeq \rho_{sb} R_i^2 \Delta \int_{R_i}^{R_i+\Delta} \left( \frac{r - R_i}{\Delta} \right)^{3/2} d \left( \frac{r - R_i}{\Delta} \right) = \frac{2}{5} \rho_{sb} R_i^2 \Delta \sim \frac{2}{5} \rho_{sb} R^2 \Delta$$

$$\Delta = \frac{5}{2} \frac{m}{\rho_{sb} R^2} \Rightarrow \dot{\Delta} = \frac{5}{2} \frac{m}{\rho_{sb}} (-2) \frac{\dot{R}}{R^3} = -2 \frac{\dot{R}}{R} \Delta = -2 \frac{v}{A}$$

$$\rho(\partial_t \vec{w} + \vec{w} \cdot \nabla \vec{w}) = -\nabla p + \rho g \hat{r} \quad \vec{w} \sim \dot{\Delta} \quad \partial_t \sim 1/t_{\text{imp}} \quad \nabla \sim 1/\Delta$$

$$\Rightarrow \rho \left( \frac{\dot{\Delta}}{t_{\text{imp}}} + \frac{\dot{\Delta}^2}{\Delta} \right) \sim -\frac{p}{\Delta} + \rho \ddot{R} \quad \rho \frac{\dot{\Delta}}{t_{\text{imp}}} \sim \rho \frac{v}{A t_{\text{imp}}} \sim \rho \frac{v^2}{AR} \quad \rho \frac{\dot{\Delta}^2}{\Delta} \sim \rho \frac{v^2}{A^2 \Delta} \sim \rho \frac{v^2}{AR}$$

$$\rho \frac{\dot{\Delta}}{t_{\text{imp}}} / \frac{p}{\Delta} \sim \rho \frac{v^2}{AR} \frac{\Delta}{p} \sim \frac{v^2}{C_s^2} \frac{1}{A^2} = \frac{M^2}{A^2}$$

•  $M \ll A$  is the requirement for the 1<sup>st</sup> phase

# Aspect ratio and Mach number are functions of radius



$$A = \frac{R}{\Delta} = R^3 \left( \frac{2 \rho_{sb}}{5 m} \right) \Rightarrow A = A_{sb} \left( \frac{R}{R_1} \right)^3 = \text{IFAR} \left( \frac{R}{R_1} \right)^3$$

$$\Delta = -\frac{3 C_s^2}{2 \dot{R}} \Rightarrow \ddot{R} = -\frac{3 C_s^2}{2 \Delta} = -\frac{3}{2} \left( \frac{5 m}{2 \rho_{sb} R^2} \right)^{-1} \left( \frac{5 p_A}{3 \rho_{sb}} \right)$$

$$\dot{R} \frac{d\dot{R}}{dt} = -\frac{p_A}{m} R^2 \dot{R} \quad \frac{1}{2} \int d\dot{R}^2 = -\frac{p_A}{m} \int R^2 dR \quad \dot{R}^2 = \frac{2 p_A R_1^3}{3 m} \left[ 1 - \left( \frac{R}{R_1} \right)^3 \right]$$

$$\text{Mach}^2 = \frac{\dot{R}^2}{C_s^2} = \frac{2 p_A R_1^3}{3 m} \frac{3 \rho_{sb}}{5 p_A} \left[ 1 - \left( \frac{R}{R_1} \right)^3 \right] = \frac{2 R_1^3 \rho_{sb}}{5 m} \left[ 1 - \left( \frac{R}{R_1} \right)^3 \right]$$

$$\text{Mach} = \text{Mach}_{\max} \left[ 1 - \left( \frac{R}{R_1} \right)^3 \right]^{1/2}$$

$$\begin{aligned} \text{Mach}_{\max}^2 &= \frac{2 R_1^3 \rho_{sb}}{5 m} = \frac{2}{5} \frac{5}{2} \frac{R_1^3 \rho_{sb}}{\rho_{sb} R_1^2 \Delta_{sb}} \\ &= \frac{R_1}{\Delta_{sb}} = A_{sb} \end{aligned}$$

# The model breaks down when $A \sim \text{Mach}$



$$A \sim \text{Mach} \quad A_{\text{sb}} \left( \frac{R}{R_1} \right)^3 \sim \text{Mach}_{\text{max}} \left[ 1 - \left( \frac{R}{R_1} \right)^3 \right]^{1/2} = \sqrt{A_{\text{sb}}} \left[ 1 - \left( \frac{R}{R_1} \right)^3 \right]^{1/2}$$

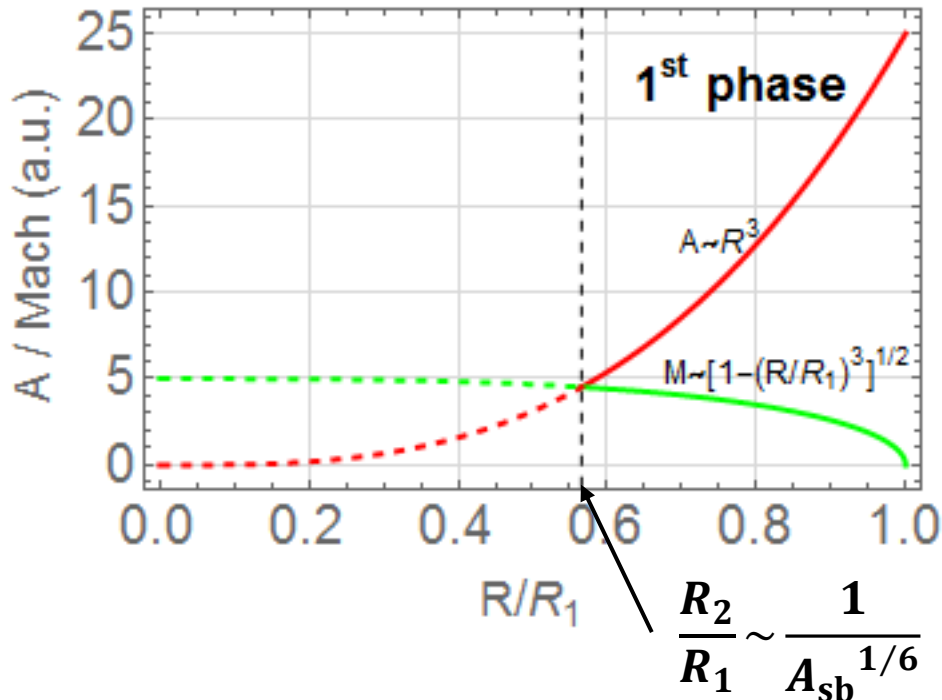
$$A_{\text{sb}} \left( \frac{R}{R_1} \right)^6 \sim 1 - \left( \frac{R}{R_1} \right)^3 \Rightarrow A_{\text{sb}} \left( \frac{R}{R_1} \right)^6 + \left( \frac{R}{R_1} \right)^3 - 1 \sim 0$$

$$\left( \frac{R}{R_1} \right)^3 \sim \frac{-1 \pm \sqrt{1 + 4A_{\text{sb}}}}{2A_{\text{sb}}} \sim \frac{-1 \pm 2\sqrt{A_{\text{sb}}}}{2A_{\text{sb}}} \sim \frac{1}{\sqrt{A_{\text{sb}}}} \quad \because \sqrt{A_{\text{sb}}} \gg 1$$

$$\frac{R}{R_1} \sim \frac{1}{A_{\text{sb}}^{1/6}} \ll 1 \quad A = A_{\text{sb}} \left( \frac{R}{R_1} \right)^3 \sim \sqrt{A_{\text{sb}}} \gg 1$$



# Summary of phase 1 (acceleration phase)



$$\frac{1}{A_{sb}^{1/6}} < \frac{R}{R_1} \leq 1$$

$$A = A_{sb} \left( \frac{R}{R_1} \right)^3 = \text{IFAR} \left( \frac{R}{R_1} \right)^3$$

$$\text{Mach} = \text{Mach}_{max} \left[ 1 - \left( \frac{R}{R_1} \right)^3 \right]^{1/2}$$

$$\begin{aligned} \text{Mach}_2 &\simeq \text{Mach}_{max} \left( 1 - \frac{1}{\sqrt{A_{sb}}} \right)^{1/2} \simeq \text{Mach}_{max} & A_2 &\sim \sqrt{A_{sb}} \\ &= \sqrt{A_{sb}} \end{aligned}$$

# The 2<sup>nd</sup> phase starts when $R < R_2$



- A decreases as R decreases. Eventually,  $A < \text{Mach}$
- $A \gg 1$  is required for thin shell model
- Assuming that the laser is off (coasting phase) when  $R/R_1 \sim A_{\text{sb}}^{1/6}$

$$\rho(\partial_t \vec{w} + \vec{w} \cdot \nabla \vec{w}) = -\nabla p \quad t_{\text{imp}2} \sim \frac{R_2}{u_i}$$

$$\Rightarrow \frac{\dot{\Delta}}{t_{\text{imp}2}} + \frac{\dot{\Delta}^2}{\Delta} \sim -\frac{p/\rho}{\Delta} \quad \frac{\dot{\Delta}}{t_{\text{imp}2}} = \frac{\dot{\Delta}}{\Delta} \frac{u_i}{R_2/\Delta} = \frac{\dot{\Delta} u_i}{\Delta A}$$

$$\underbrace{\frac{\dot{\Delta} u_i}{A}}_{(1)} + \underbrace{\dot{\Delta}^2}_{(2)} \sim \underbrace{C_s^2}_{(3)}$$

- There are two cases:
  - Case 1: (3)  $\ll$  (1) and/or (2)
  - Case 2: (3)  $\sim$  (1) and/or (2)

# The shell thickness does not change in the 2<sup>nd</sup> phase (coasting phase)



- Case 1: (3)  $\ll$  (1) and/or (2)

$$\underbrace{\frac{\dot{\Delta} u_i}{A}}_{(1)} + \underbrace{\dot{\Delta}^2}_{(2)} \sim \underbrace{C_s^2}_{(3)}$$

$$\dot{\Delta} \left( \frac{u_i}{A} + \dot{\Delta} \right) \sim 0 \quad \dot{\Delta} \sim 0 \quad \text{or} \quad \Delta \equiv \Delta_2 = \text{constant}$$

- Case 2: (3)  $\sim$  (1) and/or (2) and  $A \ll \text{Mach}$

$$- \text{(3)} \sim \text{(1)} \quad \frac{\dot{\Delta} u_i}{A} \sim C_s^2 \Rightarrow \dot{\Delta} \sim \frac{C_s A}{u_i / C_s} = \frac{C_s A}{\text{Mach}}$$

$$\frac{\delta \Delta}{\Delta} \sim \frac{\dot{\Delta} t_{\text{imp}2}}{\Delta} \sim \frac{1}{\Delta} \frac{C_s A}{\text{Mach}} \frac{R_2}{u_i} \sim \frac{A^2}{\text{Mach}^2} \ll 1$$

$$- \text{(3)} \sim \text{(2)} \quad \dot{\Delta}^2 \sim C_s^2 \quad \frac{\delta \Delta}{\Delta} \sim \frac{\dot{\Delta} t_{\text{imp}2}}{\Delta} \sim \frac{C_s}{\Delta} \frac{R_2}{u_i} \sim \frac{A}{\text{Mach}} \ll 1$$

$$\Delta \equiv \Delta_2 = \text{constant} = \frac{\Delta_2}{R_2} R_2 = \frac{R_2}{A_2} \frac{R_1}{R_1} = \frac{1}{A_{sb}^{1/6}} \frac{R_1}{\sqrt{A_{sb}}} \sim \frac{R_1}{A_{sb}^{2/3}}$$

# To verify that $A \ll \text{Mach}$



- Comparison of  $A$  and Mach:

$$A \approx \frac{R}{h_2} \frac{R_2}{R_2} = A_2 \left( \frac{R}{R_2} \right) \quad \text{Mach} \sim \frac{u_i}{C_s} \sim \frac{u_i}{\sqrt{p/\rho}} \sim \frac{u_i}{\sqrt{\alpha \rho^{2/3}}} = \frac{u_i}{\alpha^{1/2} \rho^{1/3}}$$

$$m \sim \bar{\rho} R^2 \Delta \simeq \bar{\rho} R^2 \Delta_2 \quad \Rightarrow \quad \bar{\rho} \simeq \frac{m}{R^2 \Delta_2}$$

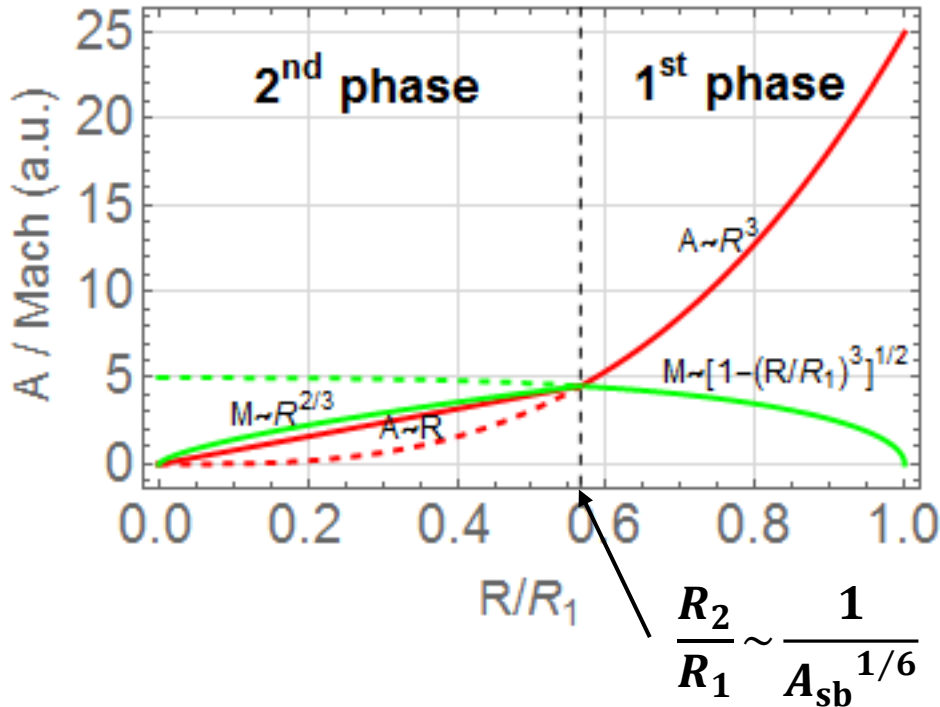
$$\text{Mach} \sim \frac{u_i}{\alpha^{1/2}} \left( \frac{R^2 \Delta_2}{m} \right)^{1/3} = \frac{u_i}{\alpha^{1/2}} \left( \frac{\Delta_2 R_2^2}{m} \right)^{1/3} \left( \frac{R}{R_2} \right)^{2/3} = \text{Mach}_2 \left( \frac{R}{R_2} \right)^{2/3}$$

$$\text{where } \text{Mach}_2 = \text{Mach}(R = R_2) = \frac{u_i}{\alpha^{1/2}} \left( \frac{R_2^2 \Delta_2}{m} \right)^{1/3} \sim A_2 \sim \sqrt{A_{\text{sb}}}$$

$$\frac{A}{\text{Mach}} \sim \frac{A_2 \left( \frac{R}{R_2} \right)}{\text{Mach}_2 \left( \frac{R}{R_2} \right)^{2/3}} \sim \left( \frac{R}{R_2} \right)^{1/3} \ll 1$$

- Requirement for thin shell model:  $A \gg 1 \Rightarrow A_2 \left( \frac{R}{R_2} \right) \gg 1 \Rightarrow \frac{R}{R_2} \gg \frac{1}{A_2} \sim \frac{1}{\sqrt{A_{\text{sb}}}}$

# Summary of phase 2 (coasting phase)



$$1 < A < \sqrt{A_{sb}} \quad A < \text{Mach}$$

$$\frac{1}{\sqrt{A_{sb}}} \sim \frac{1}{A_2} < \frac{R}{R_2} < 1$$

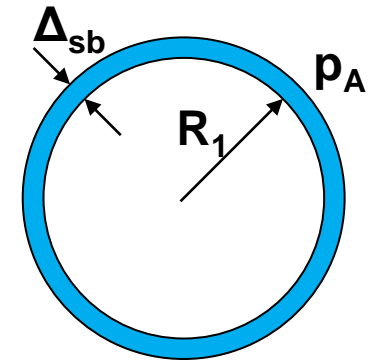
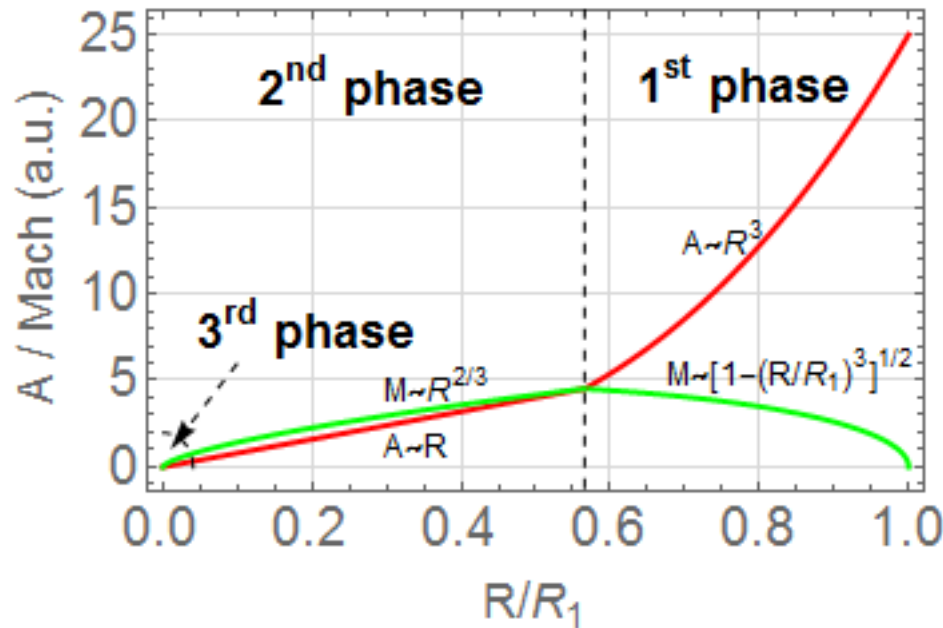
$$A = A_2 \left( \frac{R}{R_2} \right) \sim \sqrt{A_{sb}} \left( \frac{R}{R_2} \right)$$

$$\text{Mach} \sim \text{Mach}_2 \left( \frac{R}{R_2} \right)^{2/3} \sim \sqrt{A_{sb}} \left( \frac{R}{R_2} \right)^{2/3}$$

$$\text{Mach}_2 = \text{Mach}_{\text{max}} \simeq A_2 = \sqrt{A_{sb}}$$

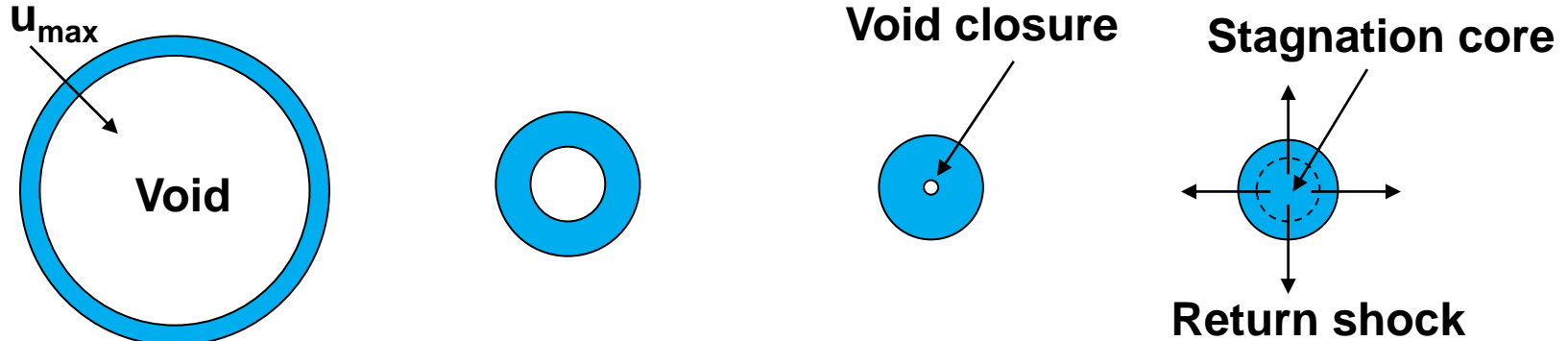
$$\Delta \simeq \text{constant} = \Delta_2 \sim \frac{R_1}{A_{sb}^{2/3}} \quad \bar{\rho} \simeq \rho_2 \left( \frac{R_2}{R} \right)^2 \sim \rho_{sb} \left( \frac{R_2}{R} \right)^2$$

# How about the 3<sup>rd</sup> phase where $A \sim 1$ ?



- 1<sup>st</sup> phase: acceleration
- 2<sup>nd</sup> phase: coasting
- 3<sup>rd</sup> phase: stagnation

# The thin shell model breaks down when $A \sim 1$



- When  $A \sim 1 \Rightarrow \Delta \sim R$ , the “void” inside the shell closes and a “return shock” propagating outward is generated due to the collision of the shell with itself
- The density is compressed by a factor no more than 4 even if the strong shock is generated

$\rho_{st} \sim \rho_3$  where  $\rho_3$  is the density right before the void closure

# The stagnated density scales with square of the maximum Mach number



$$\rho_3 \sim \rho_2 \left( \frac{R_2}{R_3} \right)^2 \sim \rho_{sb} \left( \frac{R_2}{R_3} \right)^2$$

$$A = A_3 \sim 1 \Rightarrow \frac{R_3}{\Delta_3} \sim \frac{R_3}{\Delta_2} \sim 1 \Rightarrow R_3 \sim \Delta_2$$

$$\rho_{st} \sim \rho_3 \sim \rho_{sb} \left( \frac{R_2}{\Delta_2} \right)^2 \sim \rho_{sb} A_2^2 \sim \rho_{sb} \text{Mach}_2^2 \sim \rho_{sb} \text{Mach}_{\max}^2$$

$$\frac{\rho_{st}}{\rho_{sb}} \sim \text{Mach}_{\max}^2$$



# The stagnated pressure scales to the 4<sup>th</sup> power of the maximum Mach number



- Conservation of energy at stagnation:

$$p_{st} R_{st}^3 \sim m u_{max}^2 \quad R_{st} \sim R_3 \sim \Delta_3 \sim \Delta_2 \quad \Rightarrow \quad p_{st} \Delta_2^3 \sim m u_{max}^2 \sim \rho_2 R_2^2 \Delta_2 u_{max}^2$$

$$p_{st} \sim \rho_2 \left( \frac{R_2}{\Delta_2} \right)^2 u_{max}^2 = \rho_2 A_2^2 u_{max}^2 \sim p_2 \frac{\text{Mach}_2^2 u_{max}^2}{p_2 / \rho_2} \sim p_A \text{Mach}_2^4 \sim p_A \text{Mach}_{max}^4$$

$$\frac{p_{st}}{p_A} \sim \text{Mach}_{max}^4$$

$$\alpha_{st} \sim \frac{p_{st}}{\rho_{st}^{5/3}} \sim \frac{p_A \text{Mach}_{max}^4}{\rho_{sb}^{5/3} \text{Mach}_{max}^{10/3}} = \alpha_{sb} \text{Mach}_{max}^{2/3}$$

$$\frac{\alpha_{st}}{\alpha_{sb}} \sim \text{Mach}_{max}^{2/3}$$

# Scaling of the areal density of the compressed core



$$\rho_{st} R_{st} \sim \rho_{st} \Delta_2 \sim \left( \frac{p_{st}}{\alpha_{st}} \right)^{3/5} \frac{\Delta_2}{R_2} \frac{R_2}{R_1} R_1 \sim \left( \frac{p_A \text{Mach}_{\max}^4}{\alpha_{sb} \text{Mach}_{\max}^{2/3}} \right)^{3/5} \frac{1}{A_2} \frac{1}{A_{sb}^{1/6}} R_1$$

$$A_2 \sim \text{Mach}_{\max} \quad A_{sb} \sim \text{Mach}_{\max}^2$$

$$\begin{aligned} \rho_{st} R_{st} &\sim \left( \frac{p_A}{\alpha_{sb}} \right)^{3/5} \text{Mach}_{\max}^2 \frac{1}{\text{Mach}_{\max}} \frac{1}{\text{Mach}_{\max}^{1/2}} R_1 \\ &\sim \left( \frac{p_A}{\alpha_{sb}} \right)^{3/5} \text{Mach}_{\max}^{2/3} R_1 \sim \left( \frac{p_A}{\alpha_{sb}} \right)^{3/5} \frac{u_{\max}^{2/3}}{(p_A/\rho_{sb})^{1/3}} \frac{p_0^{1/3} R_1}{p_0^{1/3}} \\ &\sim \left( \frac{p_A}{\alpha_{sb}} \right)^{3/5} \frac{u_{\max}^{2/3}}{(p_A^{2/5} \alpha_{sb}^{3/5})^{1/3}} \frac{(p_A R_1^3)^{1/3}}{p_A^{1/3}} \sim \frac{p_A^{2/15}}{\alpha_{sb}^{4/5}} u_{\max}^{2/3} E_k^{1/3} \end{aligned}$$

$$E_k \sim E_{\text{las}} \Rightarrow$$

$$\rho_{st} R_{st} \sim \frac{p_A^{2/15} u_{\max}^{2/3} E_{\text{las}}^{1/3}}{\alpha_{sb}^{4/5}}$$

# Amplification of areal density



$$\rho_{st} R_{st} \sim \rho_{st}^{2/3} (\rho_{st} R_{st}^3)^{1/3} \sim \rho_{sb}^{2/3} \text{Mach}_{\max}^{4/3} \text{Mass}^{1/3}$$

$$\sim \frac{\rho_{sb}^{2/3}}{\rho_1^{2/3}} \text{Mach}_{\max}^{4/3} \rho_1^{2/3} (\rho_1 R_1^2 \Delta_1)^{1/3}$$

$$\rho_{st} R_{st} \sim (\rho_1 \Delta_1) \text{Mach}_{\max}^{4/3} A_1^{2/3} \left( \frac{\rho_{sb}}{\rho_1} \right)^{2/3}$$

$$\frac{\rho_{sb}}{\rho_1} = 4 \left( \frac{I_{\max}}{I_{\text{foot}}} \right)^{2/5}$$

$$(\rho R)_{st} \sim (\rho_1 \Delta_1) \text{IFAR}^{2/3} A_1^{2/3} \left( \frac{I_{\max}}{I_{\text{foot}}} \right)^{4/15}$$

$$E_{\text{las}} = 4\pi R_1^2 I_{\max} t_{\text{imp}} \approx 4\pi R_1^2 I_{\max} \frac{R_1}{u_{\max}}$$

$$E_{\text{las}} \approx \frac{4\pi R_1^3 I_{\max}}{u_{\max}}$$

# Summary



$$A_{sb} = \text{IFAR} = 4A_1 \left( \frac{I_{\max}}{I_{\text{foot}}} \right)^{2/5} \quad u_{\max, \text{cm/s}} \approx 10^7 \sqrt{0.7A_1 \alpha^{3/5} I_{15, \max}^{4/15} \left( \frac{I_{\max}}{I_{\text{foot}}} \right)^{2/5}}$$

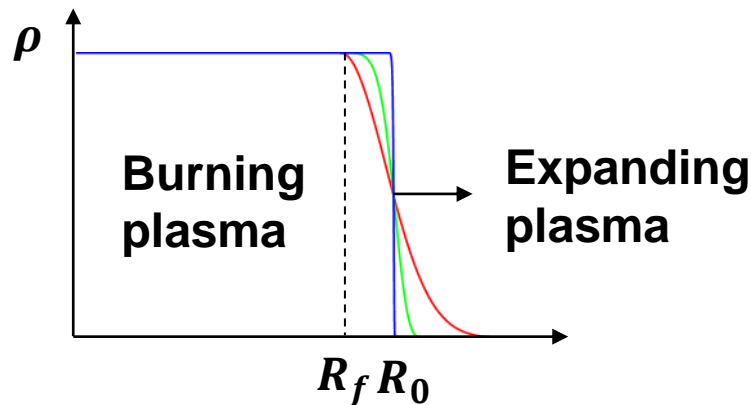
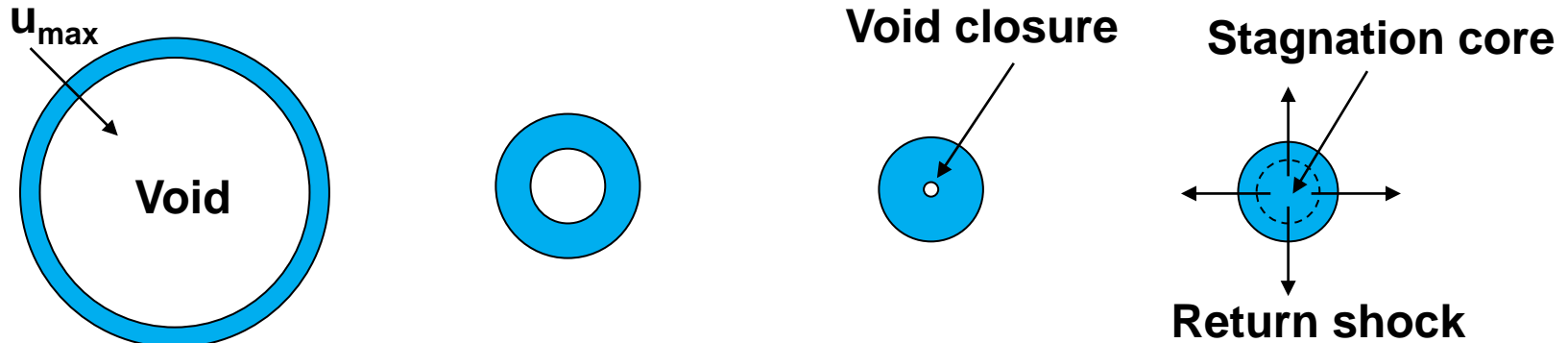
$$\rho_{st} \sim \rho_{sb} \text{Mach}_{\max}^2 \sim \rho_1 \text{IFAR} \left( \frac{I_{\max}}{I_{\text{foot}}} \right)^{2/5}$$

$$p_{st} \sim p_A \text{Mach}_{\max}^4 \sim p_A \text{IFAR}^2$$

$$\alpha_{st} \sim \alpha_{sb} \text{Mach}_{\max}^{2/3} \sim \alpha_{sb} \text{IFAR}^{1/3}$$

$$(\rho R)_{st} \sim (\rho_1 \Delta_1) \text{IFAR}^{2/3} A_1^{2/3} \left( \frac{I_{\max}}{I_{\text{foot}}} \right)^{4/15}$$

# Calculation of the burn-up fraction



$$R_f = R_0 - C_s t$$

$$\frac{\partial n_i}{\partial t} = -\nabla \cdot (n_i v) - \frac{n_i^2}{4} \langle \sigma v \rangle \times 2$$

# Calculation of the burn-up fraction - continue



$$4\pi \int_0^{R_f} r^2 dr \left( \frac{\partial n_i}{\partial t} = -\nabla \cdot (n_i v) - \frac{n_i^2}{2} \langle \sigma v \rangle \right)$$

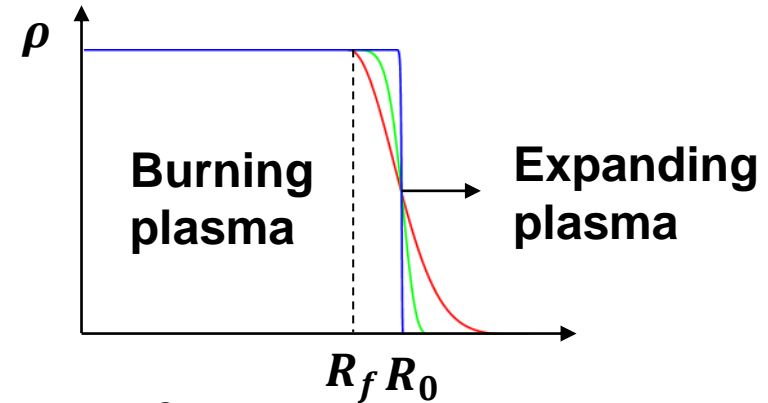
$$4\pi \int_0^{R_f} r^2 \frac{\partial n_i}{\partial t} dr = 4\pi \int_0^{R_f} \frac{\partial r^2 n_i}{\partial t} dr$$

$$= 4\pi \partial_t \int_0^{R_f} r^2 n_i dr - 4\pi \dot{R}_f R_f^2 n_i = -n_i v 4\pi R_f^2 - \frac{n_i^2}{2} \langle \sigma v \rangle V_f$$

$$N_f \equiv \frac{4\pi}{3} R_f^3 n_i \equiv V_f n_i$$

$$d_t N_f - 3N_f \frac{\dot{R}_f}{R_f} = -\frac{N_f^2}{V_f} \frac{\langle \sigma v \rangle}{2}$$

$$\frac{d_t N_f}{N_f^2} - \frac{3\dot{R}_f}{N_f R_f} = -\frac{\langle \sigma v \rangle}{2V_f}$$



(Leibniz integral rule)

$$d_t \frac{1}{N_f} + \frac{3\dot{R}_f}{N_f R_f} = \frac{\langle \sigma v \rangle}{2V_f}$$

$$\begin{aligned} R_f^3 d_t \frac{1}{N_f} + 3R_f^2 \frac{\dot{R}_f}{N_f} \\ = \frac{d}{dt} \left( \frac{R_f^3}{N_f} \right) = \frac{\langle \sigma v \rangle}{2V_f} R_f^3 \end{aligned}$$

# Calculation of the burn-up fraction - continue



$$\frac{d}{dt} \left( \frac{R_f^3}{N_f} \right) = \frac{\langle \sigma v \rangle}{2V_f} R_f^3 \quad \frac{R_f^3}{N_f} = \int_0^t \frac{\langle \sigma v \rangle}{2V_f} R_f^3 dt + \frac{R_0^3}{N_0}$$

$$R_f = R_0 - C_s t \quad dt = -\frac{dR_f}{C_s}$$

$$\frac{R_f^3}{N_f} = - \int_{R_0}^{R_f} \frac{\langle \sigma v \rangle}{2 \times 4\pi/3 C_s} dR_f + \frac{R_0^3}{N_0}$$

$$\frac{R_f^3}{N_f} = - \int_{R_0}^{R_f} \frac{\langle \sigma v \rangle}{2C_s} \frac{3}{4\pi} dR_f + \frac{R_0^3}{N_0}$$

$$\frac{R_f^3}{N_f} = \frac{\langle \sigma v \rangle}{2C_s} \frac{3}{4\pi} (R_0 - R_f) + \frac{R_0^3}{N_0}$$

$$\frac{V_f}{N_f} = \frac{\langle \sigma v \rangle}{2C_s} R_0 \left( 1 - \frac{R_f}{R_0} \right) + \frac{V_0}{N_0}$$

$$\frac{V_f}{N_f} = \frac{V_0}{N_0} \left[ 1 + \frac{\langle \sigma v \rangle}{2C_s} n_0 R_0 \left( 1 - \frac{R_f}{R_0} \right) \right]$$

$$\xi \equiv \frac{\langle \sigma v \rangle}{2C_s} n_0 R_0$$

$$\frac{V_f}{N_f} = \frac{1}{n_0} \left[ 1 + \xi \left( 1 - \frac{R_f}{R_0} \right) \right]$$

# Calculation of the burn-up fraction - continue



$$\frac{V_f}{N_f} = \frac{1}{n_0} \left[ 1 + \xi \left( 1 - \frac{R_f}{R_0} \right) \right]$$

$$\begin{aligned} \text{\#Burned ions} &= \int_0^t \frac{\langle \sigma v \rangle}{2} n_i^2 V_f dt = \int_0^t \frac{\langle \sigma v \rangle}{2} \frac{N_f^2}{V_f} dt = - \int_{R_0}^{R_f} \frac{\langle \sigma v \rangle}{2} \left( \frac{N_f}{V_f} \right)^2 V_f \frac{dR_f}{C_s} \\ &= \int_{R_f}^{R_0} \frac{\langle \sigma v \rangle}{2} \frac{n_0^2}{\left[ 1 + \xi \left( 1 - \frac{R_f}{R_0} \right) \right]^2} \left( \frac{R_f}{R_0} \right)^3 V_0 R_0 \frac{dR_f/R_0}{C_s} \\ &= \int \frac{\langle \sigma v \rangle}{2} \frac{n_0^2}{[1 + \xi(1 - x)]^2} x^3 V_0 \frac{R_0}{C_s} dx = N_0 \xi \int_0^1 \frac{x^3 dx}{[1 + \xi(1 - x)]^2} \\ &= N_0 \frac{\xi[6 + \xi(9 + 2\xi)] - 6(1 + \xi)^2 \text{Ln}[1 + \xi]}{2\xi^3} \end{aligned}$$

**\#Burn-up Fraction**

$$\theta(\xi) = \frac{\xi[6 + \xi(9 + 2\xi)] - 6(1 + \xi)^2 \text{Ln}[1 + \xi]}{2\xi^3}$$



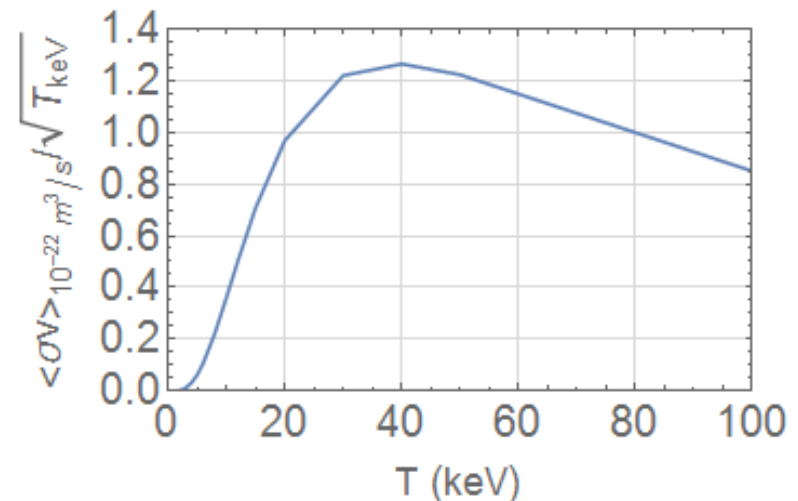
# Calculation of the burn-up fraction - continue



$$C_s = \sqrt{\frac{T_e + T_i}{m_i}} = \sqrt{\frac{2T}{m_i}} \quad \rho = n_0 m_i \quad m_i = \frac{m_D + m_T}{2} = 2.5 \times 1.67 \times 10^{-27} \text{ kg}$$

$$\xi = \frac{\langle \sigma v \rangle}{\sqrt{T}} (\rho R_0) \frac{1}{2\sqrt{2m_i}} = \frac{\langle \sigma v \rangle_{m^3/s}}{\sqrt{T_{\text{keV}} \times 1.6 \times 10^{-16}}} \frac{(\rho R_0)_{g/cm^2} \times 10}{2\sqrt{5 \times 1.67 \times 10^{-27}}}$$

$$\xi \approx \frac{1.25 \times 10^{-22}}{\sqrt{1.6 \times 10^{-16}}} \frac{10(\rho R_0)_{g/cm^2}}{2\sqrt{5 \times 1.67 \times 10^{-27}}} = 0.54(\rho R_0)_{g/cm^2}$$



# Smallest areal density ( $\rho R$ )



#Burned-up Fraction  $\theta(\xi) = \frac{\xi[6 + \xi(9 + 2\xi)] - 6(1 + \xi)^2 \text{Ln}[1 + \xi]}{2\xi^3}$

$$\lim_{\xi \rightarrow 0} \theta(\xi) = \frac{\xi}{4} \quad \lim_{\xi \rightarrow \infty} \theta(\xi) = 1 \quad \theta(\xi) \approx \frac{\xi}{4 + \xi} \quad \xi \simeq 0.54(\rho R_0)_{g/cm^2}$$

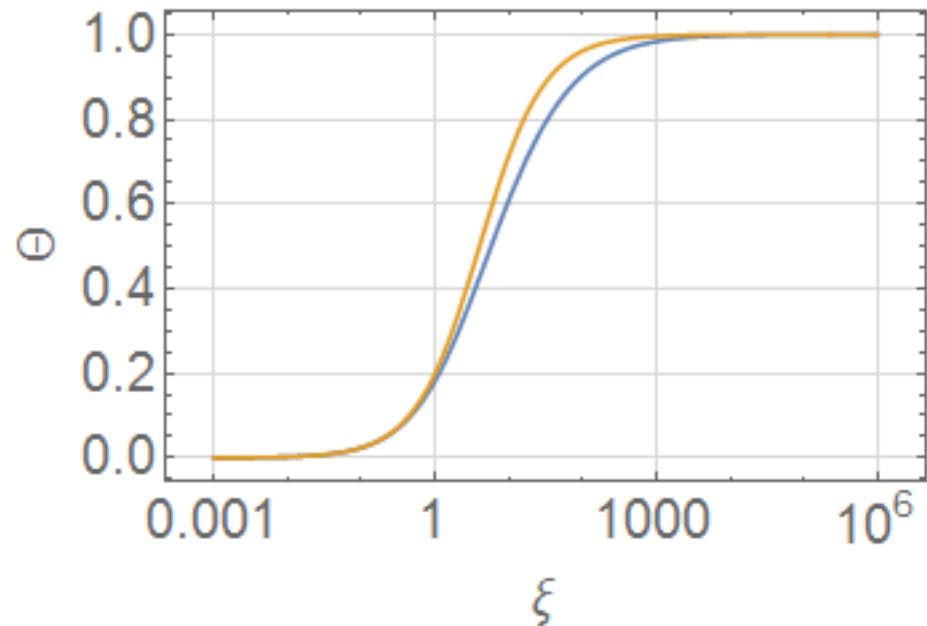
$$\theta(\xi) \approx \frac{0.54\rho R}{4 + 0.54\rho R}$$

$$\theta(\xi) \approx \frac{(\rho R)_{g/cm^2}}{7 + (\rho R)_{g/cm^2}}$$

For energy applications:

$$\theta \gtrsim 0.3$$

$$\rho R \geq 3 \text{ g/cm}^2$$



# Energy gain



$$\text{Fusion energy} = \frac{M_0}{2m_i} \epsilon_f \Theta$$

$$\epsilon_f = 17.6 \text{ MeV}$$

$$\text{Energy gain} = \frac{\text{Fusion Energy}}{\text{Input Energy}}$$

**Mass =  $M_0$**   
**Temp =  $T$**   
**DT**  
**Volume =  $V_0$**

- Input energy: the sphere is heated to the temperature  $T$

$$\text{Thermal energy in sphere: } \frac{3}{2} (n_{i0} T_i + n_{e0} T_e) V_0$$

$$n_{i0} = n_{e0} \equiv n_0 \quad T_e = T_i \Rightarrow 3n_0 T V_0 = 3 \frac{M_0}{m_i} T$$

$$\text{Set heating efficiency: } \eta = \frac{\text{Thermal Energy}}{\text{Input Energy}}$$

$$\text{Gain} = \eta \frac{M_0}{2m_i} \frac{\epsilon_f \Theta}{3 \frac{M_0}{m_i} T} = \frac{\eta}{6} \frac{\epsilon_f}{T} \Theta$$

$$\text{Gain} = \eta 293 \left( \frac{10}{T_{\text{keV}}} \right) \Theta$$

# The power to heat the plasma is enormous



- Consider the small T limit:

$$\theta(\xi) \approx \frac{\xi}{4 + \xi} \quad \xi \equiv \frac{\langle \sigma v \rangle}{2C_s} n_0 R_0 = \frac{\langle \sigma v \rangle}{\sqrt{T}} (\rho R_0) \frac{1}{2\sqrt{2m_i}}$$

$\langle \sigma v \rangle \sim T^4$  for  $T \rightarrow 0$ , then  $\xi \sim T^{7/2}$  and  $Gain \sim T^{5/2} \rightarrow 0$

$$P_w = \frac{E_{\text{input}}}{\tau_{\text{input}}} \quad \tau_{\text{input}} \ll \tau_{\text{burn}} = \frac{R}{C_s} \quad (\text{Heat out before it runs away})$$

$$P_w = \frac{E_{\text{input}}}{\mu R / C_s} = \frac{E_{\text{thermal}}}{\eta \mu R / C_s} = 3 \frac{M_0}{m_i} \frac{T}{R} \frac{C_s}{\eta \mu} \quad \tau_{\text{input}} = \mu \frac{R}{C_s} \quad \text{Ex: } \mu \sim 0.1$$

$$\frac{P_w}{M_0} = \frac{3}{m_i} \frac{T}{R} \frac{C_s}{\eta \mu} = \frac{3}{m_i} \frac{T}{R} \sqrt{\frac{2T}{m_i}} \frac{1}{\eta \mu}$$

$$\frac{P_w}{M_0} = 10^{18} \left( \frac{T_{\text{keV}}}{10} \right)^{3/2} \frac{0.1}{\mu} \frac{1}{R_{\text{cm}}} \frac{1}{\eta} \text{ Watts/g}$$

# A clever way is needed to ignite a target



- For  $T = 10$  keV

$$\xi \approx 0.18(\rho R) \quad \text{Gain}|_{10\text{keV}} \approx 293\eta \frac{0.18\rho R}{4 + 0.18\rho R} \approx 293\eta \frac{\rho R_{g/cm^2}}{22 + \rho R_{g/cm^2}}$$

- For  $T=40$  keV

$$\xi \approx 0.54(\rho R) \quad \text{Gain}|_{40\text{keV}} \approx 73\eta \frac{\rho R_{g/cm^2}}{7 + \rho R_{g/cm^2}}$$

- For Gains  $\gtrsim 100$

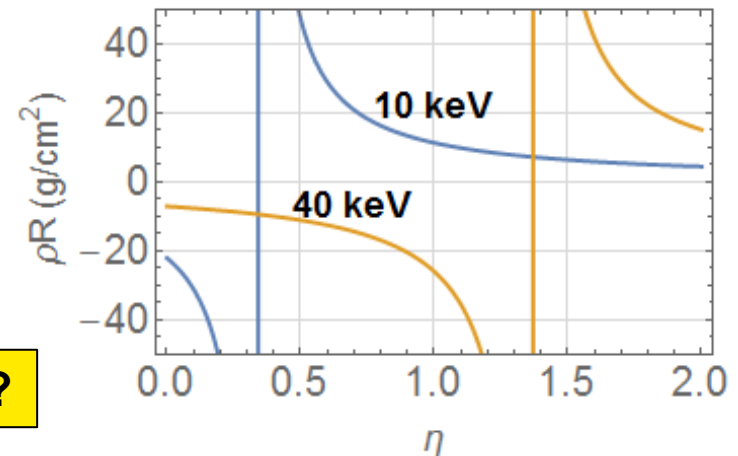
- $T = 10$  keV

$$\rho R \gtrsim 22 \text{ g/cm}^2 \quad \eta > 1$$

- $T = 40$  keV

$$\eta > 1$$

How do we get  $\eta > 1$ ?



# Requirement to ignite a target



- For  $T=10$  keV and  $\rho R \gtrsim 22$  g/cm<sup>2</sup>

$$\rho R = \frac{4\pi}{3} \frac{\rho R^3}{4\pi R^2/3} = \frac{M_0}{\frac{4\pi}{3} R^2} = \frac{3}{4\pi} \frac{M_0}{R^2} \gtrsim 22 \text{ g/cm}^2$$

$$\frac{M_0}{R^2} \gtrsim 92 \text{ g/cm}^2$$

$$P_w|_{10\text{keV}} = 10^{18} \left( \frac{T_{\text{keV}}}{10} \right)^{3/2} \frac{0.1}{\mu} \frac{M_0}{R_{\text{cm}}} \frac{1}{\eta} = 10^{18} \frac{0.1}{\mu} \frac{1}{\eta} 92 R_{\text{cm}} \text{ Watts}$$

$$P_w|_{10\text{keV}} \approx 10^{20} \frac{0.1}{\mu} \frac{R_{\text{cm}}}{\eta} \text{ Watts}$$

- For  $T=40$ keV

$$\rho R \gtrsim 7 \Rightarrow \frac{M_0}{R^2} \gtrsim 30 \text{ g/cm}^2$$

$$P_w|_{40\text{keV}} \approx 2.4 \times 10^{20} \frac{0.1}{\mu} \frac{R_{\text{cm}}}{\eta} \text{ Watts}$$

- Needed:

$$R_{\text{cm}} \ll 1$$

$$\eta \gg 1$$

$$\mu \gg 0.1$$

# Requirements to ignite a target



$$P_w|_{10keV} \approx 10^{20} \frac{0.1 R_{cm}}{\mu} \frac{1}{\eta} \text{ Watts}$$

- $R_{cm} \ll 1$  : sphere size in the order of 100's um
- $\eta \gg 1$  : input energy amplification
- $\mu \gg 0.1$  : energy delivery time decoupled from burn time. Need longer energy delivery time. Need to bring down power to  $\sim 10^{15}$  W

# Math....#!@%\$\$#&^%\$#



$$P_w = 10^{18} \frac{M_{0,g}}{\eta} \left( \frac{T_{\text{keV}}}{10} \right)^{3/2} \frac{0.1}{\mu} \frac{1}{R_{\text{cm}}} \text{ Watts/g}$$

$$\tau_{\text{input}} = \mu \frac{R}{C_s} \quad \text{Ex: } \mu \sim 0.1 \quad \eta = \frac{\text{Thermal Energy}}{\text{Input Energy}}$$

$$\text{Gain} = \eta 293 \left( \frac{10}{T_{\text{keV}}} \right) \theta(\xi) \quad \theta(\xi) \approx \frac{\xi}{4 + \xi} \quad \xi = \frac{\langle \sigma v \rangle}{2m_i C_s} (\rho R_0)$$

$$G_{\text{max}} \equiv 293 \eta \left( \frac{10}{T_{\text{keV}}} \right) \quad G = G_{\text{max}} \frac{\xi}{4 + \xi} \Rightarrow \xi = \frac{4G}{G_{\text{max}} - G}$$

$$P_w = \frac{10^{18}}{\eta} \left( \frac{T_{\text{keV}}}{10} \right)^{3/2} \frac{0.1}{\mu} \frac{4\pi}{3} \frac{\rho R_0^3}{R_0} = \frac{10^{18}}{\eta} \left( \frac{T_{\text{keV}}}{10} \right)^{3/2} \frac{0.1}{\mu} \frac{4\pi}{3} (\rho R_0) R_0$$



# More math...!#\$%%^&\*&^(\*&%)(#%!@\$#%%^\*&\*%(



$$P_w = \frac{10^{18}}{\eta} \left( \frac{T_{\text{kev}}}{10} \right)^{3/2} \frac{0.14\pi}{\mu} \frac{\rho R_0^3}{3 R_0} = \frac{10^{18}}{\eta} \left( \frac{T_{\text{kev}}}{10} \right)^{3/2} \frac{0.14\pi}{\mu} \frac{1}{3} (\rho R_0) R_0$$

$$= \frac{10^{18}}{\eta} \left( \frac{T_{\text{kev}}}{10} \right)^{3/2} \frac{0.14\pi}{\mu} \frac{1}{3} R_0 \frac{2m_i C_s}{\langle \sigma v \rangle} \xi \quad \text{where } \xi \equiv \frac{\langle \sigma v \rangle}{2m_i C_s} (\rho R)$$

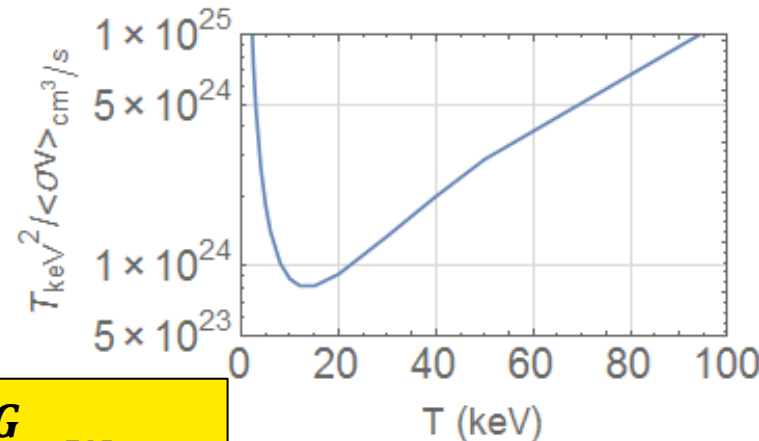
$$= \frac{10^{18}}{\eta} \left( \frac{T_{\text{kev}}}{10} \right)^{3/2} \frac{0.132\pi}{\mu} \frac{1}{3} R_{0,\text{cm}} \frac{\sqrt{T m_i}}{\langle \sigma v \rangle} \frac{G}{G_{\text{max}} - G} \quad \text{where } C_s = \sqrt{\frac{2T}{m_i}}$$

$$P_w = \frac{10^{18}}{\eta} \frac{T_{\text{kev}}^2}{\langle \sigma v \rangle_{\text{cm}^2/\text{s}}} \frac{0.1}{\mu} R_{0,\text{cm}} \frac{G}{G_{\text{max}} - G} \text{ Watts}$$

$$\left. \frac{T_{\text{kev}}^2}{\langle \sigma v \rangle_{\text{cm}^2/\text{s}}} \right|_{\text{min}} = 8 \times 10^{23} \quad \text{for } T = 14\text{keV}$$

$$\frac{G}{G_{\text{max}} - G} \approx \frac{G}{G_{\text{max}}}$$

$$P_w \approx \frac{7 \times 10^{19}}{\eta} \frac{0.1}{\mu} R_{0,\text{cm}} \frac{G}{G_{\text{max}}} \text{ Watts}$$



# Need to lower the power by 5 orders of magnitude



$$P_w \approx \frac{7 \times 10^{19}}{\eta} \frac{0.1}{\mu} R_{0,\text{cm}} \frac{G}{G_{\text{max}}} \text{Watts}$$

- $\mu \uparrow$  :
- $\eta \uparrow$  : require the fuel ignition from a “spark.” Ignite only a small portion of the DT plasma, i.e.,  $M_h \ll M_0$
- $R_0 \downarrow$  : smaller system size

$$P_w = P_w(M_0) \frac{M_h}{M_0}$$

$$P_w^{\text{min}} = \frac{7 \times 10^{15}}{\eta_h} \left( \frac{M_h/M_0}{0.01} \right) \left( \frac{R_{0,\mu\text{m}}}{100} \right) \left( \frac{0.1}{\mu} \right) \left( \frac{G}{G_{\text{max}}} \right) \text{Watts}$$

↖ Effective increase in  $\eta$

# Lawson's Ignition Criterion



- Approximate the power losses:

$$\text{Plasma energy: } \epsilon = \frac{3}{2}PV = \frac{3}{2}(2nT)V = 3nTV$$

$$P_{\text{losses}}^{\text{total}} = P_{\text{losses}} + P_{\text{brem}} = \frac{3nTV}{\tau_E} + C_b n^2 \sqrt{TV}$$

- Plasma energy balance:

Confinement time

$$\frac{d\epsilon}{dt} = V \left\{ \langle \sigma v \rangle \frac{n^2}{4} \epsilon_\alpha - C_b n^2 \sqrt{T} - \frac{3nT}{\tau_E} \right\} > 0$$

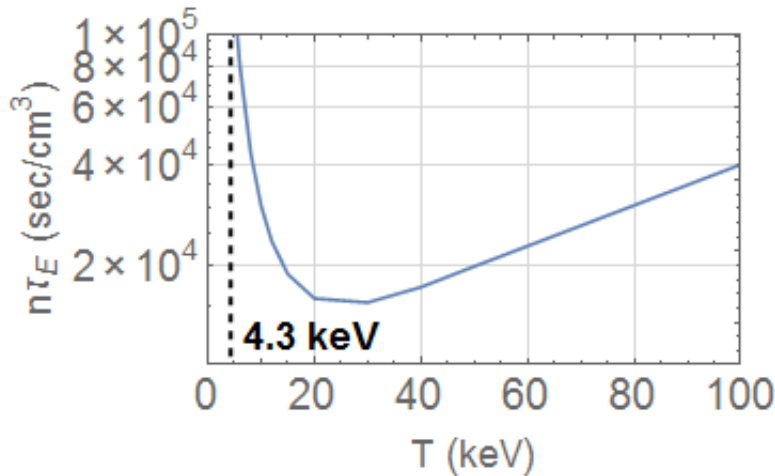
$$n^2 \left[ \frac{1}{4} \langle \sigma v \rangle \epsilon_\alpha - C_b \sqrt{T} \right] > \frac{3nT}{\tau_E}$$

$$n\tau_E > \frac{3T}{\frac{1}{4} \langle \sigma v \rangle \epsilon_\alpha - C_b \sqrt{T}}$$

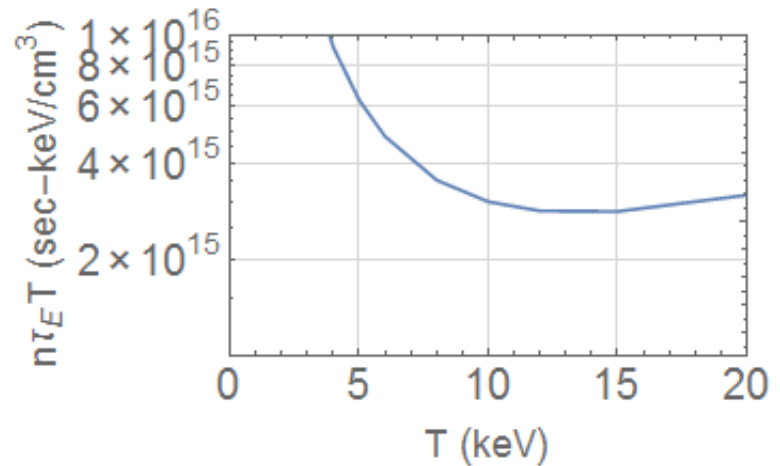
# Temperature needs to be greater than ~5 keV to ignite



$$n\tau_E > \frac{3T}{\frac{1}{4} \langle \sigma v \rangle \epsilon_\alpha - C_b \sqrt{T}}$$



$$n\tau_E > 2 \times 10^4 \text{ sec/cm}^3$$



$$nT\tau_E > 3.5 \times 10^{15} \text{ keV - sec/cm}^3$$

# Target design using an 1MJ laser



$$P_w^{\min} = \frac{7 \times 10^{15}}{\eta_h} \left( \frac{M_h/M_0}{0.01} \right) \left( \frac{R_{0,\mu\text{m}}}{100} \right) \left( \frac{0.1}{\mu} \right) \left( \frac{G}{G_{\max}} \right) \text{Watts}$$

- For the case of using a huge laser, ex: 1MJ.
- The ignition requires temperatures  $T \gtrsim 5\text{keV}$  , then

$$E_{\text{ign}} \approx 3 \frac{M_h}{m_i} \frac{T}{\eta_h}$$

$$M_h \approx \frac{m_i}{3} \frac{\eta_h E_{\text{ign}}}{T}$$

$$M_{h,\mu\text{g}} \approx 17 \left( \frac{5}{T_{\text{keV}}} \right) E_{\text{igm,MJ}} \left( \frac{\eta_h}{0.01} \right)$$

$$M_h \approx 20\mu\text{g}$$

# Target design using an 1MJ laser - continue



- For “inefficient” heating mechanism ( $\eta_h \approx 1\%$ ), the mass that can be heated to  $T \approx 5\text{keV}$  is in the order of  $M_h \approx 20\mu\text{g}$
- If  $M_h/M_0 \approx 0.01$ , then  $M_0 \approx 2\text{mg}$ .

- Assuming that the burned-up fraction  $\theta \approx \frac{\rho R}{7 + \rho R}$

for  $\theta \approx 30\% \rightarrow \rho R \approx 3 \text{ g/cm}^2$

$$M_0 = \frac{4\pi}{3} \rho R^3 = \frac{4\pi}{3} R^2 (\rho R) \qquad R = \sqrt{\frac{4\pi}{3} \frac{M_0}{\rho R}} = 126 \sqrt{\frac{M_{0,\text{mg}}}{2}} \sqrt{\frac{3}{\rho R}} \mu\text{m}$$

$$\rho = \frac{3M_0}{4\pi R^3} = 240 \sqrt{\frac{M_{0,\text{mg}}}{2}} \left(\frac{126}{R_{\mu\text{m}}}\right)^3 \text{ g/cm}^3 \qquad \rho_{\text{DT}} = 0.25 \text{ g/cm}^3$$

- DT must be compressed  $\sim 1000$  times
- The initial radius of a 2mg sphere of DT is  $R_{\text{init}} \approx 2.6\text{mm}$  while the final radius  $R_{\text{final}} \approx 100\mu\text{m}$ , the convergence ratios of 30~40 are required.

# Requirements of the density and size of the ignition mass



$$M_h \approx 20\mu\text{g}$$

$$\rho_h R_h \approx 0.3 \text{ g/cm}^2 \longleftarrow \text{To stop 3.5 MeV } \alpha \text{ particles}$$

$$R_h \approx \sqrt{\frac{3}{4\pi} \frac{M_h}{\rho_h R_h}} \approx 40\mu\text{m}$$

$$\rho_h \approx \frac{(\rho_h R_h)}{R_h} = \frac{0.3}{40 * 10^{-4}} = 75 \text{ g/cm}^3$$

# Summary



- Possible fuel assembly for 1MJ ICF driver

