Application of Plasma Phenomena



Po-Yu Chang

Institute of Space and Plasma Sciences, National Cheng Kung University

Lecture 13

2024 spring semester

Tuesday 9:10-12:00

Materials:

https://capst.ncku.edu.tw/PGS/index.php/teaching/

Online courses: https://nckucc.webex.com/nckucc/j.php?MTID=m4082f23c59af0571015416f6 e58dd803

2024/6/3 updated 1



• Final presentation in class on 6/11!

- Time: 6/11 9:10
- Time for each person: 10 mins
- Topics: anything related to plasma. You can talk about a plasma phenomenon or a plasma application. In your presentation, you need to describe how plasma is involved. What rule does the plasma play in the phenomenon or application.
- Your scores (34 points in total) will depend on:
 - (2 points) Presentation time.
 - < 8 min: 0
 - 8~9 min: 1
 - 9~11 min: 2
 - 11~12 min: 1
 - > 12 min: 0
 - (2 points) Plasma related.
 - (10 points) How clear the physics is explained?
 - (10 points) The presentation skill.
 - (10 points) Your slides.



- Plasma-assisted deposition, implantation, and surface modification are important material processes for producing films on surfaces and modifying their properties
- Example processes:
 - Plasma-enhanced chemical vapor deposition (PECVD)
 - Sputter deposition / physical vapor deposition (PVD)
 - Plasma-immersion ion implantation (PIII)



Chemical Vapor Deposition (CVD)



Plasma-enhanced chemical vapor deposition (PECVD)





PVD

Physical vapor deposition can be achieved by heating the deposited material





Electron-beam evaporator



Pulsed-laser deposition



https://en.wikipedia.org/wiki/Pulsed_laser_deposition Engineered biomimicry by A. Lakhtakia and R. J. Martin-Palma https://en.wikipedia.org/wiki/Electron-beam_physical_vapor_deposition

Sputtering deposition



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The chamber becomes very dirty after the deposition process



• Before



• After



• The turbomolecular pump is also very dirty after the process.



Plasma-immersion ion implantation (PIII)



- Silicon doping ions such as B, P, As are implanted
- Surface hardening of metals N, C are implanted

Magnetron sputtering provides higher deposition rates than conventional sputtering



Examples of magnetron sputtering deposition





https://angstromengineering.com/tech/magnetron-sputtering/pulsed-dc/ https://dynavac.com/wp-content/uploads/2017/09/Confocal-Sputtering-2.jpg https://www.adnano-tek.com/magnetron-sputtering-deposition-msd.html

DC/RF Power

Supply

Magnetron

Cathode

Demonstration experiments – magnetron sputtering



• System



Without magnet



With magnet



A bright ring occurs when the magnet is inserted into the system









Plasma can be used for cleaning surface

- Cleaning mechanisms:
 - Chemical reactions by free radicals
 - Physical sputtering by high energy ions



馗鼎奈米科技股份有限公司 https://www.ecplaza.net/products/plasma-cleaning_111807 15 Free radicals are generated and used in chemical reactions



- $e^- + H_2 \rightarrow 2H \bullet$ $e^- + O_2 \rightarrow 2O \bullet$ $0 \bullet + O_2 \rightarrow O_3$
- Highly reactive free radicals generated in plasma may react with the hydrocarbon contaminants of surface oxide.
- **Both H** and O• can react with grease or oil on surface to form volatile hydrocarbons.

$$\mathbf{H} \bullet_{(g)} + C_n H_{2n+2(s)} \to \mathbf{CH}_{4(s)}$$
$$\mathbf{O} \bullet_{(g)} + C_n H_{2n+2(s)} \to \mathbf{CO}_{(s)} + \mathbf{CH}_x \mathbf{O}_{y(g)} + H_2 \mathbf{O}_{(g)}$$

 O• is more reactive than H•. But O• may also react with surface metal to form oxide, deteriorating the material properties. Nevertheless, H• can make metal oxide back to metal.

$$0 \bullet + Me \to MeO$$

 $H \bullet + MeO \to Me + H_2O$

The effect of chemical reactions is increased as the pressure increases

- Advantages:
 - Stable gas products are formed.
 - No redeposition problem.
 - High etching selectivity.
- Disadvantages:
 - Higher concentration of H_2 or O_2 is required to ensure an appropriate etching rate.
 - H₂ safety or O₂ strong oxidation ability needs to be monitored.

High energy ions are used in physical sputtering cleaning



- lons generated in plasma can be accelerated toward the substrate to physically bombard away the atoms of contaminants.
- The physical sputtering rate increases as the following quantities increase:
 - Plasma density;
 - Accelerating voltage;
 - Mass of bombardment atoms.
- The physical sputtering is also enhanced by lowering the pressure.
- High cathode bias is used.
- Ar+ has strong sputtering effect.

The physical sputtering rate increases with higher cathode bias and Ar concentration and lower pressure

- Advantages:
 - Highly efficient cleaning effect can be achieved.
 - Gas consumption rate can be very low.
- Disadvantages:
 - Etching problems non-selective etching by physical sputtering.
 - Redeposition problems: the products sputtered out may be highly unstable and tend to deposit again downstream.

Plasma cleaning examples





Low-pressure plasma system: Generation with a low-frequency or high-frequency generator





Plasma cleaning needs to work in the regime of abnormal glow discharge









EUV light sources

A semiconductor device is fabricated by many repetitive production process



Ultraviolet lithography (EUVL) is one of the key technologies in semiconductor manufacturing nowadays

• The process technology of Taiwan Semiconductor Manufacturing Company Limited (TSMC):



- Optical diffraction needs to be taken into account.
- Shorter wavelength is preferred.
 - Light source with a center wavelength of 13.5 nm is used.

https://www.tsmc.com/chinese/dedicatedFoundry/technology/logic.htm 23

EUV lithography becomes important for semiconductor industry



• 0.15 billion USD for each EUV light source.

https://www.youtube.com/watch?v=NHSR6AHNiDs



ASMI

EUV light can only be reflected using multilayer mirrors





https://henke.lbl.gov/optical_constants/

Mo/Si multilayer coating technology for EUVL, coating uniformity and time stability; E. Louis et al.; SPIE 4146-06, Soft X-ray and EUV Imaging Systems, San Diego, 2000.

13.5-nm EUV light is picked for EUV lithography



- $\lambda = 13.5 \text{ nm} \pm 1\%$ is required.
- At T=35-40 eV (~450,000 K), in-band emission occurs.
- Xenon:
 - $4p^{6}4d^{8} \rightarrow 4p^{6}4d^{7}5p$ from single ion stage Xe¹⁰⁺
 - UTA @ 11 nm

- Tin:
 - $4p^{6}4d^{N} \rightarrow 4p^{5}4d^{N+1} + 4p^{6}4d^{N-1}4f$ (1 \leq N \leq 6) in ions ranging from Sn⁸⁺ to Sn¹²⁺
 - UTA @ 13.5 nm
 - UTA: unresolved transition array
- V. Bakshi, EUV sources for lithography

R. S. Abhari, etc., J. Micro/Nanolithography, MEMS, and MOEMS, 11, 021114 (2012) 26

EUV light is generated from laser-produced plasma (LPP)





Two laser pulses are used to heat the plasma



Hydrogen buffer gas with a pressure of ~100 Pa is used to protect the collector mirror



Laser-produced plasma (LPP) is used in the EUV lithography





R. S. Abhari, etc., J. Micro/Nanolithography, MEMS, and MOEMS, 11, 021114 (2012) ³⁰

High harmonic generation from high-power laser



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EUV light can be generated using discharged-produced plasma



JPDAP_37_p3254_2004_EUV sources using Xe and Sn discharge plasmas 32

Light source and display systems



Plasma display panel (PDP)



Liquid crystal display (LCD)







- Cathode Ray Tube
- Color space (CIE 1931 color spaces)
- History of plasma display panel (PDP)
- Design of PDP
- Liquid crystal display (LCD)
- LCD vs PDP



Cathode Ray Tube uses electron beams to light the fluorescent screen



The image is shown by scanning through the whole screen with the single electron beam



http://www.ni.com/white-paper/3020/en/#toc2

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Color image is formed by using three electron beams scanning through three different color channels



http://web.mit.edu/6.111/www/f2008/handouts/L12.pdf



Color can be created using three primary colors



Human retina has three kinds of "cones" that have different spectral response





Spectral response of retina "cones" are tested using light sources with single wavelength



http://betterphotographytutorials.com/2011/08/01/light-and-colors-%E2%80%93-part-3/ https://en.wikipedia.org/wiki/CIE_1931_color_space

The CIE 1931 color space chromaticity diagram is the standard color space



History of PDP

Plasma display panel was invented at the University of Illinois in 1967





Prof. H. Gene Slottow

Prof. Donald L. Bitzer

PDP was invented due to a need for Programmed Logic for Automatic Teaching Operations (PLATO) in 1960s









https://topwallpapers.pw/computer/keyboards-computers-history-teletype-typewriters-desktop-hd-wallpaper-1035981/ https://en.wikipedia.org/wiki/Punched_tape https://en.wikipedia.org/wiki/PLATO_(computer_system)

The positive column in a glow discharge is used to excite phosphors in color PDP





- Majority of monochrome PDPs use the negative glow as the light source
- The positive column is used to excite phosphors in fluorescent lamps and in color PDPs

Early plasma panel (PD) attached to the glass vacuum system used for the first plasma displays at UI



• It had the same alternating sustain voltage, neon, gas, and dielectric glass insulated electrodes that are used for plasma TVs today.

Early plasma panel (PD) attached to the glass vacuum system used for the first plasma displays at UI



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Early 4x4 pixel panel has achieved matrix addressability for the first time





Early 4x4 pixel panel has achieved matrix addressability for the first time





A 16x16 pixel PD, developed in 1967, needed to be addressed manually





First color PD was three cell prototype with red and green color phosphors excited by a xenon gas discharge



Open-cell structure developed in 1968





It could be baked under vacuum at 350 °C to drive out contaminants.

More progress



1968, University of Illinois 16x16 pixels

1971, Owens-Illinois 512x512 pixels



Color PDPs had short display lifetime due to the degradation of color phosphors caused by ion sputtering



http://what-when-how.com/display-interfaces/display-technologies-and-applications-display-interfaces-part-3/

Design of PDP

A lower breakdown voltages can be obtained with very small amounts of added gas



AT&T three-electrode patent





Reflective phosphor geometry is used in most of today's plasma TVs







Spectrum of the different phosphors



The foundation of AC discharge



The plasma can be sustained using ac discharged



Wall discharge reduced the required discharge voltage

Slides from Prof. Heung-Sik Tae, School of Electronic and Electrical Engineering, Kyungpook National University

Wall discharge reduced the required discharge voltage



ON/OFF State Selection



Slides from Prof. Heung-Sik Tae, School of Electronic and Electrical Engineering, Kyungpook National University 61

Sustain discharge



Address and sustain electrodes are connected to different drivers





Slides from Prof. Heung-Sik Tae, School of Electronic and Electrical Engineering, Kyungpook National University

PDP pixel can only be either ON or OFF





• Plasma Display Panel :



PDP luminance is controlled by using number of light pulses

CRT : Control the Luminance using Electron Beam Intensity



• PDP : Control the Luminance using Number of Light Pulses



Slides from Prof. Heung-Sik Tae, School of Electronic and Electrical Engineering, Kyungpook National University

A single field is divided into 8 subfield



Composition of each subfield



8 subfield in one TV-Field (ADS)



Slides from Prof. Heung-Sik Tae, School of Electronic and Electrical Engineering, Kyungpook National University



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Cathode Ray Tube : Cell-by-Cell Scanning



• PDP : Line-by-Line Scanning





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• Analog Video Signal ⇒ Digital Pulse Signal



Addressing period





Slides from Prof. Heung-Sik Tae, School of Electronic and Electrical Engineering, Kyungpook National University

Displaying period





Slides from Prof. Heung-Sik Tae, School of Electronic and Electrical Engineering, Kyungpook National University


Liquid crystal are a special state of matter between liquid and crystal



Linear polarization of a light can be rotated by miss aligned liquid crystal





Structure of Liquid crystal display (LCD)



http://www6.cityu.edu.hk/cityu25/events/engineering/pdf/proftang.pdf 75

Optimistic projection of PDP market



Reality



TV Shipment Growth by Technology



Too many reasons that PDP died!



- Bright showroom conditions put plasmas at a distinct disadvantage versus LED-lit LCDs
- Aesthetics may have played a role in hastening plasma's demise
- UHD/4K caught on quickly
- Screen-size limitations also played a part in plasmas plight
- You can't bend a plasma
- Plasmas were harder to deal with than LCDs
- While OLED is still in the early stages of development, there's no question it offers greater potential than plasma
- Energy efficiency may have played a part in putting plasma out to pasture
- Plasma was the original flat-panel technology, People just thought of it as old technology.
- Projectors improved in quality and prices dropped

http://www.avsforum.com/forum/40-oled-technology-flat-panels-general/2080650-10-reasons-plasma-died.html 78

Let's stand up and do exercise!!





The hydrogen bomb





The "iron group" of isotopes are the most tightly bound



Chain reaction can happen in U²³⁵ fission reaction



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- ~ 200 million electron volts (MeV)/fission, ~million times more than chemical reactions
- Energy for bombs, or for civilian power can generate huge amounts of energy (and toxicity) in a small space with a modest amount of material
- Source of safety, security issues for nuclear power

https://en.wikipedia.org/wiki/Uranium-235

Talk given by Matthew Bunn, IGA-232: Controlling the World's Most Dangerous Weapons, Harvard Kennedy School, 2013

The neutrons are leaking out and stopping the chain reaction in a sub-critical mass





Solution 1: add more material





Solution2: reflect the neutron back in





Talk given by Matthew Bunn, IGA-232: Controlling the World's Most Dangerous Weapons, Harvard Kennedy School, 2013 85

Solution 3: increase the density





How to get the material together before it blows apart?





- There are always neutrons around
- Once chain reaction starts, material will heat up, expand, stop reaction
- How to get enough material together fast enough?

Talk given by Matthew Bunn, IGA-232: Controlling the World's Most Dangerous Weapons, Harvard Kennedy School, 2013 87

Gun-type bomb



- Simple, reliable can be built without testing
- Highly inefficient require lots of nuclear material (50-60 kg of 90% enriched HEU)
- Can only get high yield with HEU, not plutonium
- Hiroshima bomb: cannon that fired HEU projectile into HEU target



Implosion design

 A schematic diagram of an implosion bomb



 Small-scale slow-motion cross-section of a shaped charge implosion design



https://www.wisconsinproject.org/nuclear-weapons/ https://en.wikipedia.org/wiki/Trinity_%28nuclear_test%29

The 1st nuclear bomb: Trinity (Bradbury Science Museum)

Model of the Trinity Gadget



 Project Y Atomic Bomb Detonator System



https://www.flickr.com/photos/rocbolt/with/8061684482

Project Y Atomic Bomb Detonator System



 Project Y Atomic Bomb Detonator System Spark Gap Switch



The 1st nuclear bomb: Trinity







https://www.theatlantic.com/photo/2015/07/70-years-since-trinity-when-we-tested-nuclear-bombs/398735/ https://saddlebagnotes.com/arts-and-leisure/tucson-seismographs-detected-first-nuclear-test-at-trinity-nm/article_b01c5b20-f6fb-11eb-a221-6327df2feaeb.html

Trinity explosion on July 16, 1945



https://www.theatlantic.com/photo/2015/07/70-years-since-trinity-when-we-tested-nuclear-bombs/398735/ https://en.wikipedia.org/wiki/Trinity_%28nuclear_test%29

Hiroshima Bomb – "Little Boy"





Gun Type – Easiest to design and build (Hiroshima bomb was never tested)

About 13 kiloton explosive yield

Talk given by Dr. Charles D. Ferguson, President, Federation of American Scientists, Department of Physics, Colloquium, American University, 2012

Atomic bomb is very destructive

Hiroshima: August 6, 1945



Nagasaki: August 9, 1945



Talk given by Dr. Charles D. Ferguson, President, Federation of American Scientists, Department of Physics, Colloquium, American University, 2012

The fusion process





²H+³H ⇒ ⁴He+n+Q ≡ 17.6 MeV Energy release Q=17.6 MeV In comparison ²H+²H ⇒ ¹H+³H +Q ≡ 4.0 MeV ²H+²H ⇒ ³He+n +Q ≡ 3.2 MeV ³H+³H ⇒ ⁴He+2n+Q ≡ 11.3 MeV ²³⁵U+n ⇒ X_A+X_B+3n +Q ≈ 200 MeV

Deuterium-Tritium Fusion Reaction

Fusionable Material, deuterium ²H (D) and tritium ³H (t):

Deuterium: natural occurrence (heavy water) (0.015%).

Tritium: natural occurrence in atmosphere through cosmic ray bombardment; radioactive with $T_{1/2}$ =12.3 y.



Fusion of ²H+³H:
$$\frac{Q}{A} = \frac{17.6 MeV}{(3+2)amu} = 3.5 \frac{MeV}{amu}$$

Fission of ²³⁵U:
$$\frac{Q}{A} = \frac{200 MeV}{236 amu} = 0.85 \frac{MeV}{amu}$$

Fusion is 4 times more powerful than fission and generates 24 times more neutrons!

$${}^{2}H + {}^{3}H : \frac{n}{A} = \frac{1}{5} = 0.2$$

Neutron production:

$$^{235}U + n: \quad \frac{n}{A} = \frac{2}{236} = 0.0085$$

Hydrogen bomb uses a fission bomb to initiate the fusion reaction





Primary Fission Device

Core: ²³⁹Pu, ²³⁵U, plus ²H+³H booster Shell: ²³⁸U tamper High explosive lenses Fuel



Secondary Fusion Device

Radiation channel ²³⁹Pu sparkplug ⁶Li, ²H, ³H fusion cell ²³⁸U tamper

https://isnap.nd.edu/Lectures/phys20061/pdf/10.pdf 97

Event sequence





1. Warhead before firing; primary (fission bomb) at top, secondary (fusion fuel) at bottom, all suspended and beginning a fission in polystyrene foam.

2. HE fires in primary, compressing plutonium core into supercriticality reaction.

 Fissioning primary emits X-rays which reflect along the inside of the casing, irradiating the polystyrene foam.

4. Polystyrene foam becomes plasma, compressing secondary, and plutonium sparkplug begins to fission.



5. Compressed and heated, lithium-6 deuteride fuel begins fusion reaction, neutron flux causes tamper to fission. A fireball is starting to form ...

Additional pressure from recoil of exploding shell (ablation)!

You don't want to build a hydrogen bomb!



To Fuse, or Not to Fuse...









- Introduction to nuclear fusion
- Magnetic confinement fusion (MCF)
 - Tokamak
 - Stellarator
- Inertial confinement fusion (ICF)
 - Indirection drive ICF
 - Direct drive ICF
- Innovation idea MCF + ICF
- Plasma in space
- Pulsed-power system at NCKU

World energy consumption is dominated by the use of dwindling fossil fuels



Fossil fuel	Estimated reserve	(2005 consumption rate) Years remaining	
Oil	1,277,702 million barrels	32 years	
Natural gas	~6,500,000 billion cubic ft 72 years		
Coal	1,081,279 million tons	252 years	



*from Laboratory for Laser Energetics, University of Rochester, Rochester, NY 102

The "iron group" of isotopes are the most tightly bound



http://hyperphysics.phy-astr.gsu.edu/hbase/nucene/nucbin.html

Fusion in the sun provides the energy



 $^{1}\mathsf{H}$

 ^{2}H

Proton-proton chain in sun or smaller •



In heavy sun, the fusion reaction is the CNO cycle





https://en.wikipedia.org/wiki/Nuclear_fusion

The cross section of proton-proton chain is much smaller than D T fusion



Reaction	σ _{10 keV} (barn)	σ _{100 keV} (barn)	σ _{max} (barn)	ε _{max} (keV)
D+T→α+n	2.72x10 ⁻²	3.43	5.0	64
D+T→T+p	2.81x10 ⁻⁴	3.3x10 ⁻²	0.06	1250
D+T→³He+n	2.78x10 ⁻⁴	3.7x10 ⁻²	0.11	1750
T+T→α+2n	7.90x10 ⁻⁴	3.4x10 ⁻²	0.16	1000
D+³He→α+p	2.2x10 ⁻⁷	0.1	0.9	250
p+ ⁶ Li→α+³He	6x10 ⁻¹⁰	7x10 ⁻³	0.22	1500
p+¹¹B→3α	(4.6x10 ⁻¹⁷)	3x10 ⁻⁴	1.2	550
p+p→D+e⁺+v	(3.6x10 ⁻²⁶)	(4.4x10 ⁻²⁵)		
$p+^{12}C\rightarrow^{13}N+\gamma$	(1.9x10 ⁻²⁶)	2.0x10 ⁻¹⁰	1.0x10.4	400
¹² C+ ¹² C (all branches)		(5.0x10 ⁻¹⁰³)		

• "()" are theoretical values while others are measured values.

The Physics of Inertial Fusion, by Stefano Atzeni and Jürgen Meyer-Ter-Vehn

Nuclear fusion and fission release energy through energetic neutrons



Nuclear fusion provides more energy per atomic mass unit (amu) than nuclear fission

Fusion of ²H+³H:
$$\frac{Q}{A} = \frac{17.6 \ MeV}{(3+2) \ amu} = 3.5 \ \frac{MeV}{amu}$$

Fission of ²³⁵U: $\frac{Q}{A} = \frac{200 \ MeV}{236 \ amu} = 0.85 \ \frac{MeV}{amu}$

	Half-life (years)
U235	7.04x10 ⁸
U238	4.47x10 ⁹
Tritium	12.3


- 1 kg DT -> 340 Tera joules
 - You can drive your car for ~40,000 km (back and forth between Keelung and Kaoshiung for 50 times).
 - You can keep your furnace running for 8 years.
 - You can blow things up! 1 TJ = 250 tons of TNT.

Enormous fusion fuel can be produced from sea water





^{*}R. Betti, HEDSA HEDP Summer School, 2015 110

• Probability for fusion reactions to occur is low at low temperatures due to the coulomb repulsion force.



 If the ions are sufficiently hot, i.e., large random velocity, they can collide by overcoming coulomb repulsion



Fusion is much harder than fission, a "hot plasma" at 100M °C is needed

- Fission: $n + {}^{235}_{92} U \rightarrow {}^{236}_{92} U \rightarrow {}^{144}_{56} Ba + {}^{89}_{36} Kr + 3n + 177 \text{ MeV}$
- **Fusion:** $D + T \to He^4 (3.5 \text{ MeV}) + n (14.1 \text{ MeV})$





https://www6.lehigh.edu/~eus204/lab/PCL_fusion.php#x1-10096

Fast neutrons are slowed down due to the collisions



- A moderator is used to slow down fast neutrons but not to absorb neutrons.
- For $m_M \sim m_N$, the energy decrement is higher. Therefore, H slows down neutron most efficiently.
- However, H + n \rightarrow D, i.e., H absorbs neutrons.
- The best option is the D in the heavy water (D₂O).

	Energy decrement	Neutron scattering cross section (σs) (Barns)	Neutron absorption cross section (σs) (Barns)
Н	1	49 (H ₂ O)	0.66 (H ₂ O)
D	0.7261	10.6 (D ₂ O)	0.0013 (D ₂ O)
С	0.1589	4.7 (Graphite)	0.0035 (Graphite)

https://en.wikipedia.org/wiki/Neutron_moderator#cite_note-Weston-4 https://energyeducation.ca/encyclopedia/Neutron_moderator#cite_note-3

Fusion doesn't come easy



Think", JANNAF, Monterey, 5-8 December 2005.

It takes a lot of energy or power to keep the plasma at 100M °C

• Let the plasma do it itself!



• The α-particles heat the plasma.

^{*}R. Betti, HEDSA HEDP Summer School, 2015 115

Under what conditions the plasma keeps itself hot?



• Steady state 0-D power balance:

 $S_{\alpha}+S_{h}=S_{B}+S_{k}$

- S_{α} : α particle heating
- S_h: external heating
- **S_B: Bremsstrahlung radiation**
- S_k: heat conduction lost

Ignition condition: Pτ > 10 atm-s = 10 Gbar - ns

- P: pressure, or called energy density
- т is confinement time

The plasma is too hot to be contained

 Solution 1: Magnetic confinement fusion (MCF), use a magnetic field to contain it. P~atm, τ~sec, T~10 keV (10⁸ °C)



https://www.euro-fusion.org/2011/09/tokamak-principle-2/ https://en.wikipedia.org/wiki/Stellarator

Don't confine it!



 Solution 2: Inertial confinement fusion (ICF). Or you can say it is confined by its own inertia: P~Gigabar, τ~nsec, T~10 keV (10⁸ °C)



Inertial confinement fusion: an introduction, Laboratory for Laser Energetics, University of Rochester

To control? Or not to control?

Magnetic confinement fusion (MCF)



 Plasma is confined by toroidal magnetic field. Inertial confinement fusion (ICF)



A DT ice capsule filled with DT gas is imploded by laser.

Laboratory for Laser Energetics, University of Rochester is a pioneer in laser fusion

Outline



- Introduction to nuclear fusion
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Charged particles gyro around the magnetic fields





Charged particles can be partially confined by a magnetic mirror machine



• Charged particles with small $v_{||}$ eventually stop and are reflected while those with large $v_{||}$ escape.



- Large v_{\parallel} may occur from collisions between particles.
- Those confined charged particle are eventually lost due to collisions.

"loffe bars" are added to stabilize the Rayleigh-Taylor instabilities at the center of the mirror machine



Introduction to Plasma Physics and Controlled Fusion 3rd Edition, by Francis F. Chen ₁₂₃

A "baseball coil" is obtained if one links the coils and the bars into a single conductor



Baseball coil



• MFTF-B mirror machine



Plasma can be confined in a doughnut-shaped chamber with toroidal magnetic field

• Tokamak - "toroidal chamber with magnetic coils" (тороидальная камера с магнитными катушками)





https://www.iter.org/mach/tokamak

https://en.wikipedia.org/wiki/Tokamak#cite_ref-4

Drawing from the talk "Evolution of the Tokamak" given in 1988 by B.B. Kadomtsev at Culham.

Charged particles drift across field lines



A poloidal magnetic field is required to reduce the drift across field lines



https://www.davidpace.com/keeping-fusion-plasmas-hot/ https://www.euro-fusion.org/2011/09/tokamak-principle-2/

A poloidal magnetic field is required to reduce the drift across field lines



A divertor is needed to remove impurities and the power that escapes from the plasma



D-shaped tokamak with diverter is more preferred nowadays





 Make the plasma closer to the major axis

Introduction to Plasma Physics and Controlled Fusion 3rd Edition, by Francis F. Chen 130

Spherical tokamak is formed when the aspect ratio of a tokamak is reduced to the order of unity

NSTX @ Princeton



 MegaAmpere Spherical Tokamak (MAST) @ Culham center for fusion energy, UK



ITER ("The Way" in Latin) is one of the most ambitious energy projects in the world today



ITER ("The Way" in Latin) is one of the most ambitious energy projects in the world today

Vacuum vessel



Magnets





Divertor



Cryostat



Blanket



ITER



- T=150M °C
- P=500 MW



ITER – Magnets



- E_B=51 GJ
- $T_B = 4 K$
- Length of Nb₃Sn superconducting strand: 10⁵ km
- B_{T,max}=11.8 T
- B_{P,max}=6 T



ITER – Vacuum vessel



- W = 8000 tons
- V = 840 m³
- R = 6 m



ITER – Blanket



- 440 modules
- Thermal load: 736 MW



ITER – Divertor



- 54 cassettes
- Thermal load: 20 MW/m²
- Each cassette: 10 tons



ITER – Crystat



- P = 10⁻⁶ atm
- W = 3800 tons
- V = 16000 m³



Supporting systems



- Tritium breeding
- Control, Data access and Communication (CODAC)
- Cooling water
- Cryogenics
- Diagnostics
- Fuel cycle
- Hot cell a secure environment for processing, repair or testing, etc., of components that have become activated by neutrons.
- Power supply
- Remote handling
- Heating and current drive
- Vacuum system

ITER is being assembled





ITER is being assembled





There is a long way to go, but we are on the right path...





•	Dec 2025	First Plasma
•	2035	Deuterium-Tritium Operation begins

Joint European Torus (JET) facility has a recordbreaking 59 megajoules of sustained fusion energy





 Record-breaking 59 megajoules of sustained fusion energy in Joint European Torus (JET) facility in Oxford demonstrates powerplant potential and strengthens case for ITER.
Stellarator uses twisted coil to generate poloidal magnetic field







https://www.euro-fusion.org/2011/09/tokamak-principle-2/ https://en.wikipedia.org/wiki/Stellarator

A figure-8 stellarator solved the drift issues



A figure-8 stellarator solved the drift issues



Lyman Spitzer, Jr. came out the idea during a long ride on a ski lift at Garmisch-Partenkirchen



https://www.snowtrex.de/magazin/skigebiete/garmisch-classic-zugspitze/

Exhibit model of a figure-8 stellarator for the Atoms for Peace conference in Geneva in 1958





Twisted magnetic field lines can be provided by toroidal coils with helical coils



LHD stellarator in Japan





Wendelstein 7-X is a stellarator built by Max Planck Institute for Plasma Physics (IPP)





Demonstration of a magnetic mirror machine





Show video.

Plasma is partially confined by the magnetic field





Many mirror points are provided by a pair of ring-type magnets











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 - Indirection drive ICF
 - Direct drive ICF
- Innovation idea MCF + ICF
- Plasma in space
- Pulsed-power system at NCKU

Compression happens when outer layer of the target is heated by laser and ablated outward



Inertial confinement fusion: an introduction, Laboratory for Laser Energetics, University of Rochester R. Betti, HEDSA HEDP Summer School, 2015

Plasma is confined by its own inertia in inertial confinement fusion (ICF)





Inertial confinement fusion: an introduction, Laboratory for Laser Energetics, University of Rochester

A ball can not be compressed uniformly by being squeezed between several fingers





 ρ_2

P.-Y. Chang, PhD Thesis, U of Rochester (2013) R. S. Craxton, etc., *Phys. Plasmas* **22**, 110501 (2015) 159

A spherical capsule can be imploded through directly or indirectly laser illumination





Rochester is known as "The World's Image Center"





There are many famous optical companies at Rochester



Kodak





Eastman school of music



BAUSCH + LOMB

Laboratory for Laser Energetics, University of Rochester is a pioneer in laser fusion

- **OMEGA** Laser System
 - 60 beams
 - >30 kJ UV on target
 - 1%~2% irradiation nonuniformity
 - Flexible pulse shaping •

- **OMEGA EP Laser System**
 - 4 beams; 6.5 kJ UV (10ns)
 - Two beams can be highenergy petawatt
 - 2.6 kJ IR in 10 ps
 - Can propagate to the **OMEGA or OMEGA EP** target chamber



UR 🔬

FSC

The OMEGA Facility is carrying out ICF experiments using a full suite of target diagnostics



The 1.8-MJ National Ignition Facility (NIF) will demonstrate ICF ignition and modest energy gain



OMEGA experiments are integral to an ignition demonstration on the NIF.

Targets used in ICF





• Triple-point temperature : 19.79 K





http://www.lle.rochester.ed https://en.wikipedia.org/wiki/Inertial_confinement_fusion R. S. Craxton, etc., *Phys. Plasmas* **22**, 110501 (2015)

Softer material can be compressed to higher density



Compression of a baseball

Compression of a tennis ball



https://www.youtube.com/watch?v=uxIIdMoAwbY https://newsghana.com.gh/wimbledon-slow-motion-video-of-how-a-tennis-ball-turns-to-goo-after-serve/ 167

A shock is formed due to the increasing sound speed of a compressed gas/plasma



Acoustic/compression wave driven by a piston:



http://neamtic.ioc-unesco.org/tsunami-info/the-cause-of-tsunamis *R. Betti, HEDSA HEDP Summer School, 2015

Targets used in ICF





Cryogenic shroud



a Cryogenic hohlraum



Rugby hohlraum

С





https://www.lle.rochester.edu/index.php/2014/11/10/next-generation-cryo-target/ Introduction to Plasma Physics and Controlled Fusion 3rd Edition, by Francis F. Chen https://www.llnl.gov/news/nif-shot-lights-way-new-fusion-ignition-phase

b

Nature letter "Fuel gain exceeding unity in an inertially confined fusion implosion"



Fuel gain exceeding unity was demonstrated for the first time.

The hot spot has entered the burning plasma regime



171

National Ignition Facility (NIF) achieved a yield of more than 1.3 MJ from ~1.9 MJ of laser energy in 2021 (Q~0.7)



 National Ignition Facility (NIF) achieved a yield of more than 1.3 MJ (Q~0.7). This advancement puts researchers at the threshold of fusion ignition.

THE ROAD TO IGNITION

The National Ignition Facility (NIF) struggled for years before achieving a high-yield fusion reaction (considered ignition, by some measures) in 2021. Repeat experiments, however, produced less than half the energy of that result.



• Laser-fusion facility heads back to the drawing board.

J. Tollefson, Nature (News) 608, 20 (2022)

T. Ma, ARPA-E workshop, April 26, 2022

"Ignition" (target yield larger than one) was achieved in NIF on 2022/12/5



https://physicstoday.scitation.org/do/10.1063/PT.6.2.20221213a/full/ The age of ignition: anniversary edition, LLNL-BR-857901

External "spark" can be used for ignition



Shock ignition

Fast ignition



A shock is formed due to the increasing sound speed of a compressed gas/plasma



• Acoustic/compression wave driven by a piston:



http://neamtic.ioc-unesco.org/tsunami-info/the-cause-of-tsunamis *R. Betti, HEDSA HEDP Summer School, 2015

Ignition can happen by itself or being triggered externally







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A strong magnetic field reduces the heat flux



 Typical hot spot conditions: R_{hs} ~ 40 μm, ρ ~ 20 g/cm³, T ~ 5 keV: B > 10 MG is needed for χ > 1

Magnetic-flux compression can be used to provide the needed magnetic field.

Principle of frozen magnetic flux in a good conductor is used to compress fields



M. Hohenberger, P.-Y. Chang, et al., Phys. Plasmas <u>19</u>, 056306 (2012). ₁₇₉

Plasma can be pinched by parallel propagating plasmas





https://en.wikipedia.org/wiki/Pinch_(plasma_physics) 180
Plasma can be heated via pinches



Sandia's Z machine is the world's most powerful and efficient laboratory radiation source





- Stored energy: 20 MJ
- Marx charge voltage: 85 kV
- Peak electrical power: 85 TW
- Peak current: 26 MA
- Rise time: 100 ns
- Peak X-ray emissions: 350 TW
- Peak X-ray output: 2.7 MJ

Z machine





Z machine







- Stored energy: 20 MJ
- Peak electrical power: 85 TW
- Peak current: 26 MA
- Rise time: 100 ns
- Peak X-ray output: 2.7 MJ

Z machine discharge





Before and after shots

• Before shots



SAND2017-0900PE_The sandia z machine - an overview of the world's most powerful pulsed power facility.pdf

• After shots



Promising results were shown in MagLIF concept conducted at the Sandia National Laboratories



The stagnation plasma reached fusion-relevant temperatures with a 70 km/s implosion velocity

S. A. Slutz *et al* Phys. Plasmas 17 056303 (2010)

M. R. Gomez et al Phys. Rev. Lett. 113 155003 (2014) 187

MagLIF target





Neutron yield increased by 100x with preheat and external magnetic field.





Sheared flow stabilizes MHD instabilities



$$\frac{dV_Z}{dr} \neq 0$$

- M. G. Haines, etc., Phys. Plasmas 7, 1672 (2000) U. Shumlak, etc., Physical Rev. Lett. 75, 3285 (1995)
- U. Shumlak, etc., ALPHA Annual Review Meeting 2017

A z-pinch plasma can be stabilized by sheared flows



https://www.zapenergyinc.com/about A. D. Stepanov, etc., Phys. Plasmas 27, 112503 (2020)

Elevated electron temperature coincident with observed fusion reactions in a sheared-flow-stabilized z pinch



Fusion reactor concept by ZAP energy



https://www.zapenergyinc.com/about E. G. Forbes, etc., Fusion Sci. Tech. 75, 599 (2019)

There are alternative

TRAPPING Fusion fire

When a superhot, ionized plasma is trapped in a magnetic field, it will fight to escape. Reactors are designed to keep it confined for long enough for the nuclei to fuse and produce energy.

A CHOICE OF FUELS

Many light isotopes will fuse to release energy. A deuterium-tritium mix ignites at the lowest temperature, roughly 100 million kelvin, but produces neutrons that make the reactor radioactive. Other fuels avoid that, but ignite at much higher temperatures.



Magnetic field coils

http://www.nextbigfuture.com/2016/05/nuclear-fusion-comany-tri-alpha-energy.html



Liquid metal vortex

Commonwealth Fusion Systems, a MIT spin-out company, is building a high-magnetic field tokamak





- Fusion power $\propto B^4$.
- The fusion gain Q > 2 is expected for SPARC tokamak.

Merging compression is used to heat the tokamak at the start-up process in ST40 Tokamak at Tokamak Energy Ltd



- High temperature superconductors are used.
- B_T ~ 3 T



 Merging compression



M. Gryaznevich, etc., Fusion Eng. Design, **123**,177 (2017) https://www.tokamakenergy.co.uk/ P. F. Buxton, etc., Fusion Eng. Design, **123**, 551 (2017)

Reconnection





https://www.youtube.com/watch?v=7sS3Lpzh0Zw

Merging compression is used to heat the plasma



http://www.100milliondegrees.com/merging-compression/ P. F. Buxton, etc., Fusion Eng. Design, **123**, 551 (2017)

Spherical torus (ST) and compact torus (CT)

Spherical torus (ST)



• Compact torus (CT)

•

Spheromak



• Field reversed configuration (FRC)



Zhe Gao, Matter Radiat. Extremes **1**, 153 (2016) https://en.wikipedia.org/wiki/Field-reversed_configuration

Field reverse configuration is used in Tri-alpha energy



Field reverse configuration is used in Tri-alpha energy





NBI for Tri-Alpha Energy Technologies





Neutral beams are injected in to the chamber for spinning the FRC





https://tae.com/media/ https://zh.wikihow.com/%E5%9C%A8%E6%89%8B%E6%8C%87%E4%B8%8A%E8%BD%AC%E7%AF%AE%E7%90%83

FRC sustain longer with neutral beam injection





General fusion is a design ready to be migrated to a power plant



A spherical tokamak is first generated



Plasma injector for the spherical tokamak





A spherical tokamak is generated in a liquid metal vortex





The spherical tokamak is compressed by the pressure provided by the sournding hydraulic pistons



BBC: General Fusion to build its Fusion Demonstration Plant in the UK, at the UKAEA Culham Campus



By Matt McGrath Environment correspondent

🕑 17 June





A company backed by Amazon's Jeff Bezos is set to build a large-scale nuclear fusion demonstration plant in Oxfordshire.

Canada's General Fusion is one of the leading private firms aiming to turn the

Helion energy is compressing the two merging FRCs





Two FRCs are accelerated toward each other





Two FRCs merge with each other



ectricity Recapture

plasma expands, it pushes back on the magnetic y Faraday's law, the change in field induces t, which is directly recaptured as electricity. This usion electricity is used to power homes and unities, efficiently and affordably.

site uses cookies. Read more about our privacy policy & terms of use.

The merged FRC is compressed electrically to high temperature





e uses cookies. Read more about our privacy policy & terms of use.

Similar concept will be studied in our laboratory. •

Projectile Fusion is being established at First Light Fusion Ltd, UK





• I_{peak}=14 MA w/ T_{rise}~2us.





 High pressure is generated by the colliding shock.

https://firstlightfusion.com/ B. Tully and N. Hawker, Phys. Rev. **E93**, 053105 (2016) ₂₁₅

A gas gun is used to eject the projectile





https://www.youtube.com/watch?v=JN7lyxC11n0 https://www.youtube.com/watch?v=aW4eufacf-8
Many groups aim to achieve ignition in the MCF regime in the near future

ITER – 2025 First Plasma
 2035 D-T Exps
 2050 DEMO



https://www.iter.org https://www.tokamakenergy.co.uk/ https://www.psfc.mit.edu/sparc

- Tokamak energy, UK
 - 2025 Gain
 - 2030 to power grid



 Commonwealth Fusion Systems, USA – 2025 Gain



Fusion is blooming



We are closed to ignition!



A. J. Webster, Phys. Educ. **38**, 135 (2003)

R. Betti, etc., Phys. Plasmas, **17**, 058102 (2010)

Many groups aim to achieve ignition in the MCF regime in the near future

ITER – 2025 First Plasma
 2035 D-T Exps
 2050 DEMO



https://www.iter.org https://www.tokamakenergy.co.uk/ https://www.psfc.mit.edu/sparc

- Tokamak energy, UK
 - 2025 Gain
 - 2030 to power grid



 Commonwealth Fusion Systems, USA – 2025 Gain



Fusion projects in Inst. Space and Plasma Sciences, National Cheng Kung University



We welcome anyone interested in fusion research to join our team!

A new design using a spherical chamber can tolerate several potential shapes and sides calculated by the theory group





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Aurora





Aurora seen from a satellite





https://flashpack.com/insights/2014/11/20/aurora-australis-forget-thenorthern-lights-have-you-heard-about-the-southern-lights/

Earth's magnetic field







https://www.nasa.gov/mission_pages/sunearth/news/gallery/Earthsmagneticfieldlines-dipole.html http://www.pas.rochester.edu/~blackman/ast104/emagnetic.html

Earth magnetic fields are strongly influenced by solar wind





http://www.pas.rochester.edu/~blackman/ast104/emagnetic.html

Reconnection





https://www.youtube.com/watch?v=7sS3Lpzh0Zw

Corona mass ejection (CME)







http://cse.ssl.berkeley.edu/SegwayEd/lessons/exploring_magnetism/i n_Solar_Flares/s4.html#sf

Reconnections occur in many locations



• The Aurora Borealis:

https://www.youtube.com/watch?v=IT3J6a9p_o8

http://www.natalia-robba.com/myblog/travel/the-aurora-borealis-thenorthern-lights-everything-you-need-to-know/

Planeterrella is an aurora simulator





Simple glow discharge is demonstrated





Aurora/ring current are demonstrated



- B w/ magnet: aurora demonstration
- F w/ magnet: ring current

Aurora and ring current are expected to be seen







- Neutral beam injection for heating plasma in Tokamak
 - Jure Maglica, Seminar at University in Ljubljana
 - Ian G. Brown, The Physics and Technology of Ion Sources
- Electric propulsion (plasma thrusters)
 - D. M. Goebel and I. Katz, Fundamentals of Electric Propulsion: Ion and Hall Thrusters



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Hot plasma is confined by the magnetic field in magnetic confinement fusion



http://www.dailykos.com/story/2010/5/24/869588/https://www.euro-fusion.org/jet/

Neutral beam injector is one of the main heat mechanisms in MCF



Varies way of heating a MCF device

	Sy	stem	Frequency/ energy	Maximum power coupled to plasma	Overall system efficiency	Development/ demonstration required	Remarks
ECRI	F	Demonstrated in tokamaks	$28157~\mathrm{GHz}$	2.8 MW, $0.2 \ \rm s$	30-40%	Power sources	Provides
20101		ITER needs	$150170~\mathrm{GHz}$	50 MW, S S	50 4070	off-axis CD	off-axis CD
ICBF	ŗ	Demonstrated in tokamaks	25–120 MHz	22 MW, 3 s (L-mode); 16.5 MW, 3 s (H-mode)	50–60%	ELM tolerant system	Provides ion heating and smaller ELMs
10111		ITER needs	40–75 MHz	50 MW, SS			
LHRF		Demonstrated in tokamaks	1.3–8 GHz	2.5 MW, 120 s; 10 MW, 0.5 s	45–55%	Launcher, coupling to H-mode	Provides off-axis CD
		ITER needs	$5~\mathrm{GHz}$	50 MW, S S			
NBI	+ve ion	Demonstrated in tokamaks	$80–140~{\rm keV}$	40 MW, 2 s; 20 MW, 8 s	35–45%	None	Not applicable
		ITER needs	None	None			
	-ve ion	Demonstrated in tokamaks	$0.35~{\rm MeV}$	$5.2 \text{ MW}, \text{ D}^-, 0.8 \text{ s}$ (from 2 sources)			
		ITER needs	$1 { m MeV}$	50 MW, S S	$\sim \! 37\%$	System, tests on tokamak, plasma CD	provides rotation

 $\rm ^{\prime S}\,S^{\prime}$ indicates steady state



Neutral particles heat the plasma via coulomb collisions





- 1. create energetic (fast) neutral ions
- 2. ionize the neutral particles
- 3. heat the plasma (electrons and ions) via Coulomb collisions

Negative ion source is preferred due to higher neutralization efficiency



There are two ways to make negative ions – surface and volume production

- Surface production, depends on :
 - Work function Φ
 - Electron affinity level, 0.75 eV for H⁻
 - Perpendicular velocity
 - Work function can be reduced by covering the metal surface with cesium

$$H + e^- \rightarrow H^-$$

 $H^+ + 2e^- \rightarrow H^-$

• Volume production:

$$H_2 + e_{fast}(>20 \text{ eV}) \rightarrow H_2^*(\text{excited state}) + e_{fast},$$

 $H_2^*(\text{excited state}) + e_{slow}(≈1 \text{ eV}) \rightarrow H^- + H.$



Two-chamber method of negative ions in volume production with a magnetic filter



Adding cesium increases negative ion current





Electrons need to be filtered out since they are extracted together with negative ions



Acceleration





The ITER neutral beam system: status of the project and review of the main technological issues, presented by V. Antoni

NBI system of the LHD fusion machine







- 180 keV and 30 A
- Arc chamber: 35 cm x 145 cm, 21cm in depth
- Single stage accelerator

20 cm

JT60U NBI system



- JT-60 (Japan-Torus) is a tokamak in Japan.
- 550 keV, 22A
- 2m in diameter and 1.7 m in height
- 3-stage accelerator



Neutralization



- Gas neutralization
 - Collisions between fast negative ions and atoms

 $H^- + H_2 \longrightarrow H + H_2 + e^-$

- Fast ions can lose another electron after neutralized

$$H + H_2 \longrightarrow H^+ + H_2 + e^-$$

- Plasma neutralization
 - Collisions with charged particles in plasma

$$H^- + X(e, \operatorname{Ar}, H^+, H_2^+) \longrightarrow H + X + e^-$$

- The efficiencies reach up to 85% for fully ionized hydrogen plasma

Beam dump







- beam components (Ion Source, Accelerator, Neutralizer, Residual Ion Dump and Calorimeter)
- other components (cryo-pump, vessels, fast shutter, duct, magnetic shielding, and residual magnetic field compensating coils)



The ITER neutral beam system: status of the project and review of the main technological issues, presented by V. Antoni

Neutral beam penetration





- Parallel direction
 - Longest path through the densest part of the plasma
 - Harder to be built
- Perpendicular direction
 - Path is short
 - Larger perpendicular energies leads to larger losses
 - Easier to be built
NBI for Tri-Alpha Energy Technologies





Neutral beams are injected in to the chamber for spinning the FRC







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Comparison between liquid rockets and ion thrusters



- Liquid rockests
 - u~4500 m/s
 - Isp~450 s
 - Energy ~ 100GJ
 - Power ~ 300MW
 - Thrust ~ 2x10⁶ N
- Ion thrusters
 - u~30000 m/s
 - Isp~3000 s
 - Energy ~ 1000GJ
 - Power ~ 1kW
 - Thrust ~ 0.1 N





Electric thruster types - electrothermal

• Resistojet







Electric thruster types - electrostatic

• Ion thruster





Electric thruster types - Electromagnetic



Pulsed plasma thruster

 Magnetoplasmadynamic thruster (MPD)



The thrust in an ion engine is transferred by the electrostatic force between the ions and the two grids

$$\frac{dE(x)}{dx} = \frac{\rho(x)}{\varepsilon_0} = \frac{qn_i(x)}{\varepsilon_0}$$

$$E(x) = \frac{q}{\varepsilon_0} \int_0^x n_i(x') dx' + E_{\text{screen}}$$
Gauss's law: $\sigma = \varepsilon_0 E_{\text{screen}}$

$$F_{\text{screen}} = \sigma \frac{(E_{\text{screen}} + 0)}{2} = \frac{1}{2} \varepsilon_0 E_{\text{screen}}^2$$

$$F_{\text{accel}} = -\sigma \frac{(E_{\text{accel}} + 0)}{2} = -\frac{1}{2} \varepsilon_0 E_{\text{accel}}^2$$

$$T = F_{\text{screen}} + F_{\text{accel}} = \frac{1}{2} \varepsilon_0 (E_{\text{screen}}^2 - E_{\text{accel}}^2)$$

$$\int_0^d dE_{\text{screen}} dE_{\text{screen}}^d dE_{\text{screen}}^2 = \frac{1}{2} \varepsilon_0 (E_{\text{screen}}^2 - E_{\text{accel}}^2)$$

$$F_{\text{ion}} = q \int_0^{\infty} n_i(x) E(x) dx = \varepsilon_0 \int_0^{\infty} \frac{dL}{dx} E dx = \frac{1}{2} \varepsilon_0 (E_{\text{accel}}^2 - E_{\text{screen}}^2)$$

The rocket equation



$$p(t) = p(t + dt)$$

$$Mv = (M - dm_p)(v + dv) + dm_p(v - v_{ex})$$
$$Mv = Mv + Mdv - dm_pv - dm_pdv + dm_pv - dm_pv_{ex}$$

 $dv \sim -v_{\rm ex} \frac{dM}{M}$ where $dm_{\rm p} dv$ is neglected and $dm_{\rm p} = -dM$

$$\int_{\nu_i}^{\nu_f} d\nu = -\nu_{\rm ex} \int_{m_d+m_p}^{m_d} \frac{dM}{M}$$

 $\Delta \mathbf{v} = (\mathbf{Isp} \times g) \ln \left(\frac{m_d + m_p}{m_d} \right)$

$$\begin{array}{c|c} \mathsf{M} \\ \hline m_d & m_p \end{array} \xrightarrow{v_{\mathrm{ex}}} \end{array}$$

$$egin{aligned} m_p &= m_d [e^{\Delta \mathbf{v} / v_{ ext{ex}}} - 1] \ &= m_d [e^{\Delta \mathbf{v} / (ext{Isp} imes g)} - 1] \end{aligned}$$

Force transfer



$$T = -\frac{d}{dt}(m_p v_{\text{ex}}) = -v_{\text{ex}}\frac{dm_p}{dt} = -\dot{m}_p v_{ex}$$

$$\dot{m}_p = \mathbf{Q}\mathbf{M}$$

$$P_{\rm jet} = -\frac{1}{2} \dot{m}_p v_{\rm ex}^2 = -\frac{T^2}{2 \dot{m}_p}$$

 \dot{m}_p = propellant mass flow rate in kg/s Q = propellant particle flow rate in particles/s

$$T = -\frac{dm_{\rm p}}{dt}v_{\rm ex} \approx -\dot{m}_i v_i$$

 \dot{m}_i = ion mass flow rate in kg/s I_b = ion current

$$v_i = \sqrt{\frac{2 \mathbf{q} \mathbf{V}_b}{M}}$$

 $-\dot{m}_i = \frac{I_b M}{q}$

$$T = \sqrt{\frac{2M}{e}} I_b \sqrt{V_b} (\mathrm{Nt})$$

Ion thruster has the highest specific impulse (Isp)



Thruster	Specific Impulse (s)	Input Power (kW)	Efficiency Range (%)	Propellant
Cold gas	50-75			Various
Chemical (monopropellant)	150-225			N_2H_4 H_2O_2
Chemical (bipropellant)	300-450		—	Various
Resistojet	300	0.5-1	65-90	N ₂ H ₄ monoprop
Arcjet	500-600	0.9-2.2	25-45	N ₂ H ₄ monoprop
Ion thruster	2500-3600	0.4-4.3	40-80	Xenon
Hall thrusters	1500-2000	1.5-4.5	35-60	Xenon
PPTs	850-1200	<0.2	7-13	Teflon

Metallic Ion Thruster Using Magnetron E-Beam Bombardment (MIT-MEB)



Electrons are used to generate metallic gas, metallic plasma and to neutralize ions



High energy particle accelerator



- linear particle accelerator (Linac)
- Cyclotron

Synchrotron



Reference: Introduction to plasma phenomena and plasma medicine, Y. Nishida and K.-L. Ou

A linear particle accelerator (linac) accelerates charged particles using a series of oscillating electric potentials along a linear beamline



Cyclotrons use a magnetic field to cause particles to move in circular orbits



 Cyclotron was invented by Ernest Lawrence who earned the 1939 Nobel price in physics

http://math.ubooks.pub/Books/ON/M1/1704/C33S4M004.html ²⁶⁹

Synchrotron uses time-dependent guiding magnetic field synchronized to a particle beam





Stanford linear accelerator center (SLAC) is a 50 GeV electron / positron accelerator



http://cnx.org/contents/aypTUEkP@4/Accelerators-Create-Matter-fro https://upload.wikimedia.org/wikipedia/commons/6/64/Pair production Cartoon.gif

Large Hadron Collider (LHC) is the world's largest and most powerful particle collider providing 13 TeV protons



http://www.coepp.org.au/large-hadron-collider 272

Plasma based accelerators will become 3 orders smaller than the regular microwave based accelerator

- Maximum field strength:
 - Microwave: 100 MV/m
 - Plasma: >10 GV/m, 300 GV/m was achieved using laser wakefield accelerator¹
- Plasma based high energy accelerators:
 - V_pxB or surfatron accelerator²
 - Plasma wakefield accelerator (PWFA)³
 - Plasma beat wave accelerator (PBWA)⁴
 - Laser wakefield accelerator (LWFA)⁴

¹N. A. M. Hafz, *et al.*, Nature Photonics **2**, 571 (2008)

²T. Katsouleas and J. Dawson, Phys. Rev. Lett. 51, 392 (1983)

³P. Chen, et al., Phys. Rev. Lett. 54, 693 (1985)

⁴T. Tajima and J. M. Dawson, Phys. Rev. Lett. **43**, 267 (1979)

Charged particles can be accelerated in the wave electric field



Who will catch the wave?





https://lightsabersandsurfboards.wordpress.com/tag/lake-erie-surfing/ 275

Plasma wake field accelerator is just like boat wake surfing





A wake surfer catches the wake field via being pulled by the boat using a roap





https://www.youtube.com/watch?v=VFp7SloeAnk

https://learntosurfkona.com/featured/wake-surfing-vs-regular-surfing/ https://i.ytimg.com/vi/CA-SDf1wvTQ/maxresdefault.jpg

The surfer glides in a direction not parallel to the wave direction to be in phase to the wave propagation



The surfer glides in a direction not parallel to the wave direction to be in phase to the wave propagation



Electrons may be accelerated to speed of light using V_pxB acceleration (Surfatron)



Plane wave electric field and uniform magnetic field:

$$\vec{E} = E_0 \sin(\mathbf{kx} - \omega t)\hat{x}$$

$$\vec{B} = B\hat{z}$$

$$\frac{d}{dt}(\gamma v_x) = \frac{qE_0}{m}\sin(\mathbf{kx} - \omega t) + \omega_c v_y$$

$$\frac{d}{dt}(\gamma v_y) = -\omega_c v_x$$

$$\gamma = \frac{1}{\sqrt{1 - \frac{v_x^2 + v_y^2}{c^2}}}$$
Katsouleas *et al.* PRI 51 392 (1983)

- T. Katsouleas, et al., PRL 51, 392 (1983)
- T. Katsouleas, et al., IEEE TNS. NS-30, 3241 (1983)

On the wave frame and if the particle is trapped in the wave:

$$x_1 = x - v_{\rm ph}t$$
 $\frac{d}{dt}(\gamma v_x) = 0$

$$v_x \rightarrow v_{\rm ph}$$



Experimental results of V_pxB acceleration (Surfatron)



• $n_0 \sim 1-30 \times 10^{17} \text{ m}^{-3}$

• T_i ~ 0.1-0.2 eV

• $T_e \sim 2-5 \text{ eV}$

Microwave frequency: 3-10 GHz

C. Domier, *et al.*, Phys. Rev. Lett. **63**, 1803 (1989) 281

Plasma beat wave accelerator



$$sin(x_1) + sin(x_2) = 2 sin\left(\frac{x_1 + x_2}{2}\right) cos\left(\frac{x_1 - x_2}{2}\right)$$

A plasma wave is driven by the laser beat wave



$$\omega_0 = \omega_2 - \omega_1$$

$$k_0 = k_2 - k_1$$

$$v_{\rm ph} = v_g = c \sqrt{1 - \frac{\omega_p^2}{\omega_0^2}}$$

$$F = -e\nabla\phi_p = -\nabla \frac{e^2 E^{(1)} \cdot E^{(2)*}}{m\omega_1\omega_2}$$

Plasma wave

Electrons were accelerated to over 20 MeV using plasma beat wave accelerator



O 246J/4e17/cc

Plasma wakefield accelerator employs two beams

- When a bunch of electrons enter the plasma, they expel local electrons.
- When the bunch of electrons leave the plasma, the local electrons try to return but oscillate around their original locations and generate a wake field behind the bunch.
- The longitudinal field of the wake can accelerate the particles in the back.
- Key components:
 - Drive bunch: excite wakefield
 - Test bunch: beam that is accelerated to high energy



Energy doubling of 42 GeV electrons in a metre-scale plasma wakefield accelerator



I. Blumenfeld, et al., Nature 445, 741 (2007) 286

Dream beam – the dawn of compact particle accelerators





Ponderomotive force expelled electrons away from the higher electric field region

$$m_{s}\ddot{x} = q_{s}E = q_{s}E_{0}(x)\cos\omega t$$

$$x = x_{0} + x_{1} \text{ where } x_{0} = \overline{x}$$

$$m_{s}(\ddot{x}_{0} + \ddot{x}_{1}) = q_{s}\left(E_{0} + x_{1}\frac{dE_{0}}{dx}\right)\cos\omega t$$

$$\cdot \text{ Take time average:}$$

$$m_{s}\ddot{x}_{0} = q_{s}\frac{dE_{0}}{dx}\Big|_{x_{0}}\overline{x_{1}\cos\omega t}$$

$$\cdot \ddot{x}_{1} \gg \ddot{x}_{0} , E_{0} \gg x_{1}\frac{dE_{0}}{dx}$$

$$m_{s}\ddot{x}_{1} = q_{s}E_{0}\cos\omega t$$

$$x_{1} = -\frac{q_{s}E_{0}}{m_{s}\omega^{2}}\cos\omega t$$

$$\ddot{x}_{0} = -\frac{q_{s}^{2}E_{0}}{2m_{s}^{2}\omega^{2}}\frac{dE_{0}}{dx}$$

$$\frac{dE_{0}}{dx} = 0$$

$$\frac{dE_{0}}{dx} > 0$$

$$\frac{dE_{0}}{dx} = 0$$

$$\frac{dE_{0}}{dx} > 0$$

$$\frac{dE_{0}}{dx} = 0$$

$$\frac{dE_{0}}{dx} > 0$$

$$\frac{dE_{0}}{dx} = 0$$

$$\frac{dE_{0}}{dx}$$
Laser is used to create a bunch in laser wakefield accelerator



$$I(r,z) = \frac{2P}{\pi w^2(z)} \exp\left[-\frac{2r^2}{w^2(z)}\right]$$

• Waist: $w(z) = w_0 \sqrt{1 + \frac{z^2}{z_R^2}}$

• Rayleigh length:
$$z_R = \frac{\pi w_0^2}{\lambda_L}$$



Bubble/blow-out regime





A plasma wake is generated by a short pulse laser





V. Malka, et al., Nature Physics 4, 447 (2008)

http://cuos.engin.umich.edu/researchgroups/hfs/research/laser-wakefield-acceleration/

The wakefield generated by a short pulse laser is very similar to the wave behind a boat



https://upload.wikimedia.org/wikipedia/commons/4/4f/Wake.avon.gorge.2boats.arp.750pix.jpg 292

Ionization injection

Ionization level

6



- Large relative energy spread
- required to trap electrons is reduced so that electron beams with large charge can be produced in a moderate laser energy







Colliding laser pulses injection





Few femtosecond, few kiloampere electron bunch is produced by a laser-plasma accelerator



Plasma medicine



- Reference:
 - "Applied Plasma Medicine", by G. Fridman, et al., Plasma Process.
 Polym., 5, 503, 2008
 - "Plasma Medicine", by A. Fridman and G. Fridman



- Example of several plasma discharges for plasma medicine
- Living tissue sterilization
- Blood coagulation
- Nitrogen oxide (NO) treatment
- Non-thermal plasma treatment of melanoma skin cancer
- Skin regeneration
- Egg sterilization
- Facemask regeneration



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Plasma is characterized by the electron and ion temperatures

- Non-thermal plasma
 - $T_i << T_e$
 - Also called non-equilibrium plasma
- Thermal plasma

• Earlier applications of plasma in medicine – thermal effects of plasma

Plasma can provide good surface treatment with low temperature



Treatment	Surface treatment level	Depth	Temperature	Cost
Chemical	Large	Deep	Room temperature ∼200 °C	Medium
Heat	Only oxidizing	Deep	High temperature	Cheap
Radiation	Small	Whole sample	High temperature	Expensive
Plasma	Large	Surface	Room temperature ~100 °C	Cheap ~ Medium

Microwave plasma torch



Dielectric-barrier discharges (DBDs)



Plasma-needle discharge







Atmospheric-pressure cold helium microplasma jets





J. L. Walsh, et al., J. Phys. D: Appl. Phys., 43, 075201 (2010) 304

Space charge effect enhance the electric field



J. L. Walsh, et al., J. Phys. D: Appl. Phys., 43, 075201 (2010)

There are three different modes: chaotic, bullet, and continuous mode



J. L. Walsh, et al., J. Phys. D: Appl. Phys., 43, 075201 (2010) 306

In bullet mode, the plasma jet comes out as a pulse

wavelength-integrated optical

emission signal (350-800 nm)

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- Images of bullet mode
- **Bullet mode** 40 (a) 0.04 2 30 0.02 Integrated Emission Intensity 0.00 20 -0.02 Current (mA) Current (A) Voltage (kV) 10 -0.04 (b) 10⁴ 0.10 0.05 -10 0.00 -20 10⁵ -0.05 -2 -0.10 -30 20 80 100 40 60 3 **Continue mode** 100 120 Time (µs) 80 Time (µs) Quartz Tube Ground electrode

Floating-electrode dielectric barrier discharge (FE-DBD)





Simplified electrical schematic of FE-DBD



G. Fridman, et al., Plasma Chem. Plasma Process., 26, 425 (2006) 309

Depending on the needs, the size and the shape of FE-DBD treatment electrodes can vary



G. Fridman, et al., Plasma Chem. Plasma Process., 26, 425 (2006) 310

FE-DBD is a direct plasma medicine



G. Fridman, *et al.*, Plasma Chem. Plasma Process., **26**, 425 (2006) Plasma medicine, by Alexander Fridman and Gary Friedman



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Bacteria concentration reduces after being treated with FE-DBD

<i>Table 1.</i> Bacteria sterilization results (in cfu · mL ⁻¹). ^[26]							
	Original concentration	5 s of FE-DBD	10 s of FE-DBD	15 s of FE-DBD			
	10 ⁹	850 ± 183	9±3	4 ± 4			
	10 ⁸	22 ± 5	5±5	0 ± 0			
	10 ⁷	6 ± 6	0 ± 0	0 ± 0			

 Maximum acceptable dose – the highest dose that doesn't cause a damage on skin

G. Fridman, *et al.*, Plasma Process. Polym., **5**, 503 (2008) 313

The power of FE-DBD is low enough such that the tissue is not damaged by the plasma





Figure 6.23 Photos (top) and tissue histology (bottom) of cadaver skin samples after FE-DBD treatment: (a, d) control; (b, e) after 15 s of treatment; and (c, f) after 5 min of treatment – no visible damage is detected.

G. Fridman, *et al.*, Plasma Chem. Plasma Process., **26**, 425 (2006) Plasma medicine, by Alexander Fridman and Gary Friedman

Bacteria is inactivated by the plasma



- ~1.3x10⁷ cfu/cm² (10⁹ cfu/ml) of skin flora (CFU: colony-forming unit)
- Treated by FE-DBD plasma for 10 s



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Plasma can stimulate blood coagulation









G. Fridman, et al., Plasma Chem. Plasma Process., 26, 425 (2006) 317

Example of blood coagulation using plasma







Saphenous vein is a major blood vessel for a mouse



If left untreated following a cut animal will bleed out (control)

(a)



15 seconds at 0.8 Watt/cm² stops the bleeding completely right after treatment

(C)

G. Fridman, *et al.*, Plasma Process. Polym., 5, 503 (2008)
G. Fridman, *et al.*, Plasma Chem. Plasma Process., 26, 425 (2006)
Plasma medicine, by Alexander Fridman and Gary Friedman



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Nitrogen oxide (NO) serves a multitude of essential biological functions



- Blood coagulation
- Immune system
- Early apoptosis (細胞凋亡)
- Neural communication and memory
- ・ Relaxation of flat bronchial (支氣管) and gastrointestinal muscles (胃腸肌肉)
- Hormonal (激素) and sex functions
- Anti-microbial (抗微生物) and anti-tumor (抗腫瘤) defense
- Play an important role in tumor growth, immunodeficiency (免疫缺陷), cardiovascular (心血管), liver (肝), gastrointestinal tract (胃腸道) disease

NO treatment of wound pathologies





Before treatment



21st day of NO-therapy (10 seances)

After 2 months of NO-therapy

- Decrease in the trophic ulcer (營養性潰瘍) area:
 - Traditional treatment methods: 0.7% per day
 - NO treatment methods:

G. Fridman, *et al.*, Plasma Process. Polym., **5**, 503 (2008) Plasma medicine, by Alexander Fridman and Gary Friedman

1.7% per day

NO treatment of wound pathologies





Before treatment

After 4.5 months of NO-therapy (3 courses; 12 seances per course)

G. Fridman, *et al.*, Plasma Process. Polym., **5**, 503 (2008) Plasma medicine, by Alexander Fridman and Gary Friedman ₃₂₃



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Non-thermal plasma treatment of melanoma skin cancer (黑色素瘤皮膚癌)





• ~1.5x10⁶ per dish





Figure 22. Results of FE-DBD treatment of melanoma cancer cells: Control, 5, 10, 20, and 30 s, counted 1, 3, and 24 h post-treatment.^[27]
SKH-1 hairless mouse is treated with parallel plate electrode under isoflurane inhalation anesthesia



Melanoma shrinks after the treatment



• Day 0-3: 3 applications of 100 pulses (300 ns, 40 kv/cm, 0.5 Hz), 30 min apart

Day 4: single application using 5 <u>mm dia</u>meter parallel plate electrode

Biochem Biophys Res Commun. 2006 May 5; 343(2): 351–360.

Electric field of 20 kV/cm is needed to treat Melanoma





Biochem Biophys Res Commun. 2006 May 5; 343(2): 351–360.

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Plasma skin regeneration (PSR) is a novel skin treatment device





- PSR provides 1-2 J or 3-4 J per pulse for lower or higher power, respectively
- The skin is damaged slightly by the nitrogen plasma jet
- Skin regeneration is stimulated
- Local anesthetic (麻藥) is required and a systemic anesthetic, administered orally is recommended
- Ablative-like effect, similar to that of laser skin resurfacing can also be achieved, but with higher doses

Zones of the face and associated treatment energy settings







This particular patient-rated improvement in overall skin rejuvenation was 85%





 Patients reported minimal discomfort following the procedure and reported over 60% improvement in their skin condition



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Atmospheric-Pressure Plasma sterilization 99.9999% bacteria on surfaces of eggs



https://www.itri.org.tw/chi/Content/Publications/contents.aspx?Sit eID=1&MmmID=2000&MSid=745416417706673311



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A face mask do restrict the air flow from the mouth and the nose





Coughing over one breath w/o mask.

Coughing over a longer periods of time w/o mask.

Coughing over one breath w/ mask.

Talking w/o mask.

Wearing face mask can reduce the Covid-19 transmission probability significantly





Plasma can provide good surface treatment with low temperature



Treatment	Surface treatment level	Depth	Temperature	Cost
Chemical	Large	Deep	Room temperature ∼200 °C	Medium
Heat	Only oxidizing	Deep	High temperature	Cheap
Radiation	Small	Whole sample	High temperature	Expensive
Plasma	Large	Surface	Room temperature ~100 °C	Cheap ~ Medium

 Atmospheric plasma can generate radicals, ozone, reactive oxygen/nitrogen/NH (ROS · RONS), UV light, electrons, charged particles.

Plasma can generate ROS and RONS



Yu-Lin Kuo, etc., 自動化大氣電漿設備建置與醫療用口罩去異味活化,科儀新知227 期 p50 339

The temperature of the mask under plasma treamtment is below 40 $^\circ\!C$





Yu-Lin Kuo, etc., 自動化大氣電漿設備建置與醫療用口罩去異味活化,科儀新知227 期 p50 340

The surface quality of the face mask was not influenced by the plasma treament





Yu-Lin Kuo, etc., 自動化大氣電漿設備建置與醫療用口罩去異味活化,科儀新知227 期 p50 341

The growth of the bacteria on the face mask was suppressed



Yu-Lin Kuo, etc., 自動化大氣電漿設備建置與醫療用口罩去異味活化,科儀新知227 期 p50

DBD plasma demonstration







Show video.

DBD plasma can be generated between the finger and the dielectric layer



