#### **Application of Plasma Phenomena**



Po-Yu Chang

#### Institute of Space and Plasma Sciences, National Cheng Kung University

Lecture 14

2023 spring semester

Tuesday 9:10-12:00

Materials:

https://capst.ncku.edu.tw/PGS/index.php/teaching/

**Online courses:** 

https://nckucc.webex.com/nckucc/j.php?MTID=m2a52f2d8ea616f434b6ec30 53ef0ebd2

2023/5/29 updated 1



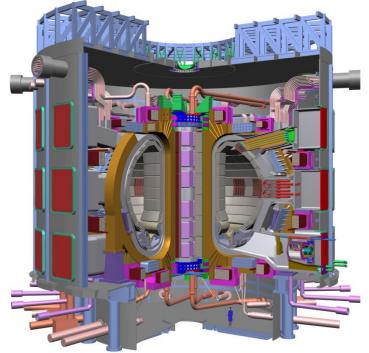
- Assignments 50 % There will be 3 homework in total.
- Presentations 50 % 6/13(Tuesday) 10:00

(10-min presentation on any

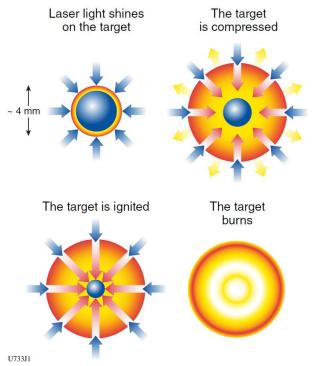
plasma applications or phenomena)

### To control? Or not to control?

Magnetic confinement fusion (MCF)



 Plasma is confined by toroidal magnetic field. Inertial confinement fusion (ICF)

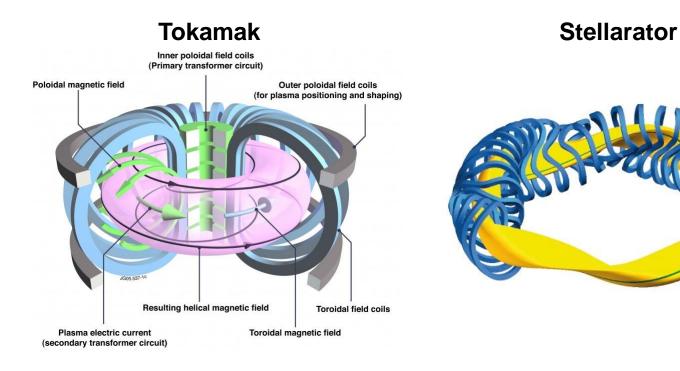


A DT ice capsule filled with DT gas is imploded by laser.

Laboratory for Laser Energetics, University of Rochester is a pioneer in laser fusion

#### The plasma is too hot to be contained

 Solution 1: Magnetic confinement fusion (MCF), use a magnetic field to contain it. P~atm, τ~sec, T~10 keV (10<sup>8</sup> °C)



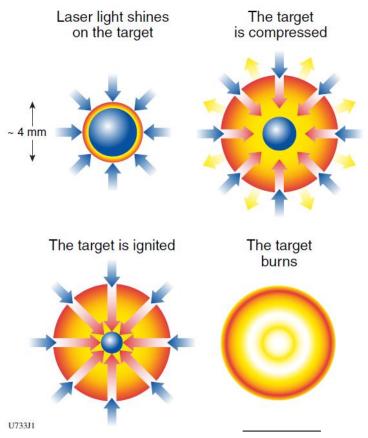
https://www.euro-fusion.org/2011/09/tokamak-principle-2/

https://en.wikipedia.org/wiki/Stellarator

### Don't confine it!

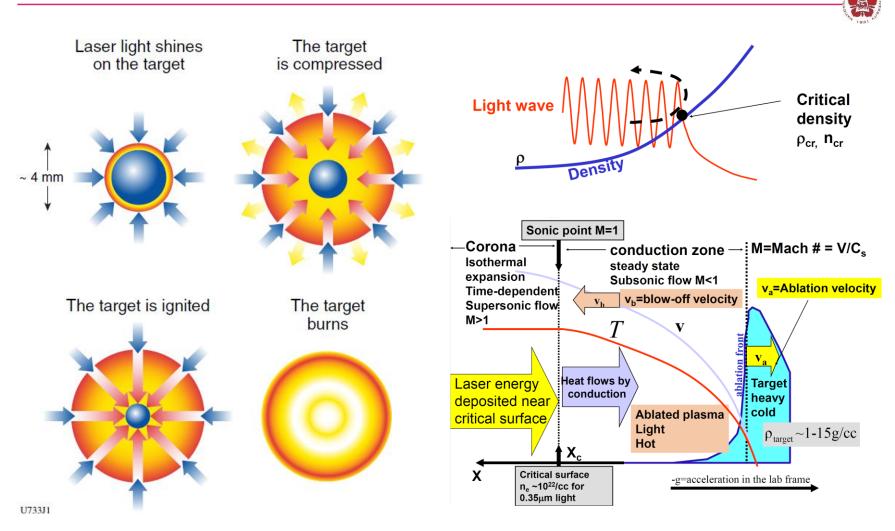


 Solution 2: Inertial confinement fusion (ICF). Or you can say it is confined by its own inertia: P~Gigabar, τ~nsec, T~10 keV (10<sup>8</sup> °C)



Inertial confinement fusion: an introduction, Laboratory for Laser Energetics, University of Rochester

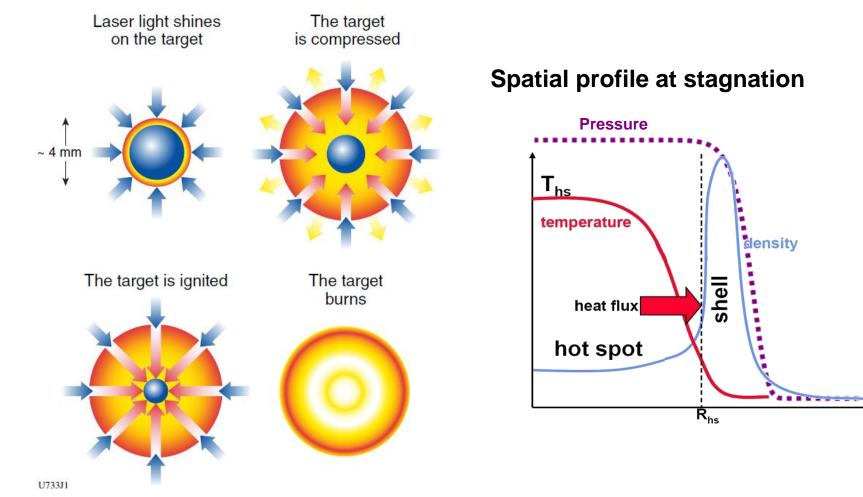
# Compression happens when outer layer of the target is heated by laser and ablated outward



Inertial confinement fusion: an introduction, Laboratory for Laser Energetics, University of Rochester R. Betti, HEDSA HEDP Summer School, 2015

# Plasma is confined by its own inertia in inertial confinement fusion (ICF)

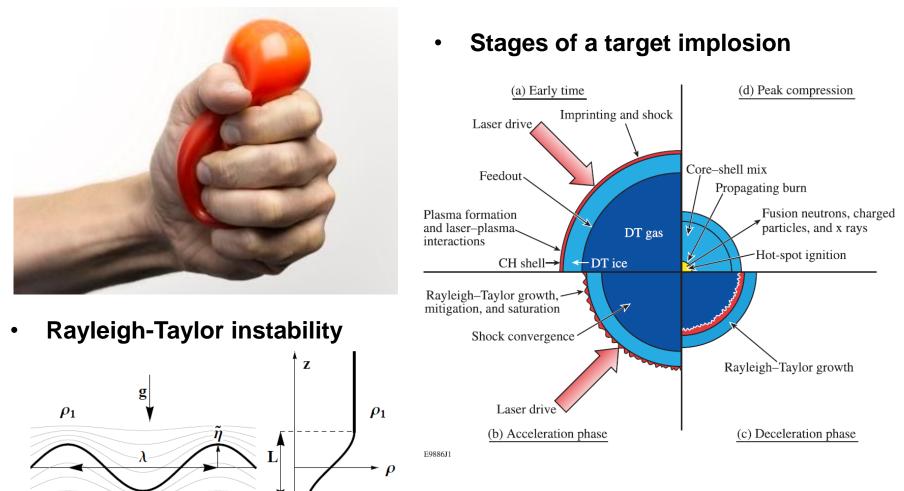




Inertial confinement fusion: an introduction, Laboratory for Laser Energetics, University of Rochester

# A ball can not be compressed uniformly by being squeezed between several fingers





 $\rho_2$ 

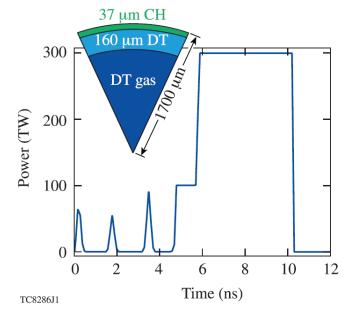
P.-Y. Chang, PhD Thesis, U of Rochester (2013) R. S. Craxton, etc., *Phys. Plasmas* **22**, 110501 (2015)

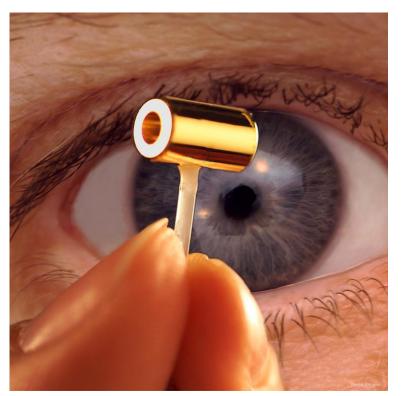
#### **Targets used in ICF**





• Triple-point temperature : 19.79 K





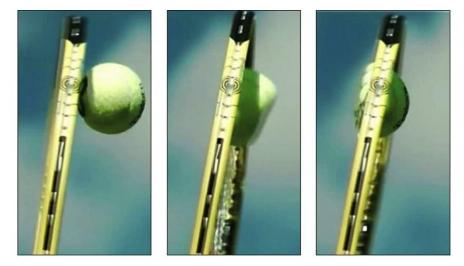
http://www.lle.rochester.ed https://en.wikipedia.org/wiki/Inertial\_confinement\_fusion R. S. Craxton, etc., *Phys. Plasmas* **22**, 110501 (2015)

#### Softer material can be compressed to higher density



Compression of a baseball

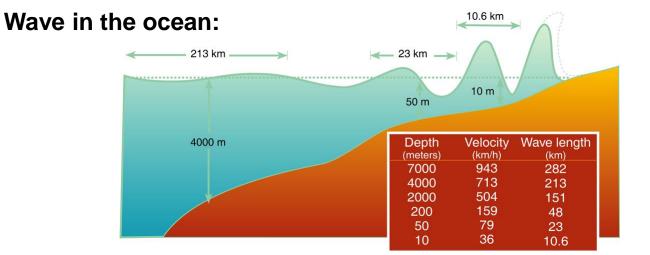
Compression of a tennis ball



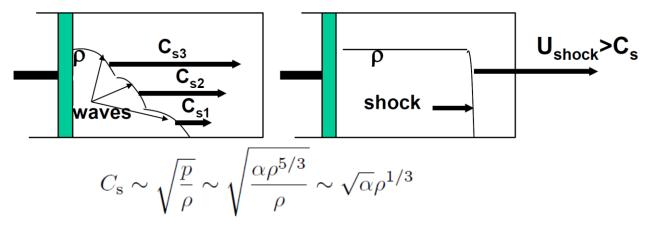
10

https://www.youtube.com/watch?v=uxIIdMoAwbY https://newsghana.com.gh/wimbledon-slow-motion-video-of-how-a-tennis-ball-turns-to-goo-after-serve/

### A shock is formed due to the increasing sound speed of a compressed gas/plasma



• Acoustic/compression wave driven by a piston:



http://neamtic.ioc-unesco.org/tsunami-info/the-cause-of-tsunamis \*R. Betti, HEDSA HEDP Summer School, 2015

#### **Targets used in ICF**





#### **Cryogenic shroud**

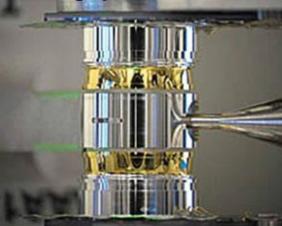


#### a Cryogenic hohlraum



Rugby hohlraum

С

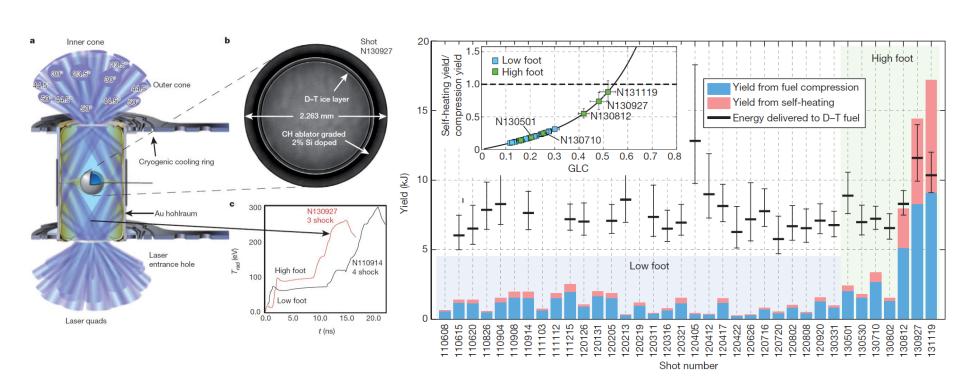


d Tent holder

https://www.lle.rochester.edu/index.php/2014/11/10/next-generation-cryo-target/ Introduction to Plasma Physics and Controlled Fusion 3<sup>rd</sup> Edition, by Francis F. Chen https://www.llnl.gov/news/nif-shot-lights-way-new-fusion-ignition-phase

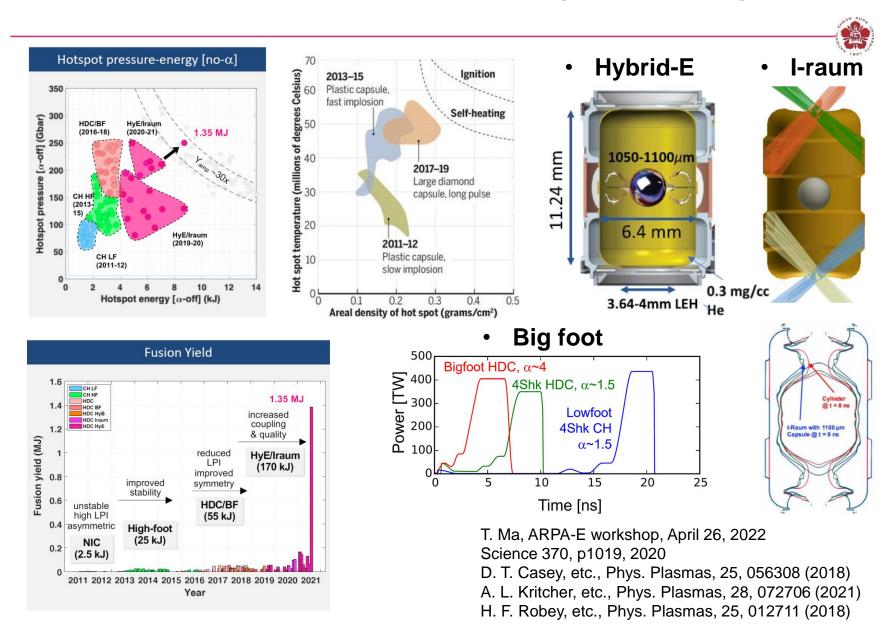
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## Nature letter "Fuel gain exceeding unity in an inertially confined fusion implosion"



Fuel gain exceeding unity was demonstrated for the first time.

#### The hot spot has entered the burning plasma regime



14

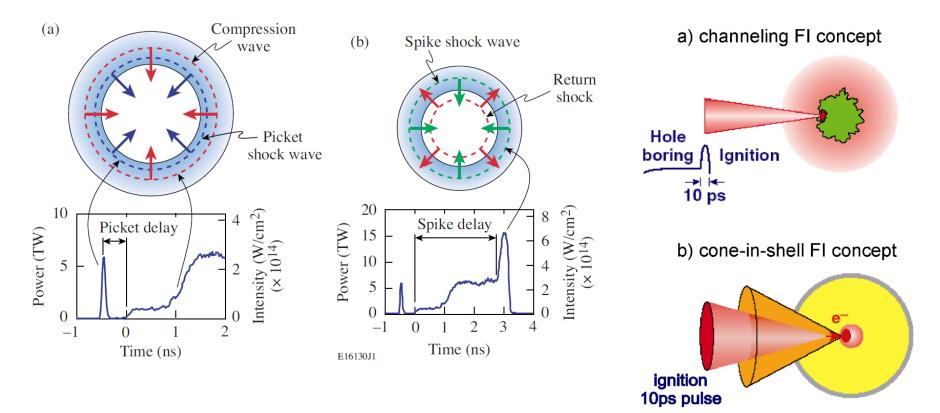
#### External "spark" can be used for ignition



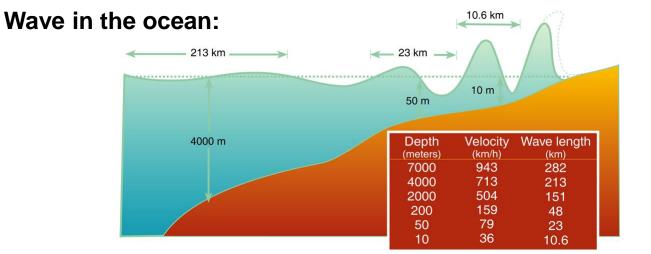
15

#### Shock ignition

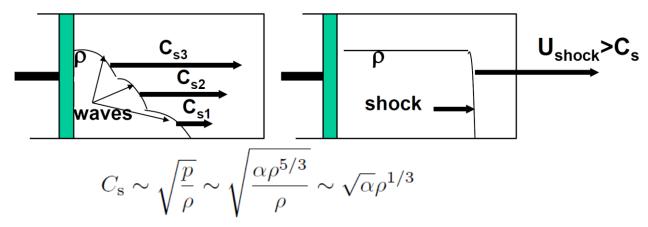
Fast ignition



### A shock is formed due to the increasing sound speed of a compressed gas/plasma



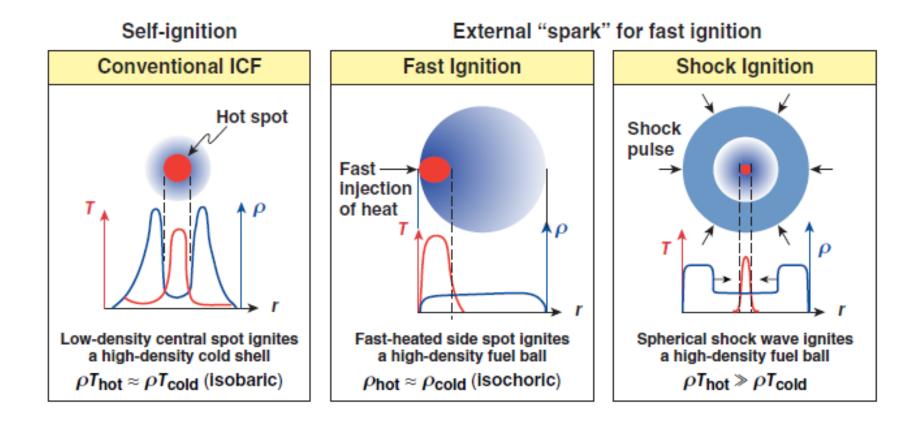
• Acoustic/compression wave driven by a piston:



http://neamtic.ioc-unesco.org/tsunami-info/the-cause-of-tsunamis \*R. Betti, HEDSA HEDP Summer School, 2015

### Ignition can happen by itself or being triggered externally

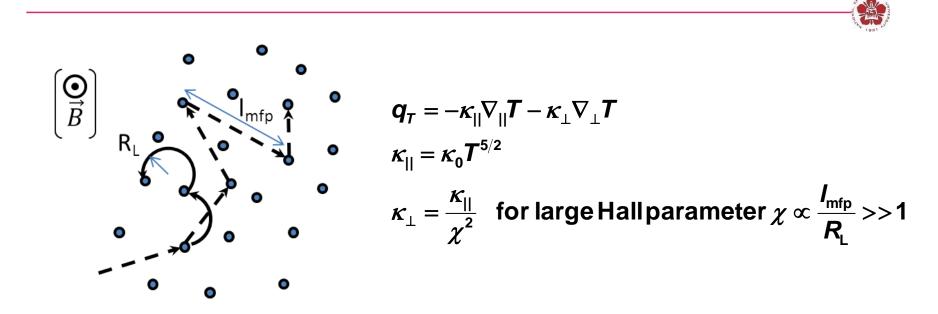






- Introduction to nuclear fusion
- Magnetic confinement fusion (MCF)
  - Tokamak
  - Stellarator
- Inertial confinement fusion (ICF)
  - Indirection drive ICF
  - Direct drive ICF
- Innovation idea MCF + ICF
- Plasma in space
- Pulsed-power system at NCKU

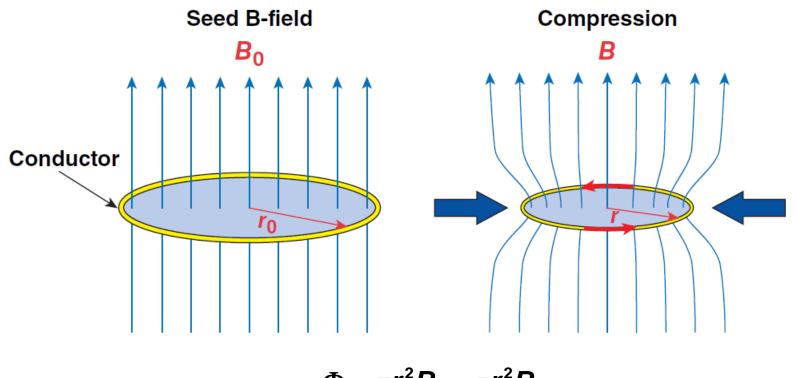
#### A strong magnetic field reduces the heat flux



• Typical hot spot conditions:  $R_{hs} \sim 40 \ \mu m, \rho \sim 20 \ g/cm^3, T \sim 5 \ keV:$  $B > 10 \ MG$  is needed for  $\chi > 1$ 

Magnetic-flux compression can be used to provide the needed magnetic field.

### Principle of frozen magnetic flux in a good conductor is used to compress fields

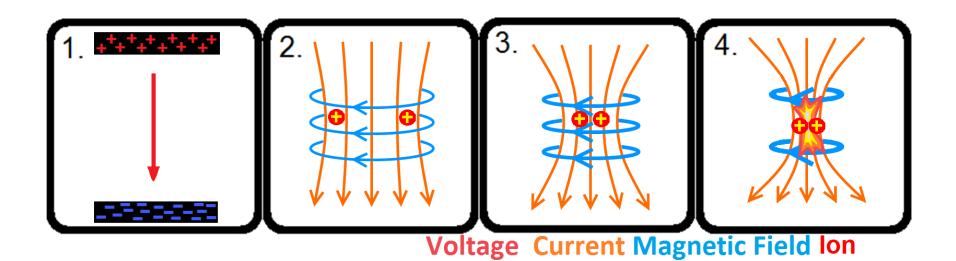


 $\Phi = \pi r_0^2 B_0 = \pi r^2 B$ 

M. Hohenberger, P.-Y. Chang, et al., Phys. Plasmas <u>19</u>, 056306 (2012). <sub>20</sub>

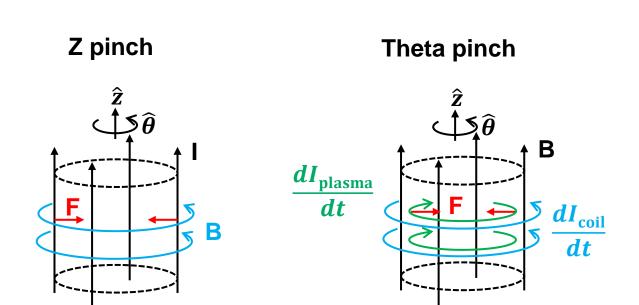
#### Plasma can be pinched by parallel propagating plasmas





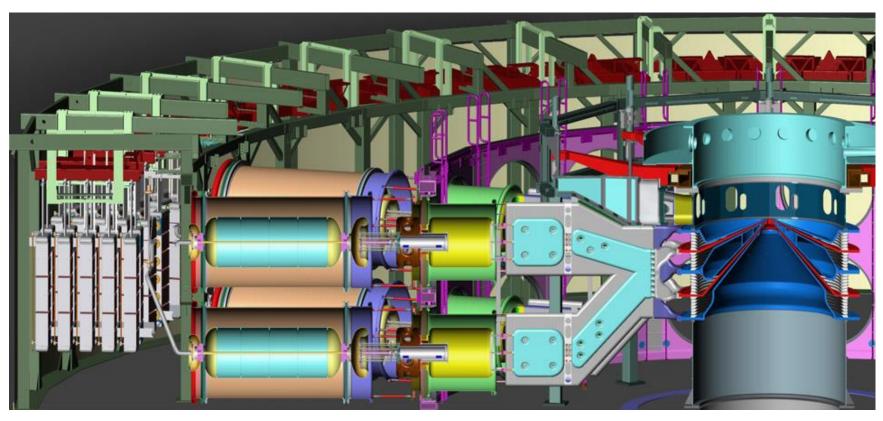
https://en.wikipedia.org/wiki/Pinch\_(plasma\_physics) 21

#### Plasma can be heated via pinches



# Sandia's Z machine is the world's most powerful and efficient laboratory radiation source

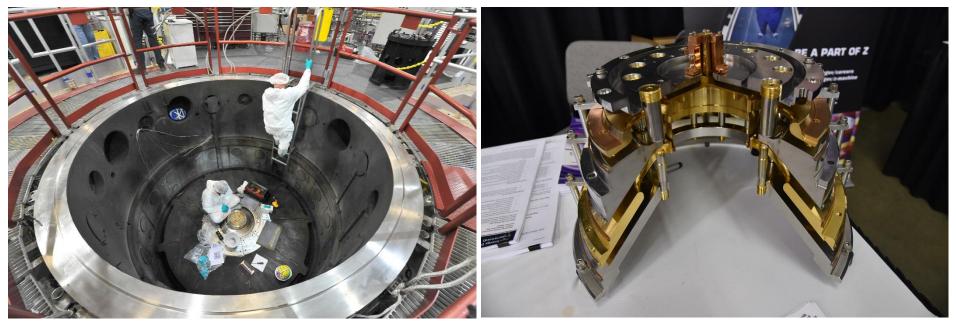




- Stored energy: 20 MJ
- Marx charge voltage: 85 kV
- Peak electrical power: 85 TW
- Peak current: 26 MA
- Rise time: 100 ns
- Peak X-ray emissions: 350 TW
- Peak X-ray output: 2.7 MJ

### Z machine

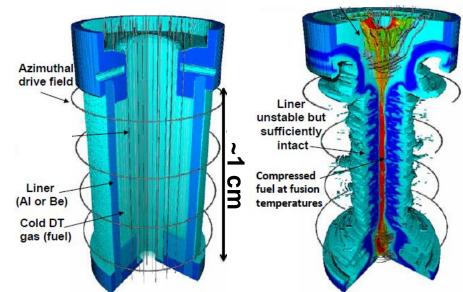




### Z machine



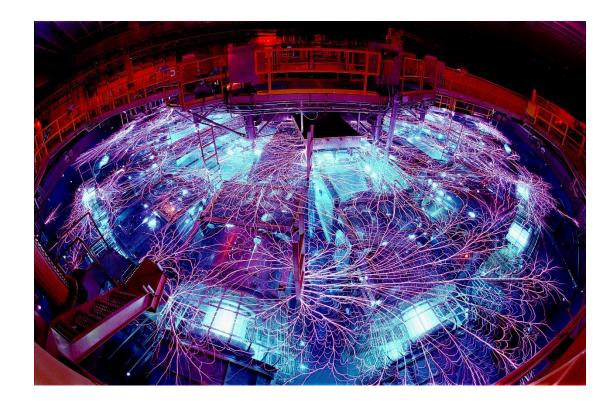




- Stored energy: 20 MJ
- Peak electrical power: 85 TW
- Peak current: 26 MA
- Rise time: 100 ns
- Peak X-ray output: 2.7 MJ

### Z machine discharge





#### **Before and after shots**

• Before shots

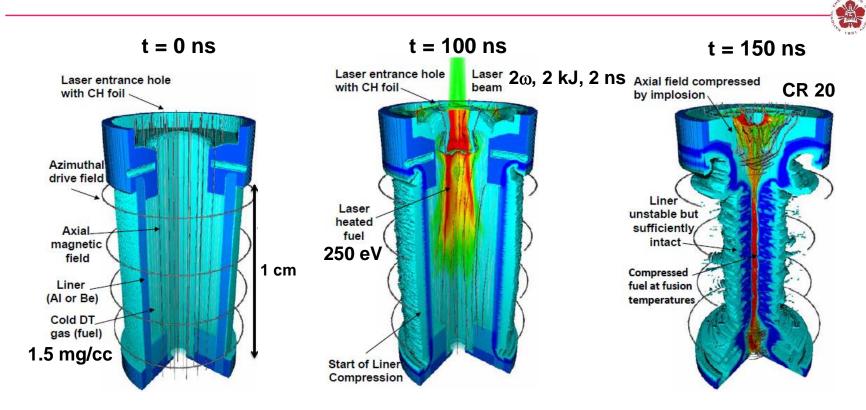


SAND2017-0900PE\_The sandia z machine - an overview of the world's most powerful pulsed power facility.pdf

• After shots



### Promising results were shown in MagLIF concept conducted at the Sandia National Laboratories



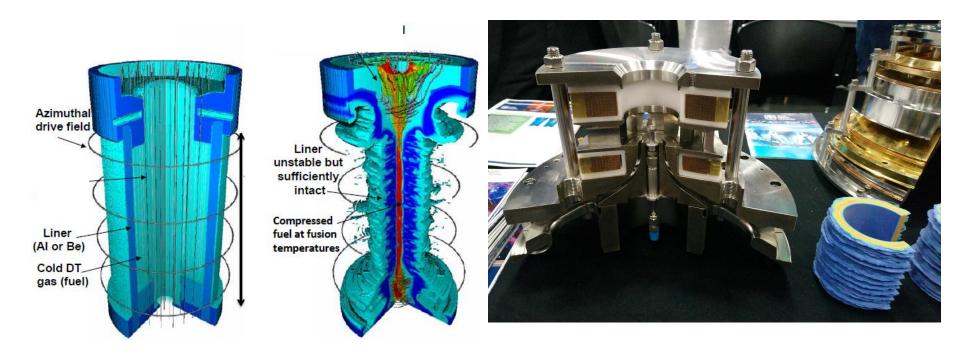
The stagnation plasma reached fusion-relevant temperatures with a 70 km/s implosion velocity

S. A. Slutz et al Phys. Plasmas 17 056303 (2010)

M. R. Gomez et al Phys. Rev. Lett. 113 155003 (2014)

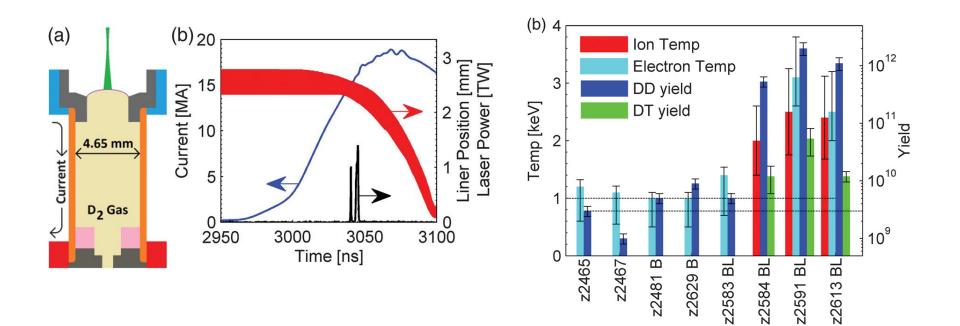
### MagLIF target





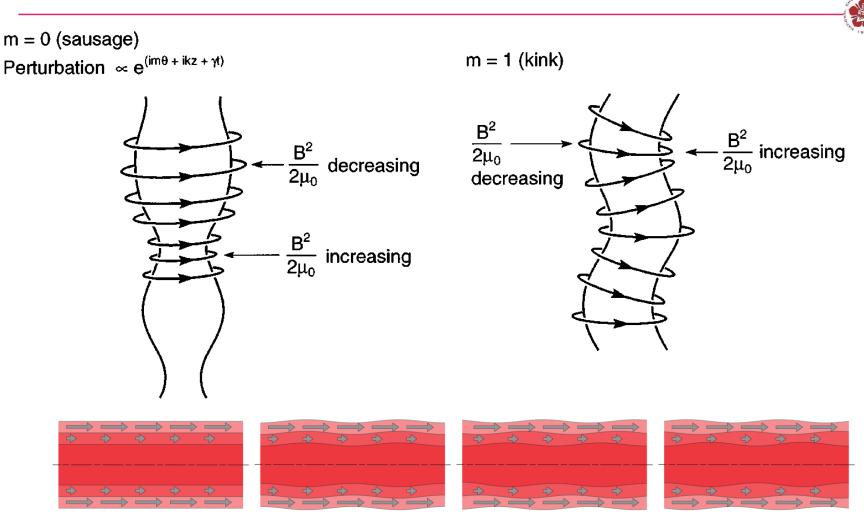
#### Neutron yield increased by 100x with preheat and external magnetic field.





#### M. R. Gomez et al Phys. Rev. Lett. 113 155003 (2014) 30

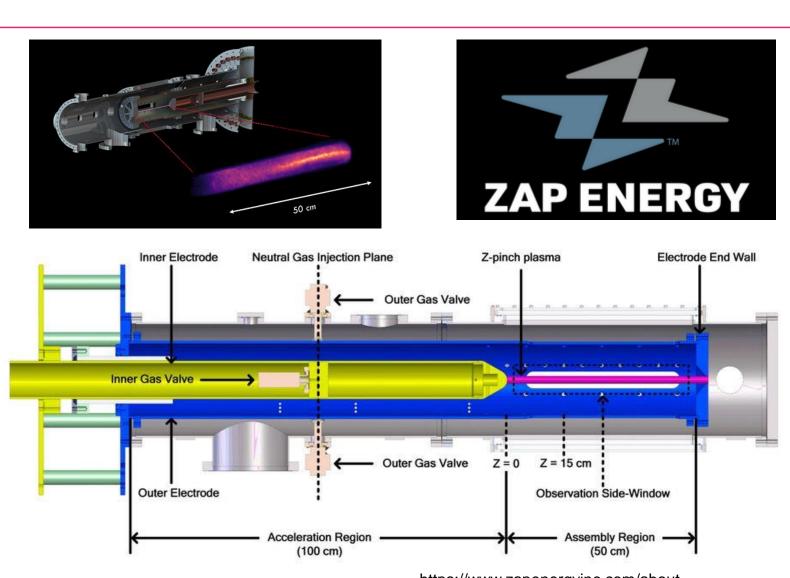
#### **Sheared flow stabilizes MHD instabilities**



 $\frac{dV_Z}{dr} \neq 0$ 

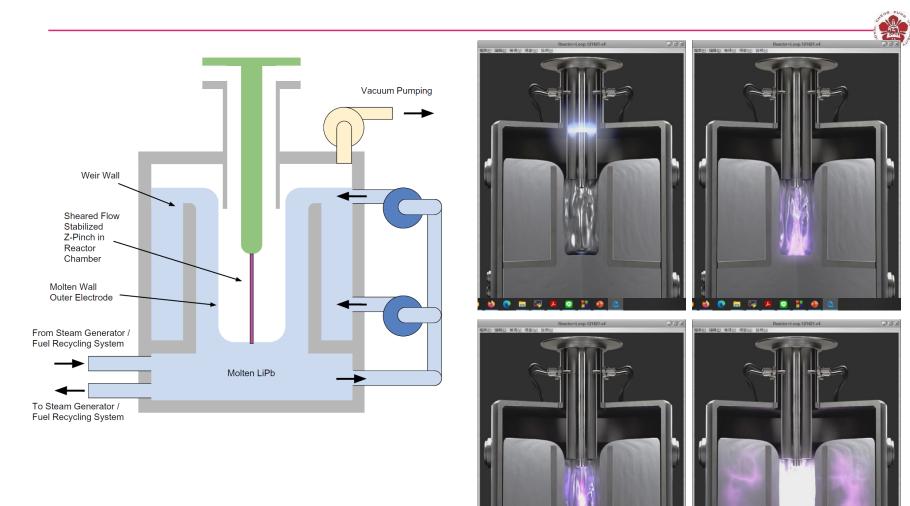
- M. G. Haines, etc., Phys. Plasmas 7, 1672 (2000) U. Shumlak, etc., Physical Rev. Lett. 75, 3285 (1995)
- U. Shumlak, etc., ALPHA Annual Review Meeting 2017

#### A z-pinch plasma can be stabilized by sheared flows



https://www.zapenergyinc.com/about A. D. Stepanov, etc., Phys. Plasmas 27, 112503 (2020)

#### Fusion reactor concept by ZAP energy



https://www.zapenergyinc.com/about E. G. Forbes, etc., Fusion Sci. Tech. 75, 599 (2019)

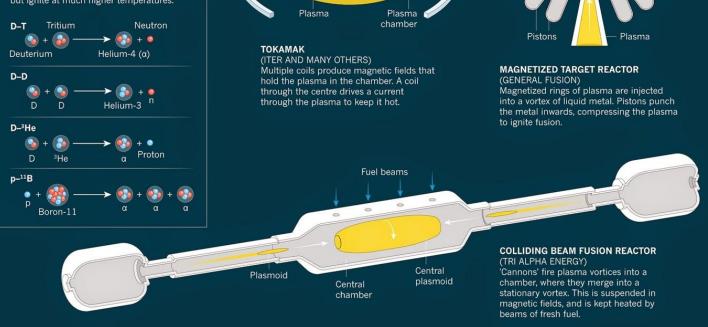
#### There are alternative

#### TRAPPING FUSION FIRE

When a superhot, ionized plasma is trapped in a magnetic field, it will fight to escape. Reactors are designed to keep it confined for long enough for the nuclei to fuse and produce energy.

#### A CHOICE OF FUELS

Many light isotopes will fuse to release energy. A deuterium-tritium mix ignites at the lowest temperature, roughly 100 million kelvin, but produces neutrons that make the reactor radioactive. Other fuels avoid that, but ignite at much higher temperatures.



Magnetic field coils

http://www.nextbigfuture.com/2016/05/nuclear-fusion-comany-tri-alpha-energy.html

Liquid metal vortex

34

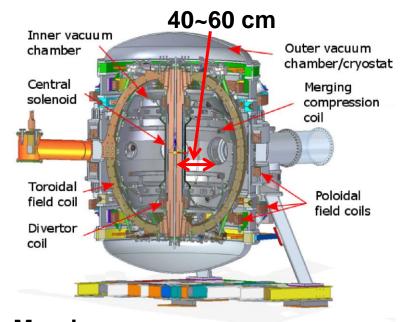
# Commonwealth Fusion Systems, a MIT spin-out company, is building a high-magnetic field tokamak





- Fusion power  $\propto B^4$ .
- The fusion gain Q > 2 is expected for SPARC tokamak.

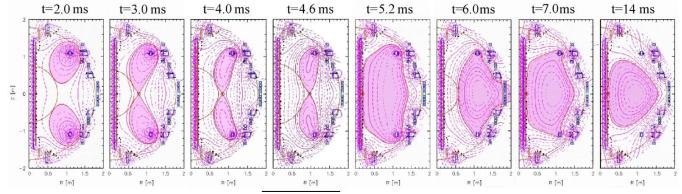
# Merging compression is used to heat the tokamak at the start-up process in ST40 Tokamak at Tokamak Energy Ltd



- High temperature superconductors are used.
- B<sub>T</sub> ~ 3 T



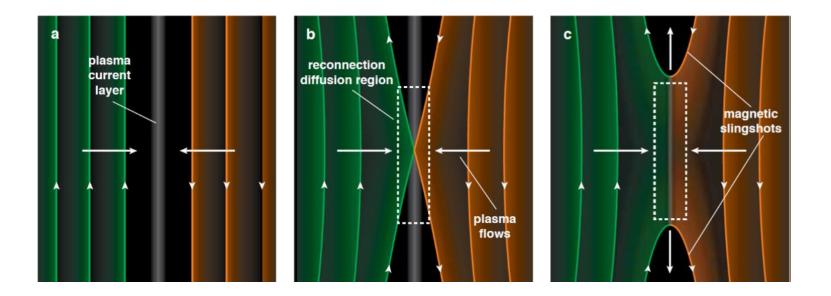
 Merging compression



M. Gryaznevich, etc., Fusion Eng. Design, **123**,177 (2017) https://www.tokamakenergy.co.uk/ P. F. Buxton, etc., Fusion Eng. Design, **123**, 551 (2017)

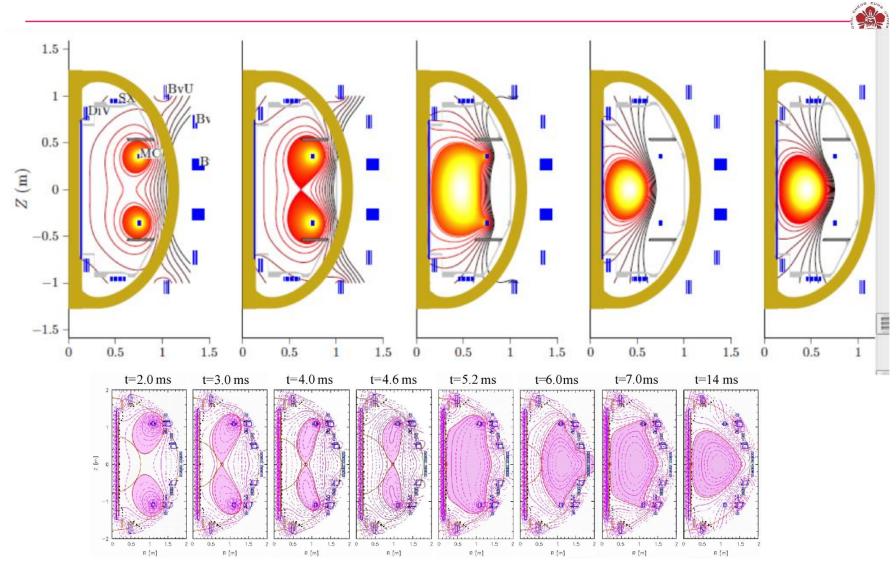
### Reconnection





https://www.youtube.com/watch?v=7sS3Lpzh0Zw

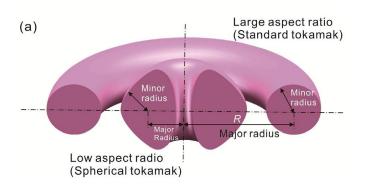
#### Merging compression is used to heat the plasma



http://www.100milliondegrees.com/merging-compression/ P. F. Buxton, etc., Fusion Eng. Design, **123**, 551 (2017)

# Spherical torus (ST) and compact torus (CT)

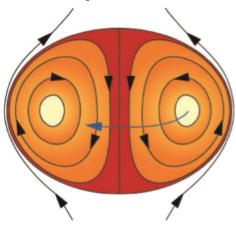
Spherical torus (ST)



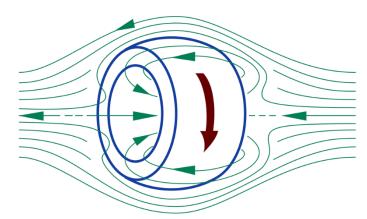
• Compact torus (CT)

•

Spheromak

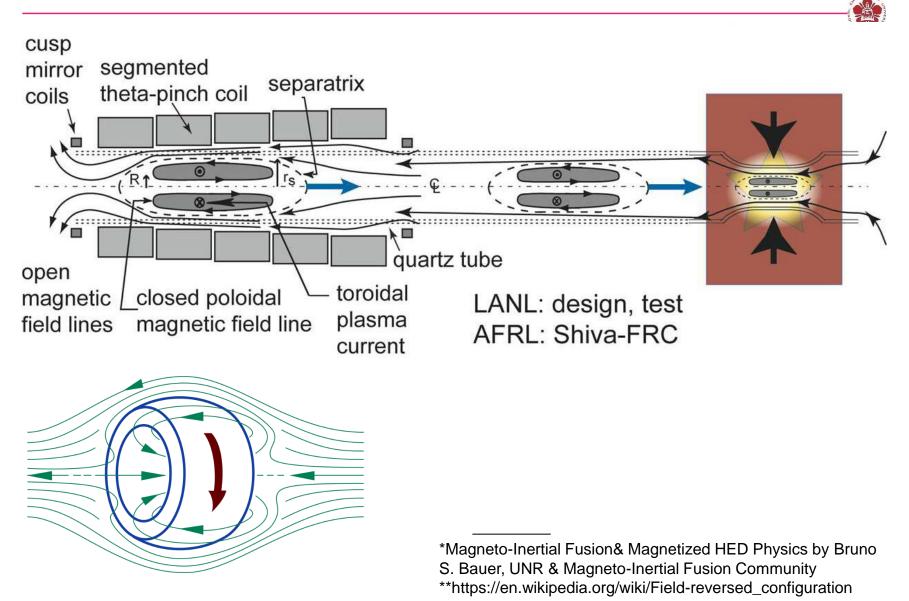


• Field reversed configuration (FRC)



Zhe Gao, Matter Radiat. Extremes **1**, 153 (2016) https://en.wikipedia.org/wiki/Field-reversed\_configuration

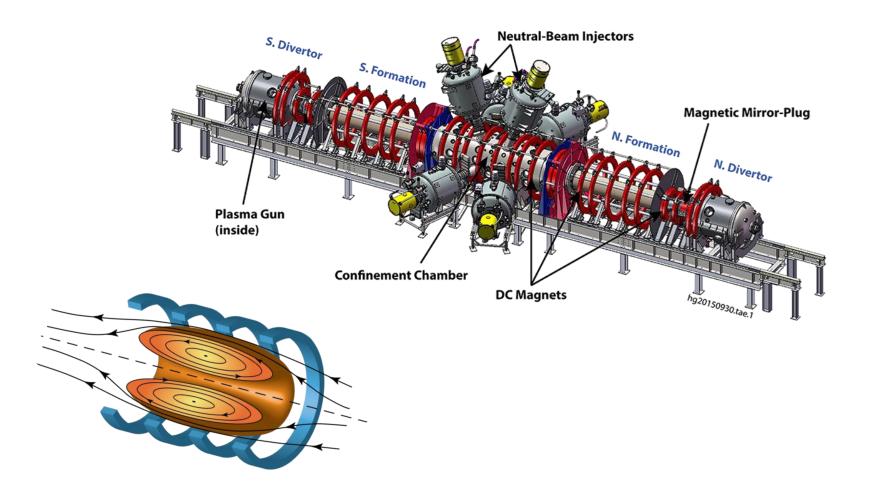
### Field reverse configuration is used in Tri-alpha energy



### Field reverse configuration is used in Tri-alpha energy

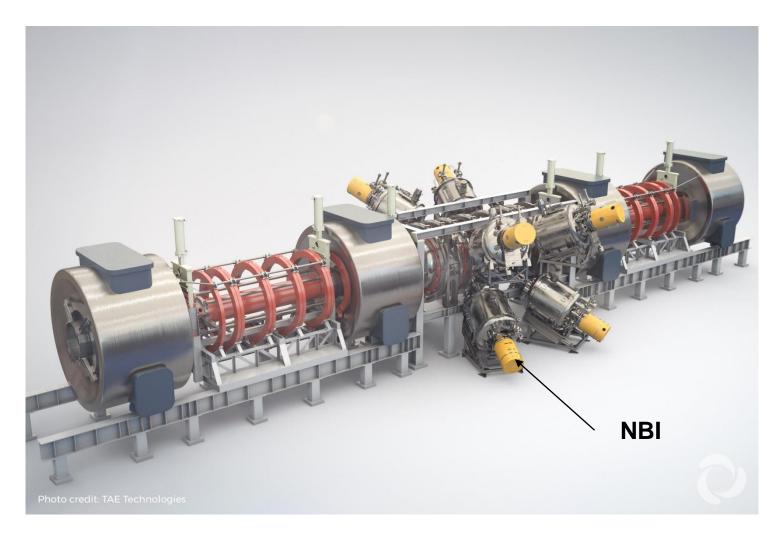


41



### **NBI for Tri-Alpha Energy Technologies**





# Neutral beams are injected in to the chamber for spinning the FRC

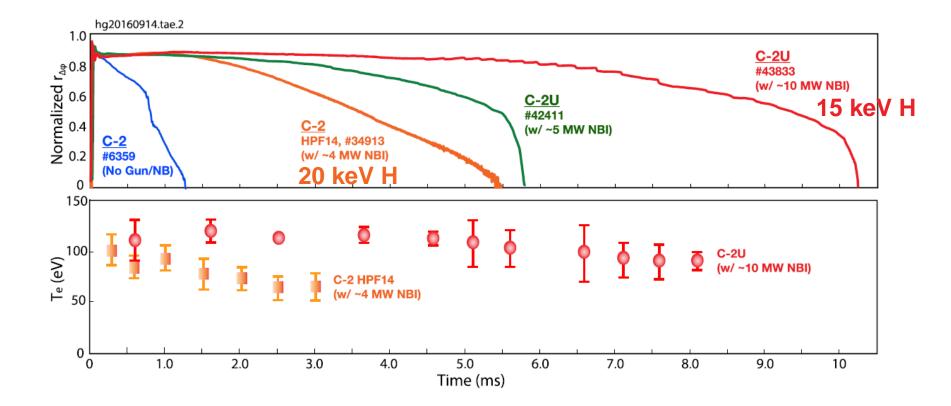




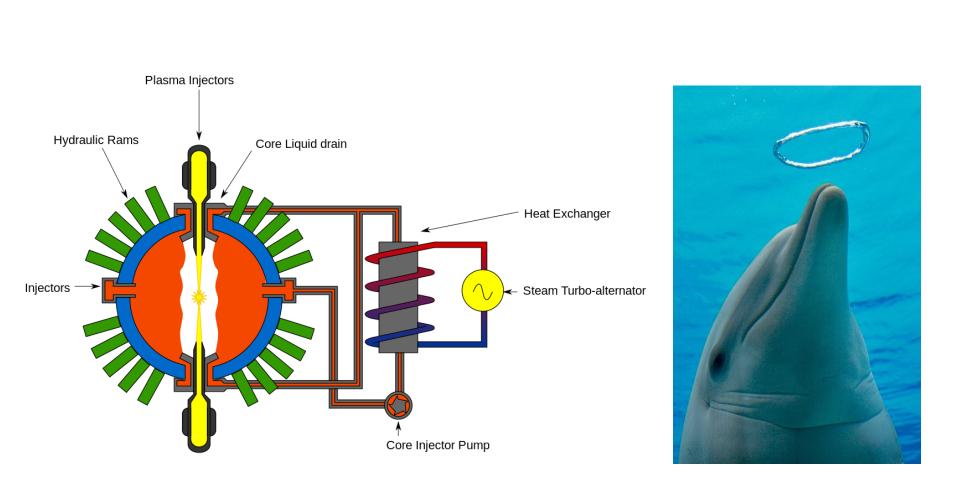
https://tae.com/media/ https://zh.wikihow.com/%E5%9C%A8%E6%89%8B%E6%8C%87%E4%B8%8A%E8%BD%AC%E7%AF%AE%E7%90%83

#### FRC sustain longer with neutral beam injection

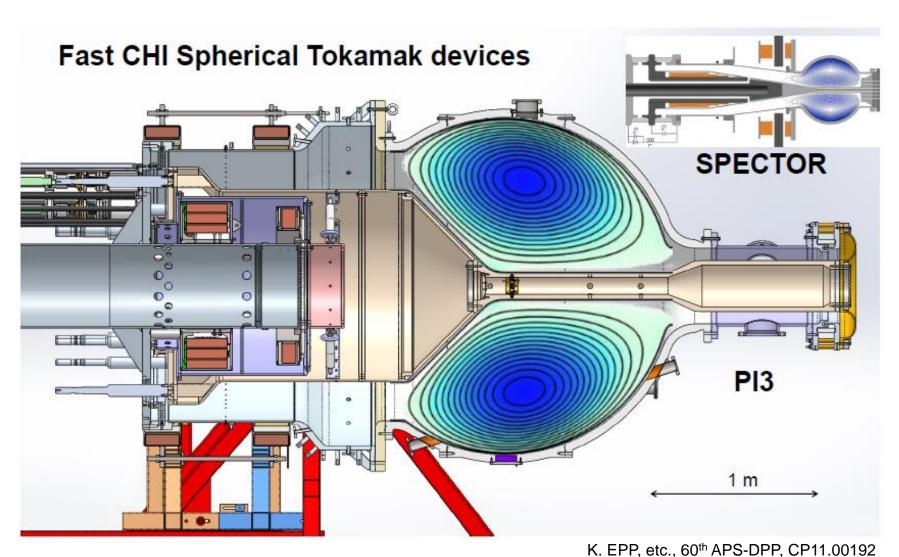




# General fusion is a design ready to be migrated to a power plant

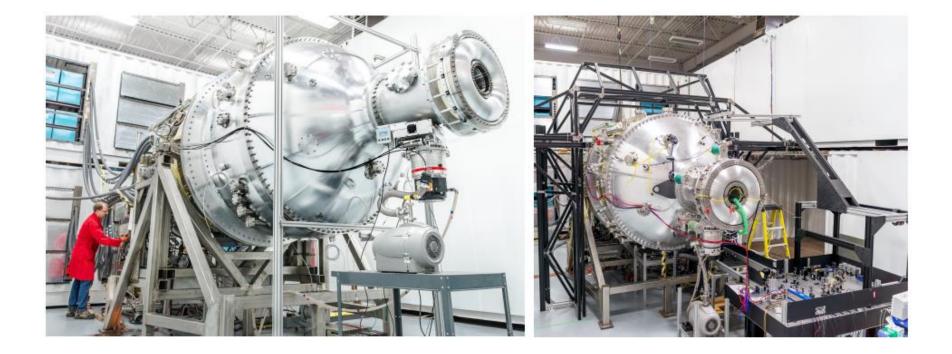


## A spherical tokamak is first generated



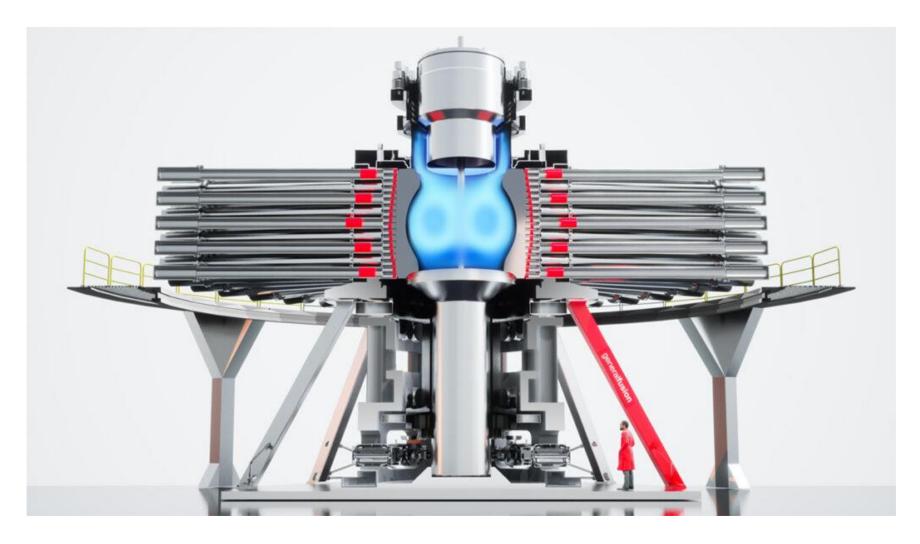
#### Plasma injector for the spherical tokamak



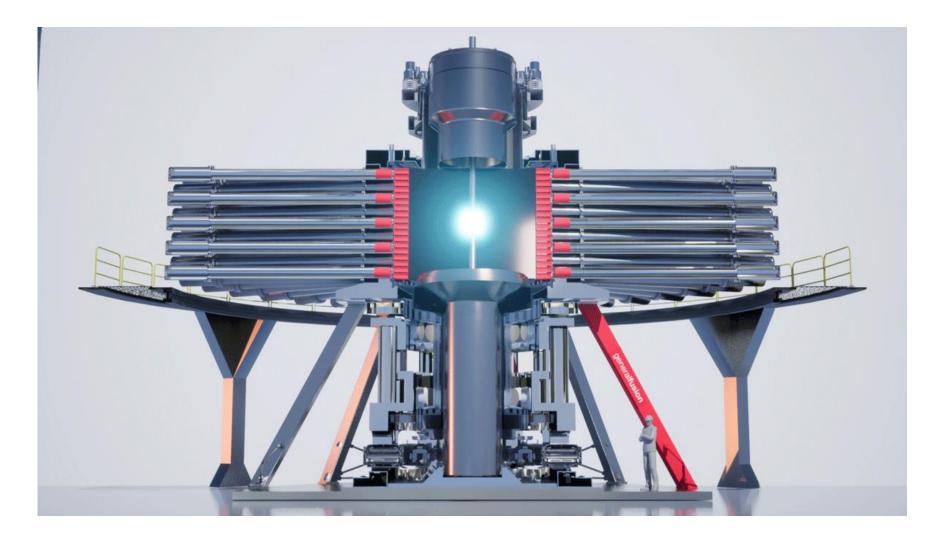


# A spherical tokamak is generated in a liquid metal vortex

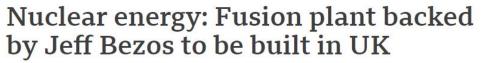




# The spherical tokamak is compressed by the pressure provided by the sournding hydraulic pistons



### BBC: General Fusion to build its Fusion Demonstration Plant in the UK, at the UKAEA Culham Campus



By Matt McGrath Environment correspondent

🕑 17 June



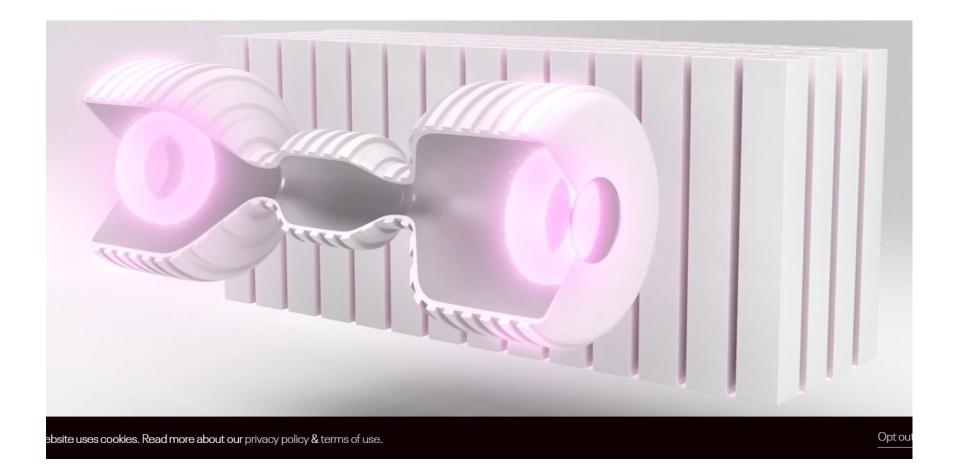


A company backed by Amazon's Jeff Bezos is set to build a large-scale nuclear fusion demonstration plant in Oxfordshire.

Canada's General Fusion is one of the leading private firms aiming to turn the

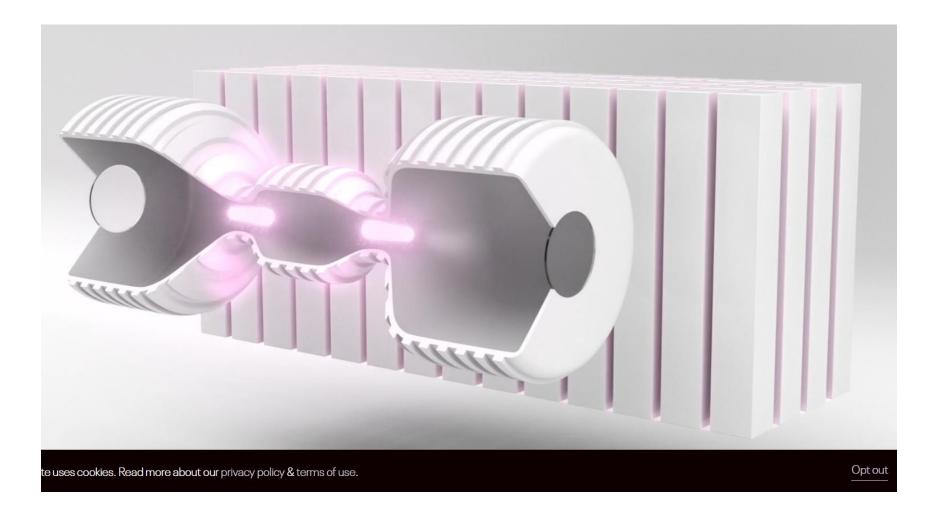
#### Helion energy is compressing the two merging FRCs





#### Two FRCs are accelerated toward each other





#### Two FRCs merge with each other



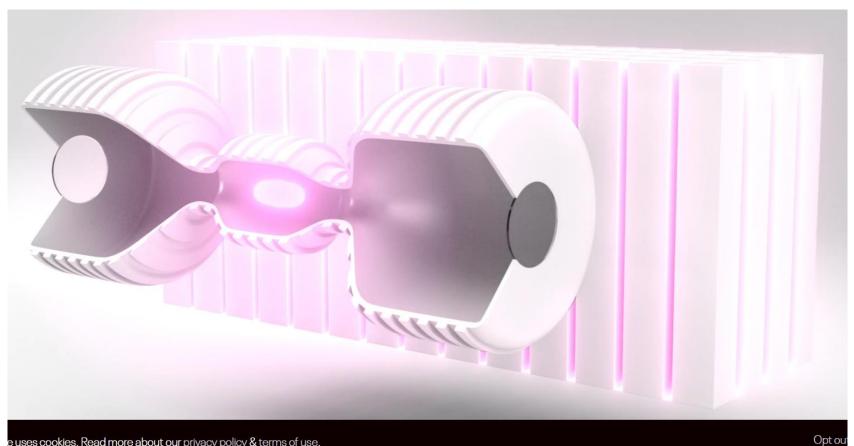
# ectricity Recapture

plasma expands, it pushes back on the magnetic y Faraday's law, the change in field induces t, which is directly recaptured as electricity. This usion electricity is used to power homes and unities, efficiently and affordably.

site uses cookies. Read more about our privacy policy & terms of use.

#### The merged FRC is compressed electrically to high temperature



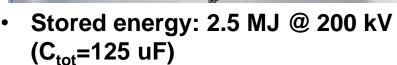


e uses cookies. Read more about our privacy policy & terms of use.

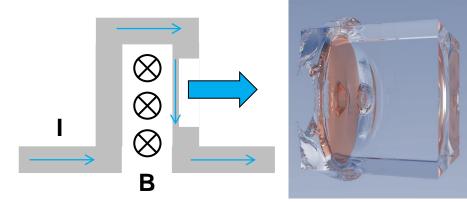
#### Similar concept will be studied in our laboratory. •

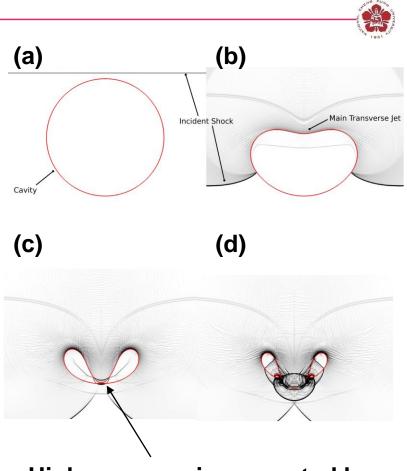
### **Projectile Fusion is being established at First Light Fusion Ltd, UK**





• I<sub>peak</sub>=14 MA w/ T<sub>rise</sub>~2us.





 High pressure is generated by the colliding shock.

https://firstlightfusion.com/ B. Tully and N. Hawker, Phys. Rev. **E93**, 053105 (2016) 55

### A gas gun is used to eject the projectile

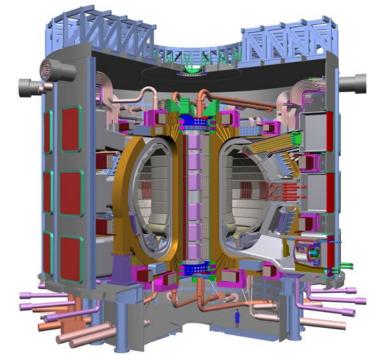




https://www.youtube.com/watch?v=JN7lyxC11n0 https://www.youtube.com/watch?v=aW4eufacf-8

# Many groups aim to achieve ignition in the MCF regime in the near future

ITER – 2025 First Plasma
 2035 D-T Exps
 2050 DEMO

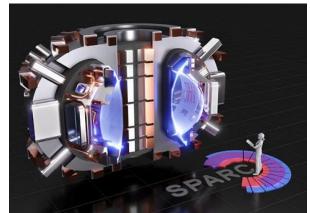


https://www.iter.org https://www.tokamakenergy.co.uk/ https://www.psfc.mit.edu/sparc

- Tokamak energy, UK
  - 2025 Gain
  - 2030 to power grid



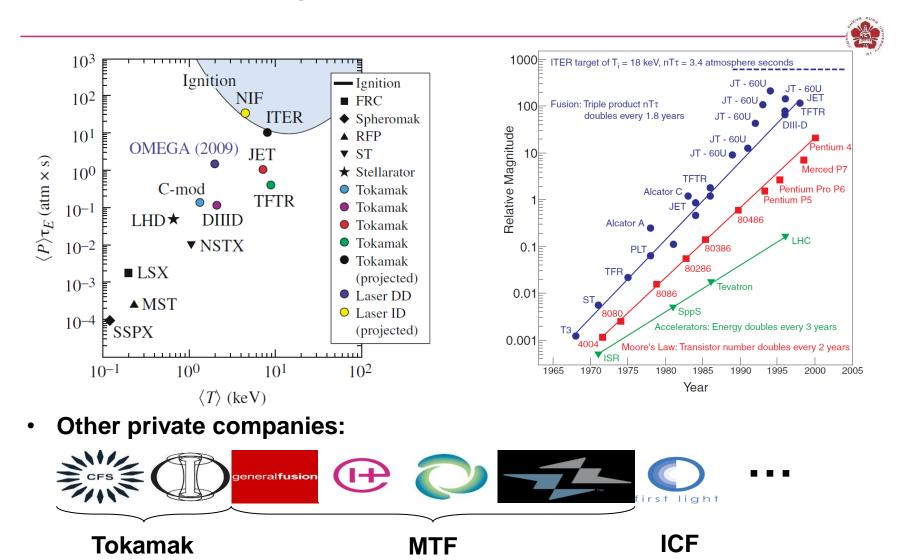
 Commonwealth Fusion Systems, USA – 2025 Gain



### **Fusion is blooming**



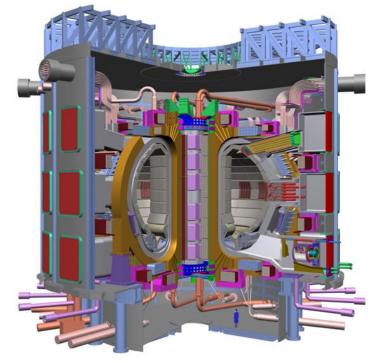
#### We are closed to ignition!



A. J. Webster, Phys. Educ. **38**, 135 (2003) R. Betti, etc., Phys. Plasmas, **17**, 058102 (2010)

# Many groups aim to achieve ignition in the MCF regime in the near future

ITER – 2025 First Plasma
 2035 D-T Exps
 2050 DEMO

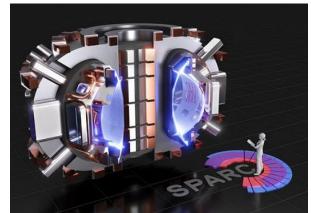


https://www.iter.org https://www.tokamakenergy.co.uk/ https://www.psfc.mit.edu/sparc

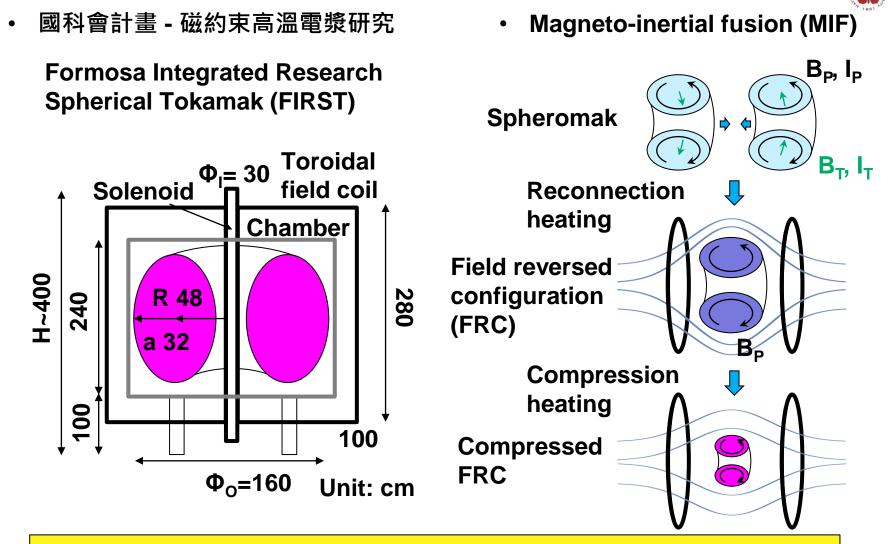
- Tokamak energy, UK
  - 2025 Gain
  - 2030 to power grid



 Commonwealth Fusion Systems, USA – 2025 Gain



# Fusion projects in Inst. Space and Plasma Sciences, National Cheng Kung University



We welcome anyone interested in fusion research to join our team!



- Introduction to nuclear fusion
- Magnetic confinement fusion (MCF)
  - Tokamak
  - Stellarator
- Inertial confinement fusion (ICF)
  - Indirection drive ICF
  - Direct drive ICF
- Innovation idea MCF + ICF
- Plasma in space
- Pulsed-power system at NCKU

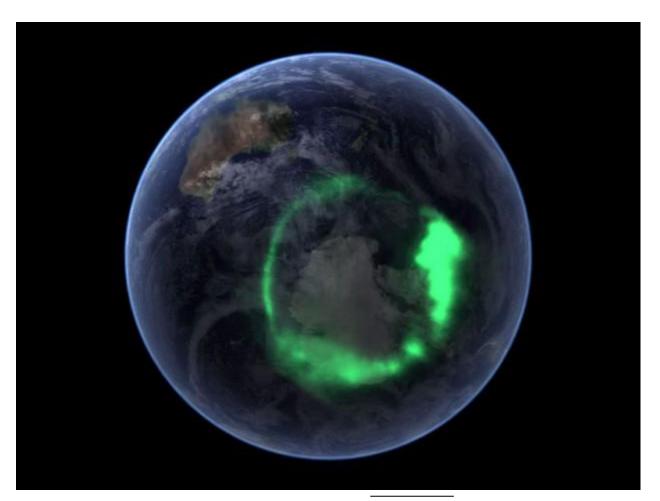
#### Aurora





#### Aurora seen from a satellite

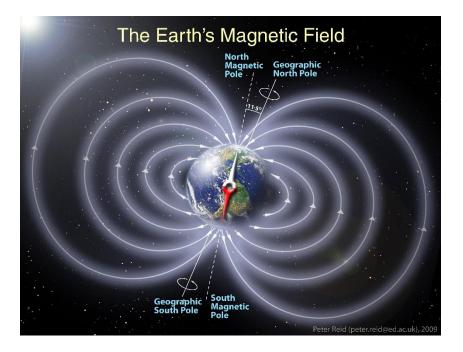


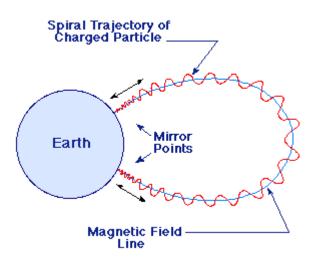


https://flashpack.com/insights/2014/11/20/aurora-australis-forget-thenorthern-lights-have-you-heard-about-the-southern-lights/

#### Earth's magnetic field



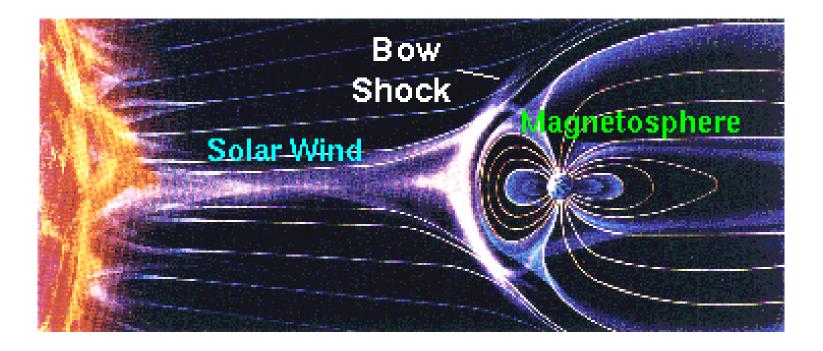




https://www.nasa.gov/mission\_pages/sunearth/news/gallery/Earthsmagneticfieldlines-dipole.html http://www.pas.rochester.edu/~blackman/ast104/emagnetic.html

# Earth magnetic fields are strongly influenced by solar wind

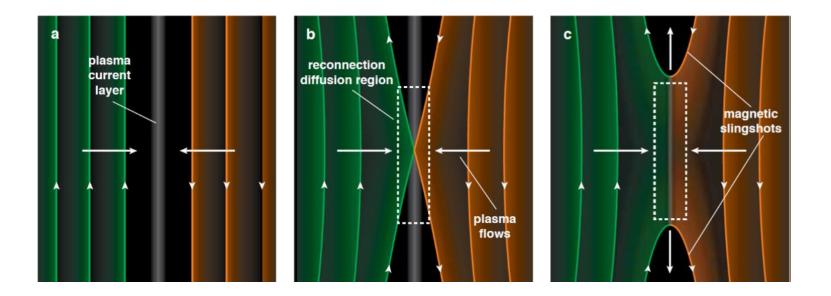




http://www.pas.rochester.edu/~blackman/ast104/emagnetic.html

### Reconnection

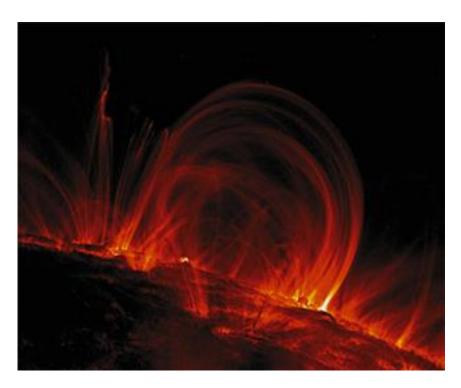


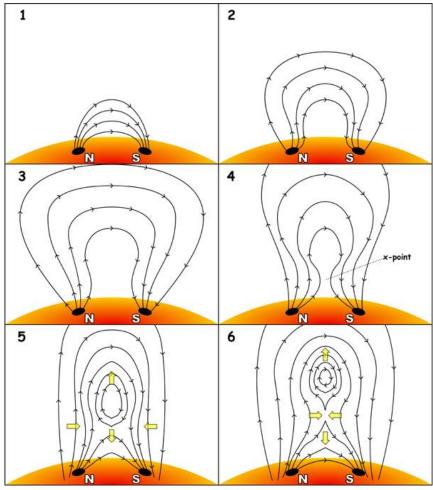


https://www.youtube.com/watch?v=7sS3Lpzh0Zw

### **Corona mass ejection (CME)**

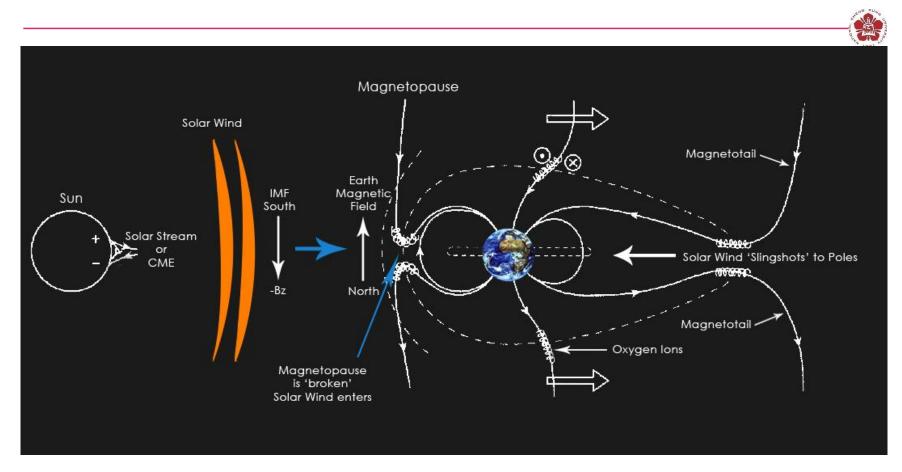






http://cse.ssl.berkeley.edu/SegwayEd/lessons/exploring\_magnetism/i n\_Solar\_Flares/s4.html#sf

### **Reconnections occur in many locations**



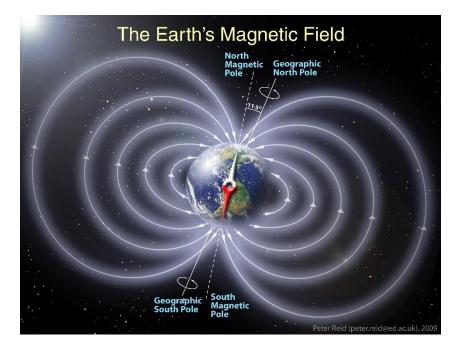
• The Aurora Borealis:

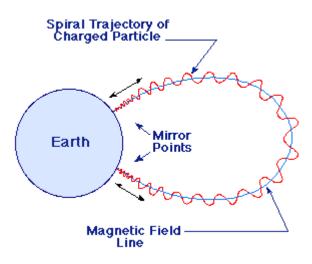
#### https://www.youtube.com/watch?v=IT3J6a9p\_o8

http://www.natalia-robba.com/myblog/travel/the-aurora-borealis-thenorthern-lights-everything-you-need-to-know/

#### Earth's magnetic field







https://www.nasa.gov/mission\_pages/sunearth/news/gallery/Earthsmagneticfieldlines-dipole.html http://www.pas.rochester.edu/~blackman/ast104/emagnetic.html

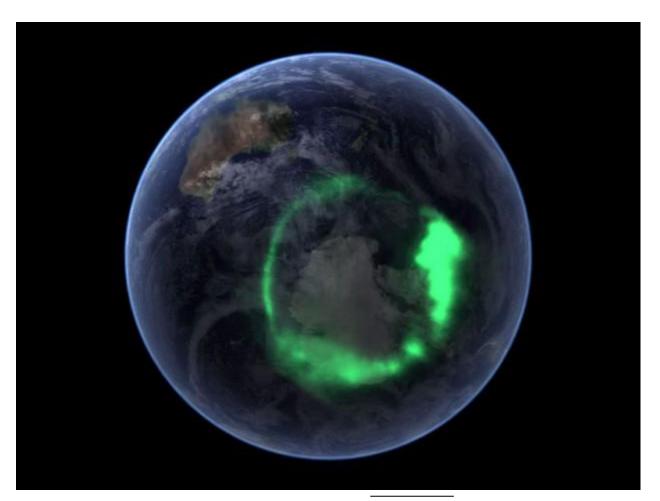
#### Aurora





#### Aurora seen from a satellite





https://flashpack.com/insights/2014/11/20/aurora-australis-forget-thenorthern-lights-have-you-heard-about-the-southern-lights/

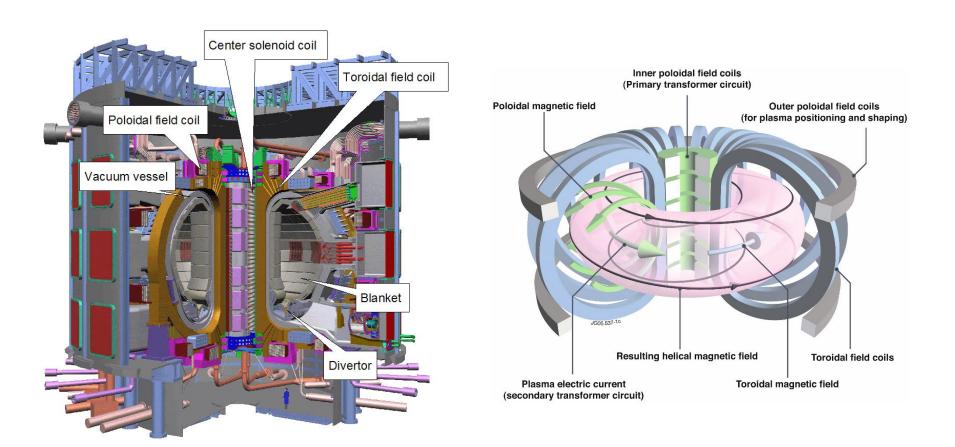


- Neutral beam injection for heating plasma in Tokamak
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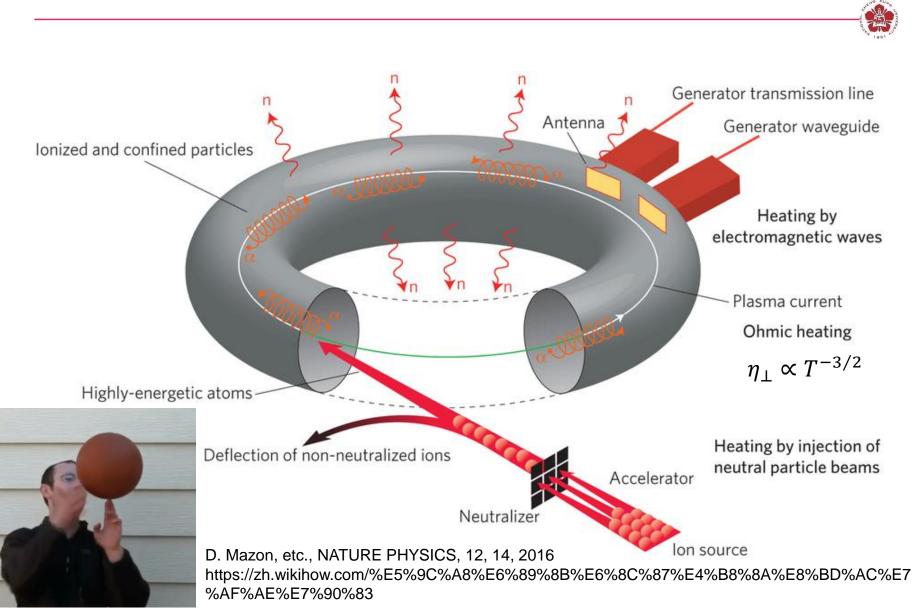
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## Hot plasma is confined by the magnetic field in magnetic confinement fusion



http://www.dailykos.com/story/2010/5/24/869588/https://www.euro-fusion.org/jet/

### Neutral beam injector is one of the main heat mechanisms in MCF



#### Varies way of heating a MCF device

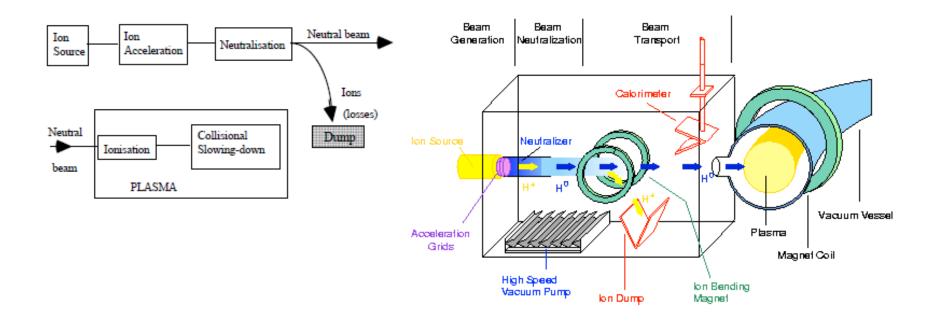
Sy	rstem	Frequency/ energy	Maximum power coupled to plasma	Overall system efficiency	Development/ demonstration required	Remarks
ECRF	Demonstrated in tokamaks	$28157~\mathrm{GHz}$	$2.8$ MW, $0.2 \ \rm s$	30 – 40%	Power sources and windows, off-axis CD	Provides off-axis CD
	ITER needs	$150170~\mathrm{GHz}$	50  MW,  S S	30-4070		
ICRF	Demonstrated in tokamaks	25–120 MHz	22 MW, 3 s (L-mode); 16.5 MW, 3 s (H-mode)	50-60%	ELM tolerant system	Provides ion heating and smaller ELM
	ITER needs	40–75 MHz	50  MW,  SS	00 0070		
LHRF	Demonstrated in tokamaks	1.3–8 GHz	2.5 MW, 120 s; 10 MW, 0.5 s	45-55%	Launcher, coupling to H-mode	Provides off-axis CD
	ITER needs	$5~\mathrm{GHz}$	50  MW,  S S	40-0070		
+ve ion NBI —ve ion	Demonstrated in tokamaks	$80–140~{\rm keV}$	40 MW, 2 s; 20 MW, 8 s	35-45%	None	Not applicable
	ITER needs	None	None			
	Demonstrated in tokamaks	$0.35 { m MeV}$	$5.2 \text{ MW}, \text{ D}^-, 0.8 \text{ s}$ (from 2 sources)			
	ITER needs	$1 { m MeV}$	50  MW,  S S	${\sim}37\%$	System, tests on tokamak, plasma CD	provides rotation

'S S' indicates steady state



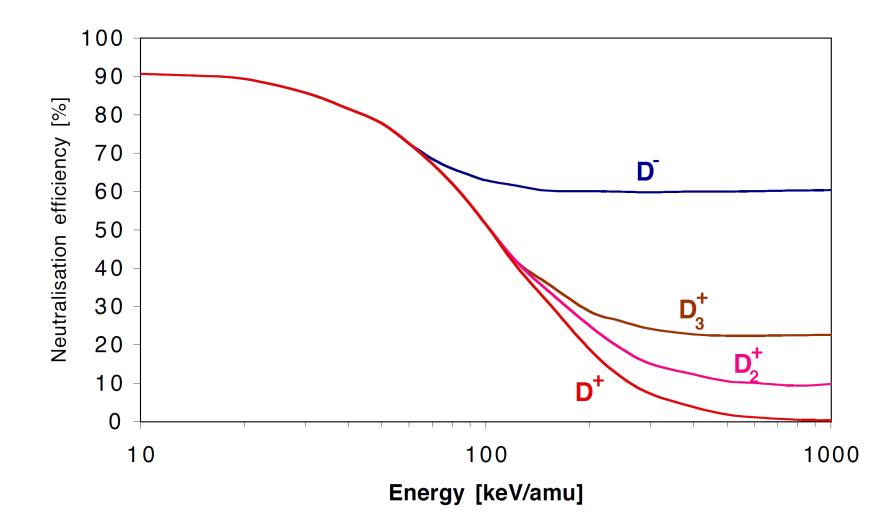
#### Neutral particles heat the plasma via coulomb collisions





- 1. create energetic (fast) neutral ions
- 2. ionize the neutral particles
- 3. heat the plasma (electrons and ions) via Coulomb collisions

## Negative ion source is preferred due to higher neutralization efficiency



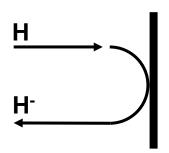
### There are two ways to make negative ions – surface and volume production

- Surface production, depends on :
  - Work function Φ
  - Electron affinity level, 0.75 eV for H<sup>-</sup>
  - Perpendicular velocity
  - Work function can be reduced by covering the metal surface with cesium

$$H + e^- \rightarrow H^-$$
  
 $H^+ + 2e^- \rightarrow H^-$ 

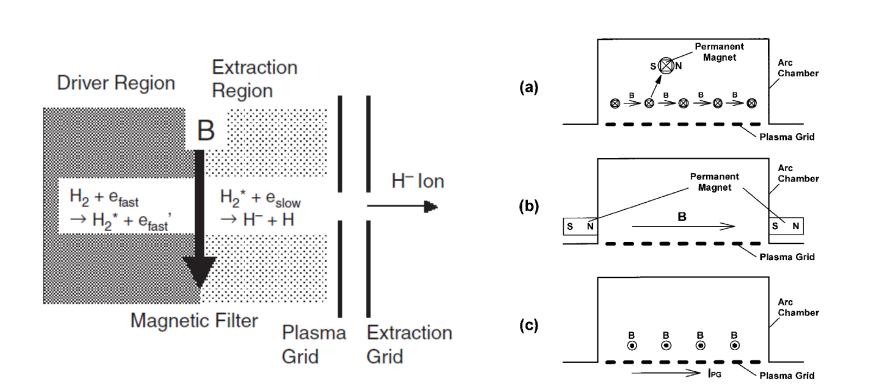
• Volume production:

$$H_2 + e_{fast}(>20 \text{ eV}) \rightarrow H_2^*(\text{excited state}) + e_{fast},$$
  
 $H_2^*(\text{excited state}) + e_{slow}(≈1 \text{ eV}) \rightarrow H^- + H.$ 



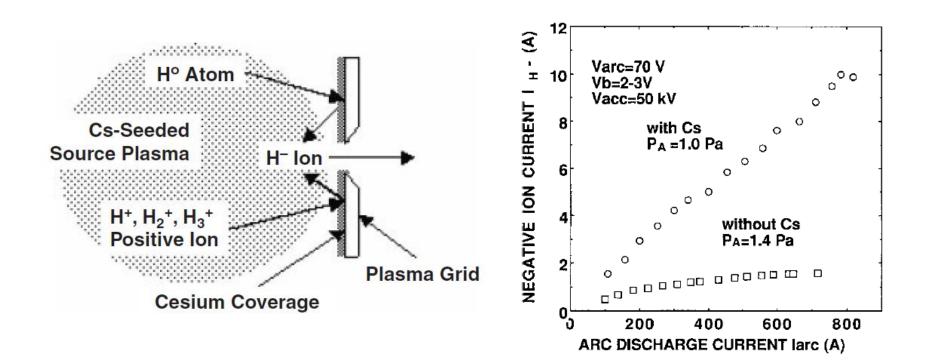


# Two-chamber method of negative ions in volume production with a magnetic filter



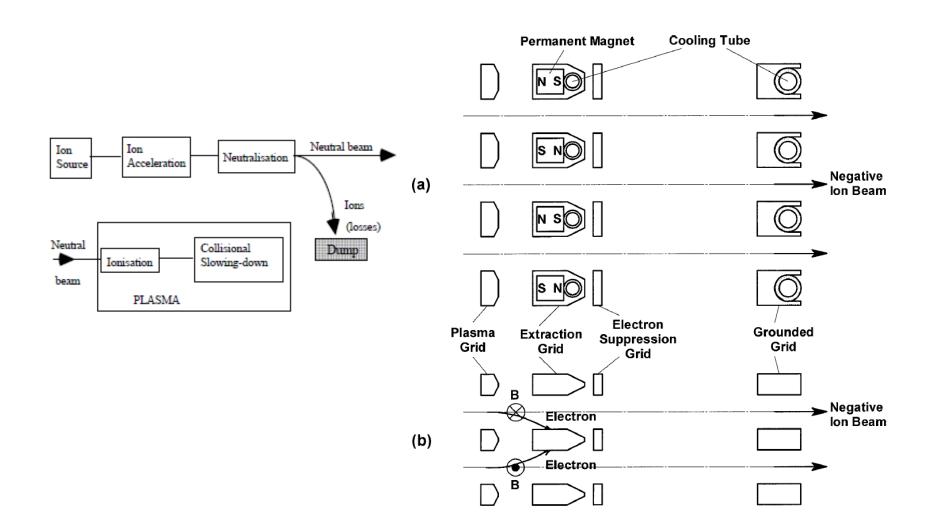
#### Adding cesium increases negative ion current





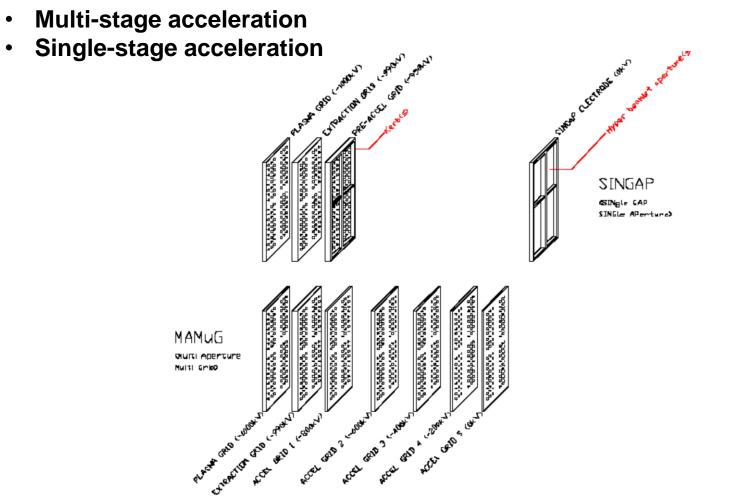
82

## Electrons need to be filtered out since they are extracted together with negative ions



#### Acceleration



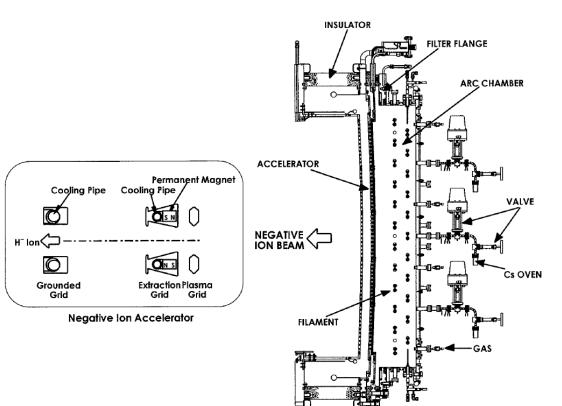


The ITER neutral beam system: status of the project and review of the main technological issues, presented by V. Antoni

#### **NBI system of the LHD fusion machine**







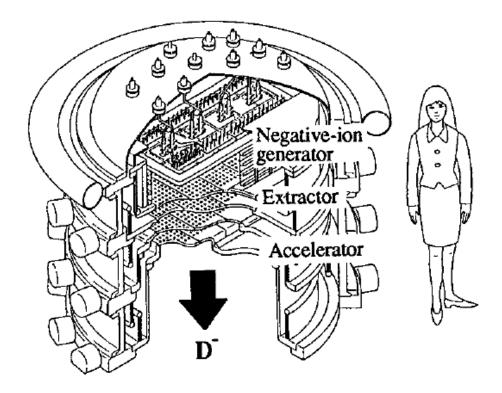
- 180 keV and 30 A
- Arc chamber: 35 cm x 145 cm, 21cm in depth
- Single stage accelerator

20 cm

### JT60U NBI system



- JT-60 (Japan-Torus) is a tokamak in Japan.
- 550 keV, 22A
- 2m in diameter and 1.7 m in height
- 3-stage accelerator



### Neutralization



- Gas neutralization
  - Collisions between fast negative ions and atoms

 $H^- + H_2 \longrightarrow H + H_2 + e^-$ 

- Fast ions can lose another electron after neutralized

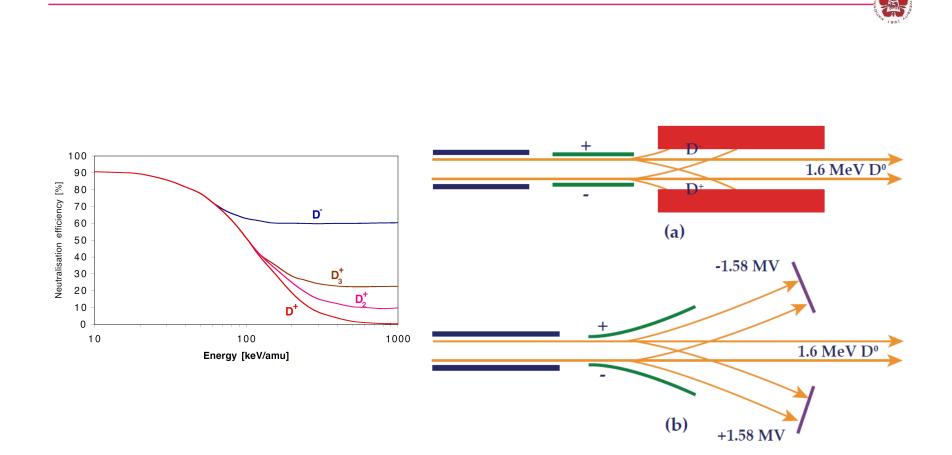
$$H + H_2 \longrightarrow H^+ + H_2 + e^-$$

- Plasma neutralization
  - Collisions with charged particles in plasma

$$H^- + X(e, \operatorname{Ar}, H^+, H_2^+) \longrightarrow H + X + e^-$$

- The efficiencies reach up to 85% for fully ionized hydrogen plasma

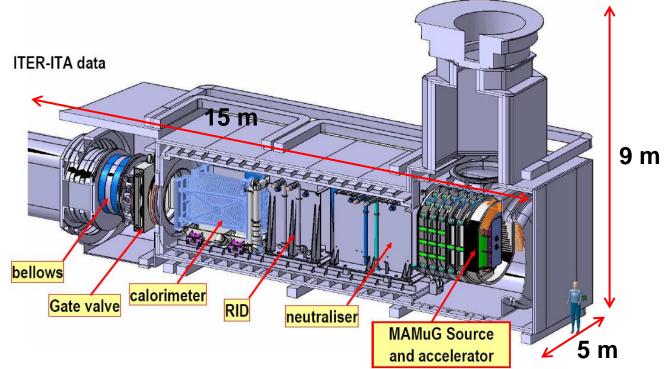
#### Beam dump







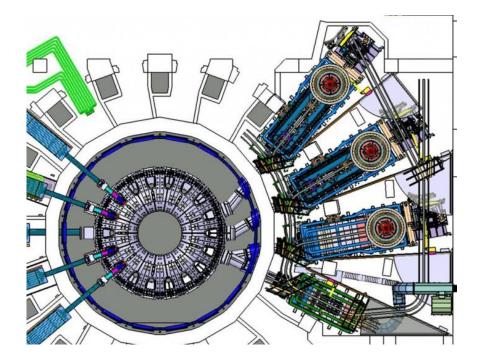
- beam components (Ion Source, Accelerator, Neutralizer, Residual Ion Dump and Calorimeter)
- other components (cryo-pump, vessels, fast shutter, duct, magnetic shielding, and residual magnetic field compensating coils)



The ITER neutral beam system: status of the project and review of the main technological issues, presented by V. Antoni

#### **Neutral beam penetration**

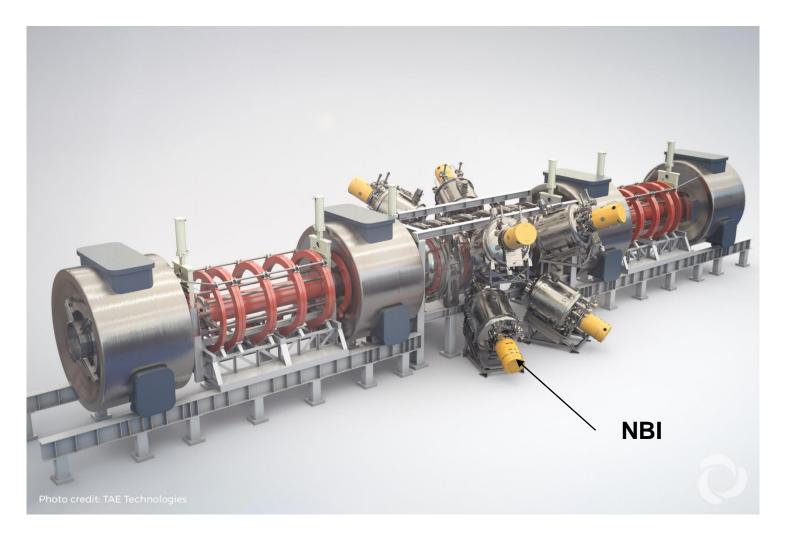




- Parallel direction
  - Longest path through the densest part of the plasma
  - Harder to be built
- Perpendicular direction
  - Path is short
  - Larger perpendicular energies leads to larger losses
  - Easier to be built

#### **NBI for Tri-Alpha Energy Technologies**





## Neutral beams are injected in to the chamber for spinning the FRC

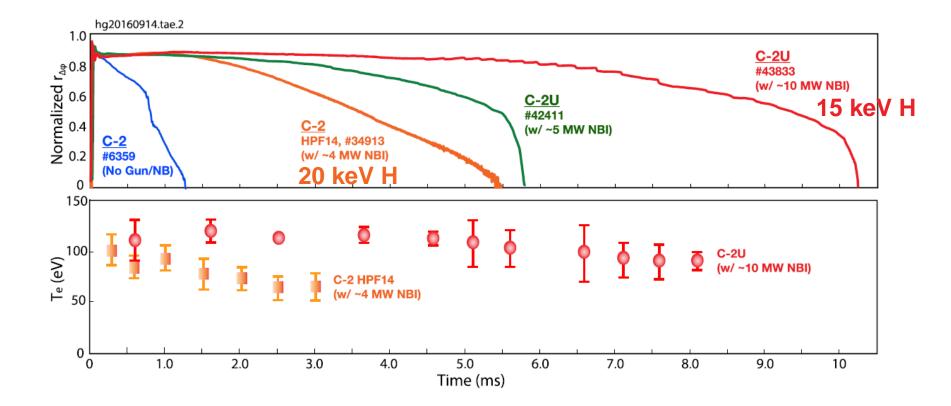




https://tae.com/media/ https://zh.wikihow.com/%E5%9C%A8%E6%89%8B%E6%8C%87%E4%B8%8A%E8%BD%AC%E7%AF%AE%E7%90%83

#### FRC sustain longer with neutral beam injection





H. Gota, etc., Nucl. Fusion 57 (2017) 116021

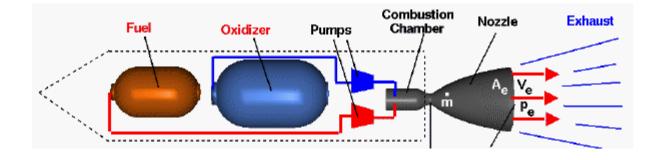


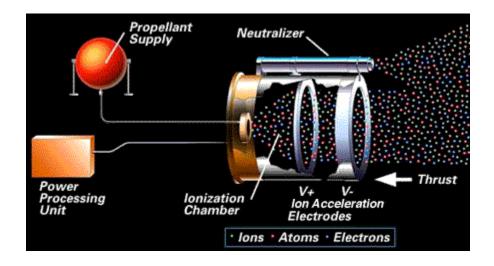
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### **Comparison between liquid rockets and ion thrusters**



- Liquid rockests
  - u~4500 m/s
  - Isp~450 s
  - Energy ~ 100GJ
  - Power ~ 300MW
  - Thrust ~ 2x10<sup>6</sup> N
- Ion thrusters
  - u~30000 m/s
  - Isp~3000 s
  - Energy ~ 1000GJ
  - Power ~ 1kW
  - Thrust ~ 0.1 N

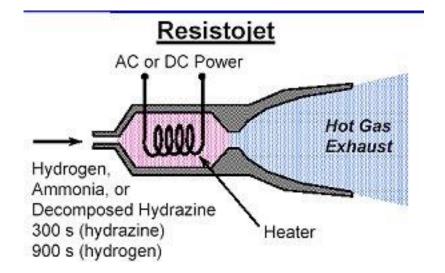


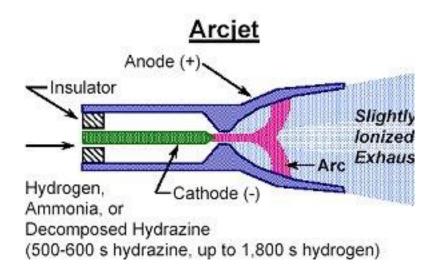


#### **Electric thruster types - electrothermal**

Resistojet



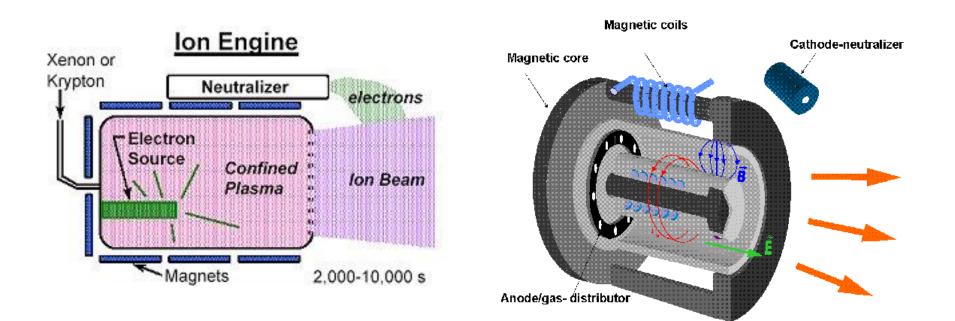




#### **Electric thruster types - electrostatic**

• Ion thruster



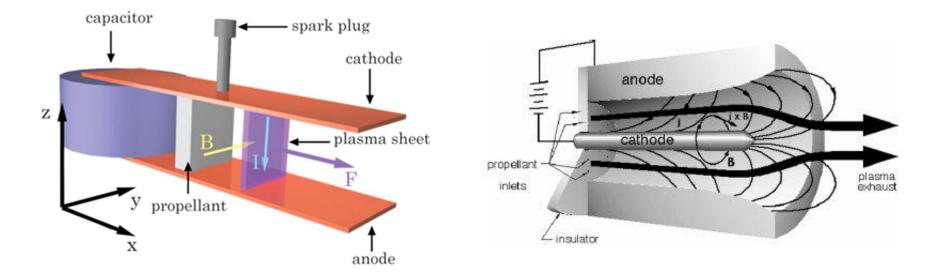


#### **Electric thruster types - Electromagnetic**



Pulsed plasma thruster

 Magnetoplasmadynamic thruster (MPD)



## The thrust in an ion engine is transferred by the electrostatic force between the ions and the two grids

$$\frac{dE(x)}{dx} = \frac{\rho(x)}{\varepsilon_0} = \frac{qn_i(x)}{\varepsilon_0}$$

$$E(x) = \frac{q}{\varepsilon_0} \int_0^x n_i(x') dx' + E_{\text{screen}}$$

$$Gauss's law: \quad \sigma = \varepsilon_0 E_{\text{screen}}$$

$$F_{\text{screen}} = \sigma \frac{(E_{\text{screen}} + 0)}{2} = \frac{1}{2} \varepsilon_0 E_{\text{screen}}^2$$

$$F_{\text{accel}} = -\sigma \frac{(E_{\text{accel}} + 0)}{2} = -\frac{1}{2} \varepsilon_0 E_{\text{accel}}^2$$

$$T = F_{\text{screen}} + F_{\text{accel}} = \frac{1}{2} \varepsilon_0 (E_{\text{screen}}^2 - E_{\text{accel}}^2)$$

$$F_{\text{ion}} = q \int_0^d n_i(x) E(x) dx = \varepsilon_0 \int_0^d \frac{dE}{dx} Edx = \frac{1}{2} \varepsilon_0 (E_{\text{accel}}^2 - E_{\text{screen}}^2)$$

$$q \int_0 n_i(x) E(x) dx = \varepsilon_0 \int_0 \frac{1}{dx} E dx = \frac{1}{2} \varepsilon_0 (E_{\text{accel}}^2 - E_{\text{screen}}^2)$$

#### The rocket equation



$$p(t) = p(t + \mathrm{d}t)$$

$$Mv = (M - dm_p)(v + dv) + dm_p(v - v_{ex})$$
$$Mv = Mv + Mdv - dm_pv - dm_pdv + dm_pv - dm_pv_{ex}$$

 $dv \sim -v_{\rm ex} \frac{dM}{M}$  where  $dm_{\rm p} dv$  is neglected and  $dm_{\rm p} = -dM$ 

$$\int_{v_i}^{v_f} dv = -v_{\rm ex} \int_{m_d+m_p}^{m_d} \frac{dM}{M}$$

 $\Delta \mathbf{v} = (\mathbf{Isp} \times g) \ln \left( \frac{m_d + m_p}{m_d} \right)$ 

 $\begin{array}{c|c} \mathsf{M} \\ \hline m_d & m_p \end{array} \xrightarrow{v_{\mathrm{ex}}} \end{array}$ 

$$egin{aligned} m_p &= m_d [e^{\Delta \mathbf{v} / v_{ ext{ex}}} - 1] \ &= m_d [e^{\Delta \mathbf{v} / ( ext{Isp} imes g)} - 1] \end{aligned}$$

#### **Force transfer**



$$T = -\frac{d}{dt}(m_p v_{\text{ex}}) = -v_{\text{ex}}\frac{dm_p}{dt} = -\dot{m}_p v_{ex}$$

$$\dot{m}_p = \mathbf{Q}\mathbf{M}$$

$$P_{\rm jet} = -\frac{1}{2}\dot{m}_p v_{\rm ex}^2 = -\frac{T^2}{2\dot{m}_p}$$

 $\dot{m}_p$  = propellant mass flow rate in kg/s Q = propellant particle flow rate in particles/s M = atomic mass in kg

$$T = -rac{dm_{
m p}}{dt}v_{
m ex} \approx -\dot{m}_i v_i$$

 $\dot{m}_i = \text{ion mass flow rate in kg}/s$  $I_b = \text{ion current}$ 

$$v_i = \sqrt{\frac{2qV_b}{M}}$$
$$-\dot{m}_i = \frac{I_bM}{q}$$

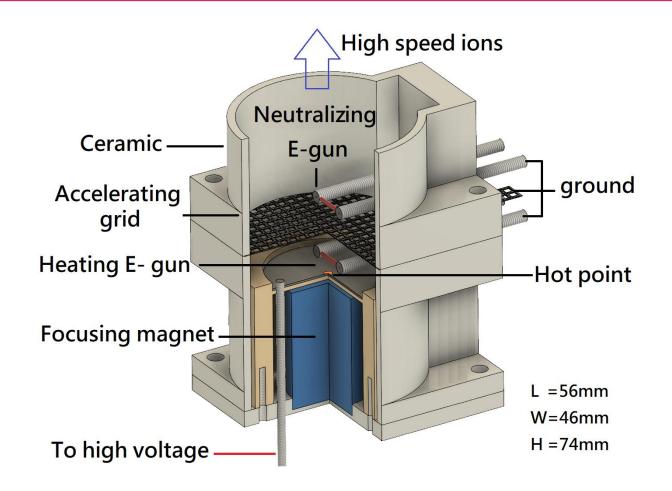
$$T = \sqrt{\frac{2M}{e}} I_b \sqrt{V_b} (\mathrm{Nt})$$

### Ion thruster has the highest specific impulse (Isp)

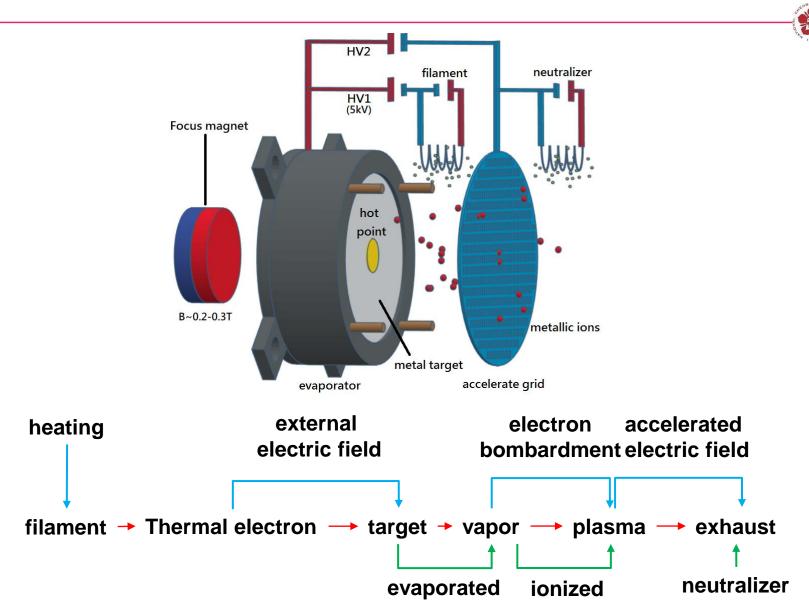


Thruster	Specific Impulse (s)	Input Power (kW)	Efficiency Range (%)	Propellant
Cold gas	50-75			Various
Chemical (monopropellant)	150-225			$N_2H_4$ $H_2O_2$
Chemical (bipropellant)	300-450		—	Various
Resistojet	300	0.5-1	65-90	N₂H₄ monoprop
Arcjet	500-600	0.9-2.2	25-45	N <sub>2</sub> H <sub>4</sub> monoprop
Ion thruster	2500-3600	0.4-4.3	40-80	Xenon
Hall thrusters	1500-2000	1.5-4.5	35-60	Xenon
PPTs	850-1200	<0.2	7-13	Teflon

#### Metallic Ion Thruster Using Magnetron E-Beam Bombardment (MIT-MEB)



### Electrons are used to generate metallic gas, metallic plasma and to neutralize ions

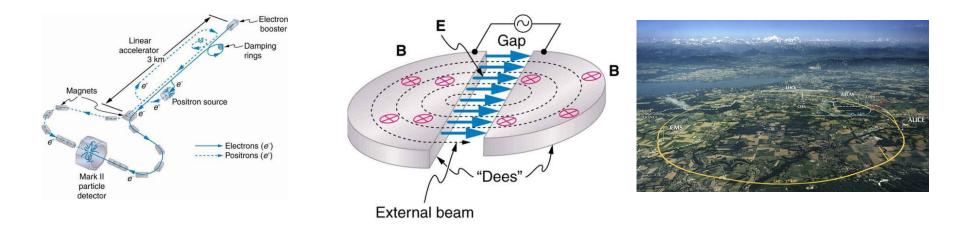


### High energy particle accelerator



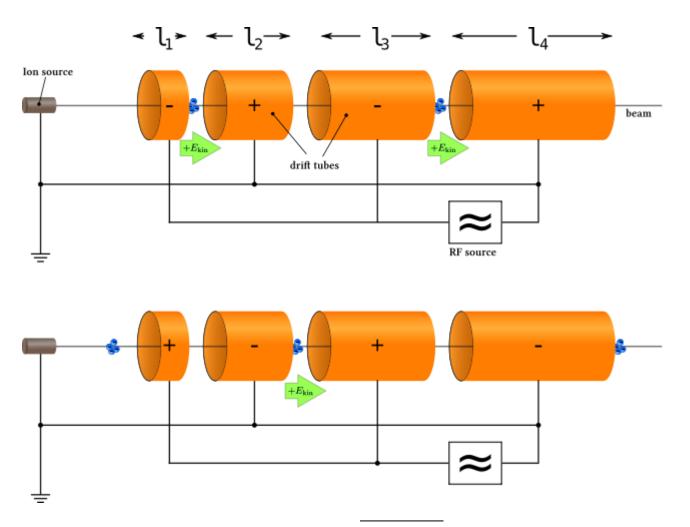
- linear particle accelerator (Linac)
- Cyclotron

Synchrotron

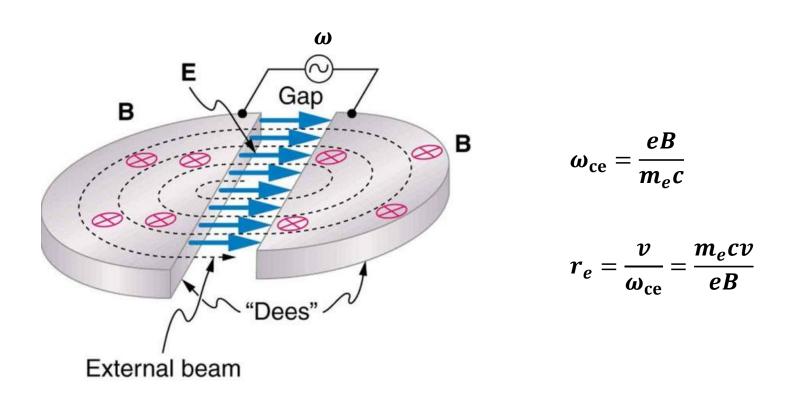


Reference: Introduction to plasma phenomena and plasma medicine, Y. Nishida and K.-L. Ou

#### A linear particle accelerator (linac) accelerates charged particles using a series of oscillating electric potentials along a linear beamline



### Cyclotrons use a magnetic field to cause particles to move in circular orbits

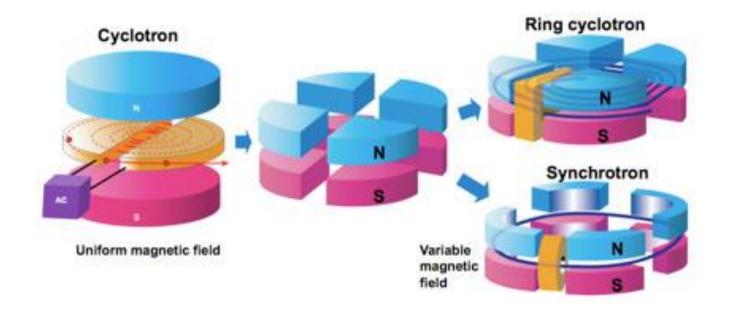


 Cyclotron was invented by Ernest Lawrence who earned the 1939 Nobel price in physics

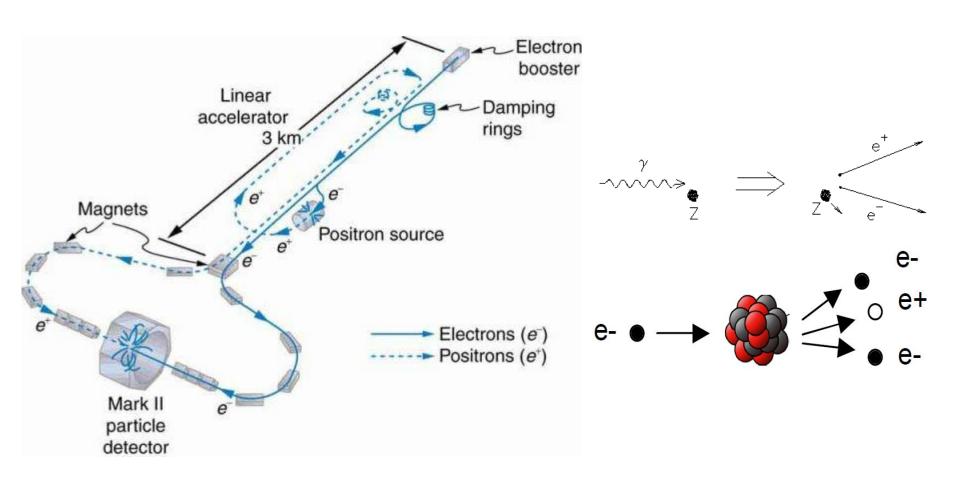
http://math.ubooks.pub/Books/ON/M1/1704/C33S4M004.html

# Synchrotron uses time-dependent guiding magnetic field synchronized to a particle beam





## Stanford linear accelerator center (SLAC) is a 50 GeV electron / positron accelerator



http://cnx.org/contents/aypTUEkP@4/Accelerators-Create-Matter-fro https://upload.wikimedia.org/wikipedia/commons/6/64/Pair\_production\_Cartoon.gif 1

### Large Hadron Collider (LHC) is the world's largest and most powerful particle collider providing 13 TeV protons



http://www.coepp.org.au/large-hadron-collider 110

# Plasma based accelerators will become 3 orders smaller than the regular microwave based accelerator

- Maximum field strength:
  - Microwave: 100 MV/m
  - Plasma: >10 GV/m, 300 GV/m was achieved using laser wakefield accelerator<sup>1</sup>
- Plasma based high energy accelerators:
  - V<sub>p</sub>xB or surfatron accelerator<sup>2</sup>
  - Plasma wakefield accelerator (PWFA)<sup>3</sup>
  - Plasma beat wave accelerator (PBWA)<sup>4</sup>
  - Laser wakefield accelerator (LWFA)<sup>4</sup>

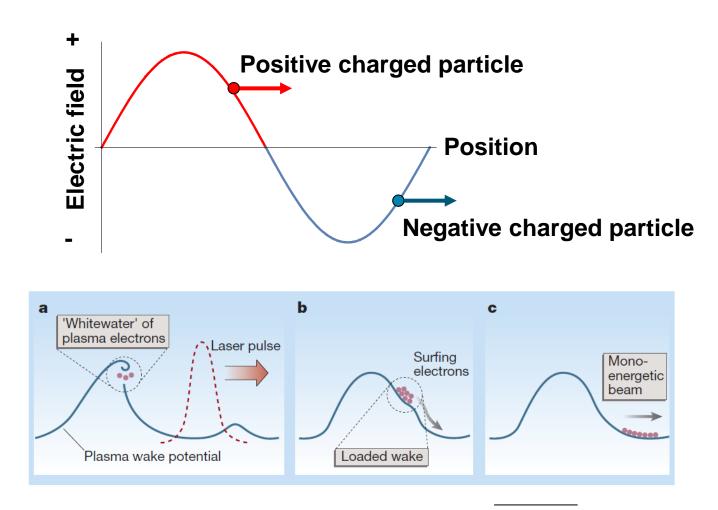
<sup>&</sup>lt;sup>1</sup>N. A. M. Hafz, *et al.*, Nature Photonics **2**, 571 (2008)

<sup>&</sup>lt;sup>2</sup>T. Katsouleas and J. Dawson, Phys. Rev. Lett. 51, 392 (1983)

<sup>&</sup>lt;sup>3</sup>P. Chen, et al., Phys. Rev. Lett. 54, 693 (1985)

<sup>&</sup>lt;sup>4</sup>T. Tajima and J. M. Dawson, Phys. Rev. Lett. **43**, 267 (1979)

### Charged particles can be accelerated in the wave electric field



#### Who will catch the wave?





https://lightsabersandsurfboards.wordpress.com/tag/lake-erie-surfing/

## Plasma wake field accelerator is just like boat wake surfing





### A wake surfer catches the wake field via being pulled by the boat using a roap





#### https://www.youtube.com/watch?v=VFp7SloeAnk

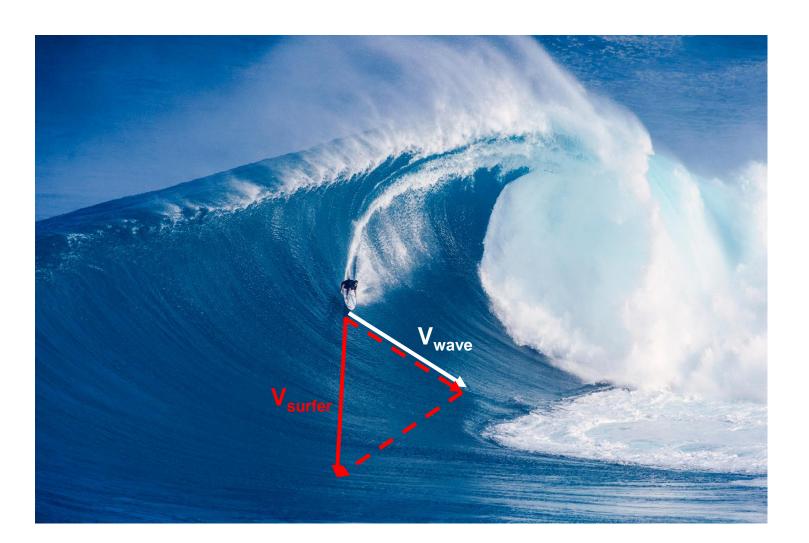
https://learntosurfkona.com/featured/wake-surfing-vs-regular-surfing/ https://i.ytimg.com/vi/CA-SDf1wvTQ/maxresdefault.jpg

### The surfer glides in a direction not parallel to the wave direction to be in phase to the wave propagation

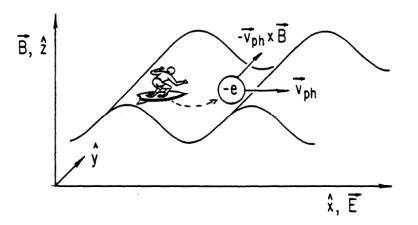




## The surfer glides in a direction not parallel to the wave direction to be in phase to the wave propagation



### Electrons may be accelerated to speed of light using V<sub>p</sub>xB acceleration (Surfatron)



Plane wave electric field and uniform magnetic field:

$$\vec{E} = E_0 \sin(\mathbf{kx} - \omega t)\hat{x}$$

$$\vec{B} = B\hat{z}$$

$$\frac{d}{dt}(\gamma v_x) = \frac{qE_0}{m}\sin(\mathbf{kx} - \omega t) + \omega_c v_y$$

$$\frac{d}{dt}(\gamma v_y) = -\omega_c v_x$$

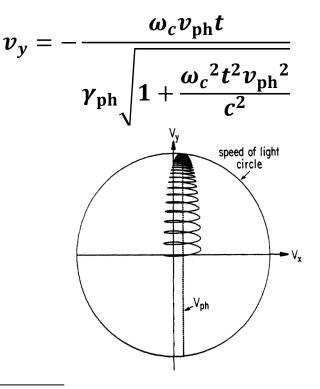
$$\gamma = \frac{1}{\sqrt{1 - \frac{v_x^2 + v_y^2}{c^2}}}$$
Katsouleas *et al.* PRI 51 392 (1983)

- T. Katsouleas, et al., PRL 51, 392 (1983)
- T. Katsouleas, et al., IEEE TNS. NS-30, 3241 (1983)

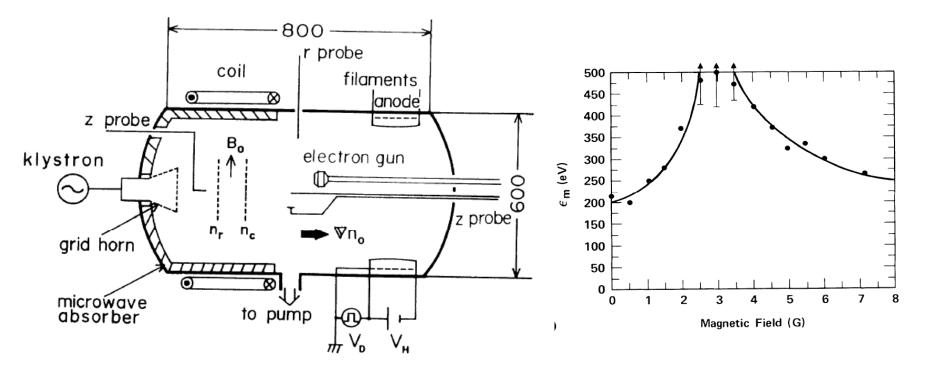
On the wave frame and if the particle is trapped in the wave:

$$x_1 = x - v_{\rm ph}t$$
  $\frac{d}{dt}(\gamma v_x) = 0$ 

$$v_x \rightarrow v_{\rm ph}$$



### Experimental results of V<sub>p</sub>xB acceleration (Surfatron)



•  $n_0 \sim 1-30 \times 10^{17} \text{ m}^{-3}$ 

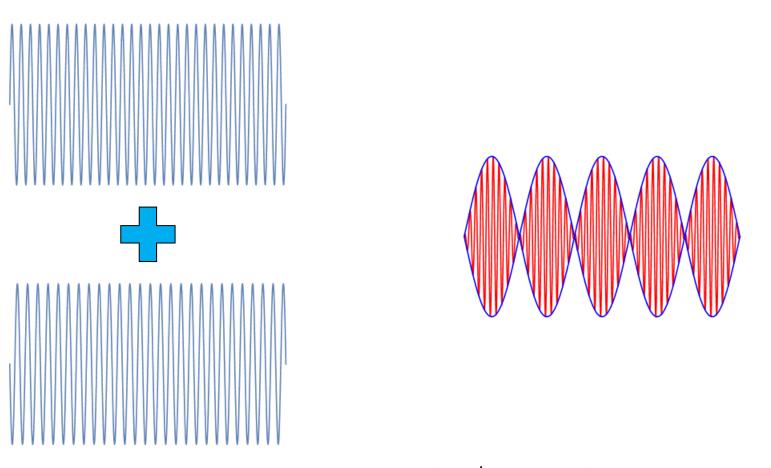
• T<sub>i</sub> ~ 0.1-0.2 eV

•  $T_e \sim 2-5 \text{ eV}$ 

Microwave frequency: 3-10 GHz

C. Domier, *et al.*, Phys. Rev. Lett. **63**, 1803 (1989) <sub>119</sub>

#### **Plasma beat wave accelerator**



$$sin(x_1) + sin(x_2) = 2 sin\left(\frac{x_1 + x_2}{2}\right) cos\left(\frac{x_1 - x_2}{2}\right)$$

#### A plasma wave is driven by the laser beat wave



$$\omega_0 = \omega_2 - \omega_1$$

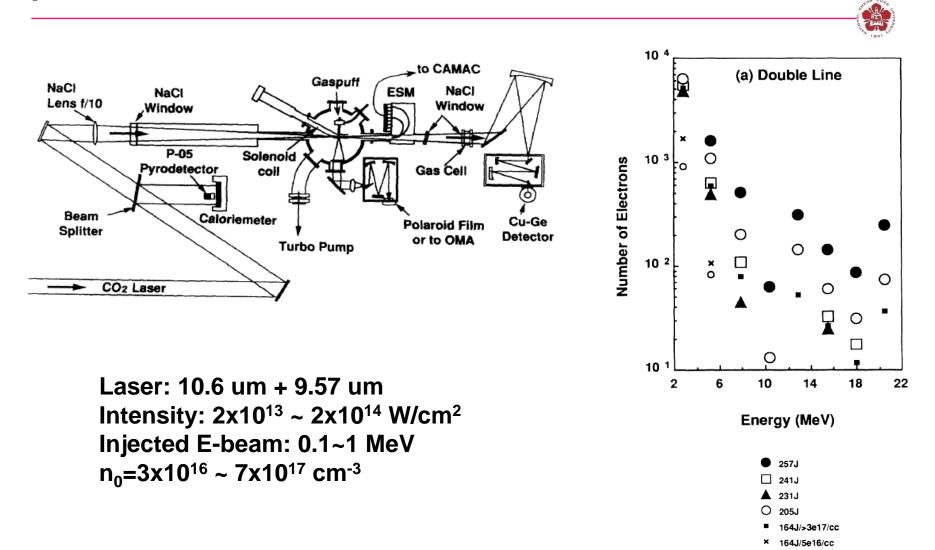
$$k_0 = k_2 - k_1$$

$$v_{\rm ph} = v_g = c \sqrt{1 - \frac{\omega_p^2}{\omega_0^2}}$$

$$F = -e\nabla\phi_p = -\nabla\frac{e^2 E^{(1)} \cdot E^{(2)*}}{m\omega_1\omega_2}$$

Plasma wave

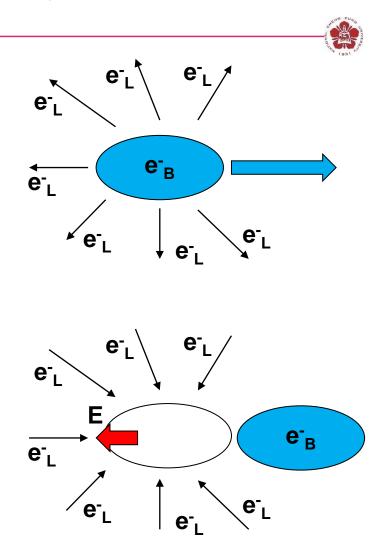
## Electrons were accelerated to over 20 MeV using plasma beat wave accelerator



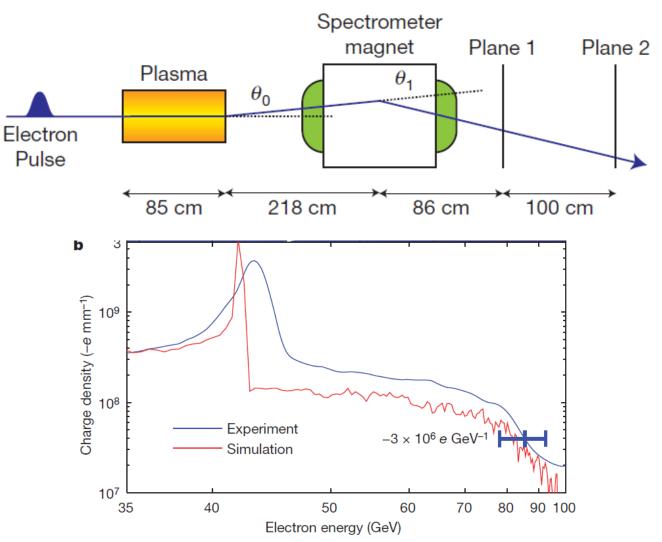
O 246J/4e17/cc

#### Plasma wakefield accelerator employs two beams

- When a bunch of electrons enter the plasma, they expel local electrons.
- When the bunch of electrons leave the plasma, the local electrons try to return but oscillate around their original locations and generate a wake field behind the bunch.
- The longitudinal field of the wake can accelerate the particles in the back.
- Key components:
  - Drive bunch: excite wakefield
  - Test bunch: beam that is accelerated to high energy



## Energy doubling of 42 GeV electrons in a metre-scale plasma wakefield accelerator



### Dream beam – the dawn of compact particle accelerators





### Ponderomotive force expelled electrons away from the higher electric field region

$$m_{s}\ddot{x} = q_{s}E = q_{s}E_{0}(x)\cos\omega t$$

$$x = x_{0} + x_{1} \text{ where } x_{0} = \overline{x}$$

$$m_{s}(\ddot{x}_{0} + \ddot{x}_{1}) = q_{s}\left(E_{0} + x_{1}\frac{dE_{0}}{dx}\right)\cos\omega t$$

$$\cdot \text{ Take time average:}$$

$$m_{s}\ddot{x}_{0} = q_{s}\frac{dE_{0}}{dx}\Big|_{x_{0}}\overline{x_{1}\cos\omega t}$$

$$\cdot \ddot{x}_{1} \gg \ddot{x}_{0} , E_{0} \gg x_{1}\frac{dE_{0}}{dx}$$

$$m_{s}\ddot{x}_{1} = q_{s}E_{0}\cos\omega t$$

$$x_{1} = -\frac{q_{s}E_{0}}{m_{s}\omega^{2}}\cos\omega t$$

$$\ddot{x}_{0} = -\frac{q_{s}^{2}E_{0}}{2m_{s}^{2}\omega^{2}}\frac{dE_{0}}{dx}$$

$$\frac{dE_{0}}{dx} = 0$$

$$\frac{dE_{0}}{dx} > 0$$

$$\frac{dE_{0}}{dx} = 0$$

$$\frac{dE_{0}}{dx} > 0$$

$$\frac{dE_{0}}{dx} = 0$$

$$\frac{dE_{0}}{dx} > 0$$

$$\frac{dE_{0}}{dx} = 0$$

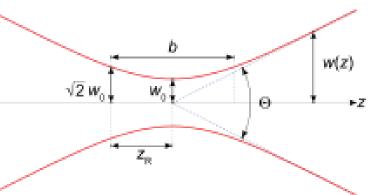
$$\frac{dE_{0}}{dx}$$

### Laser is used to create a bunch in laser wakefield accelerator



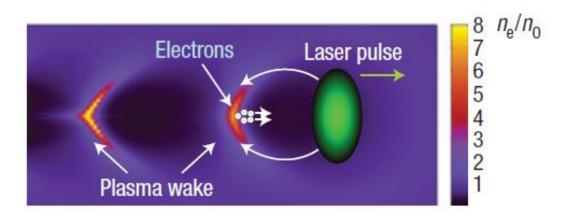
$$I(r,z) = \frac{2P}{\pi w^2(z)} \exp\left[-\frac{2r^2}{w^2(z)}\right]$$
  
• Waist:  $w(z) = w_0 \sqrt{1 + \frac{z^2}{z_R^2}}$ 

• Rayleigh length: 
$$z_R = \frac{\pi w_0}{\lambda_L}$$



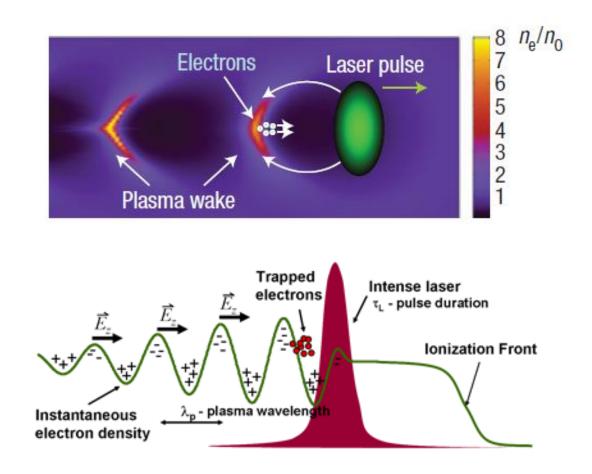
### **Bubble/blow-out regime**





### A plasma wake is generated by a short pulse laser

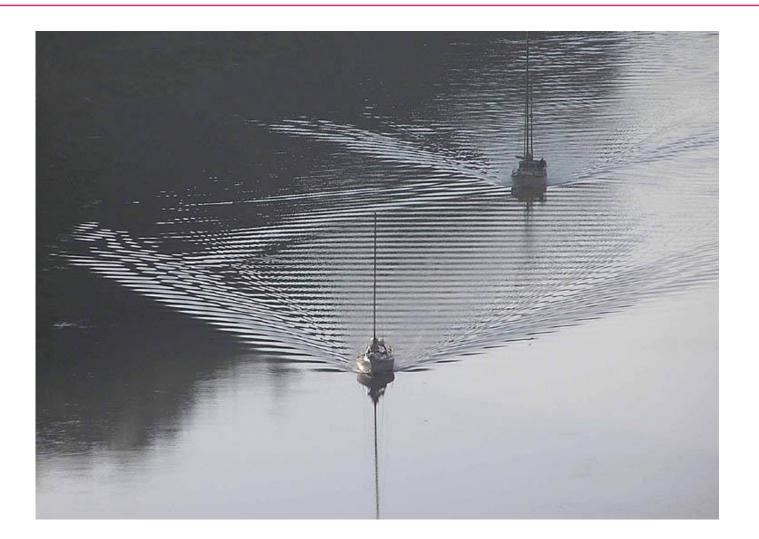




V. Malka, et al., Nature Physics 4, 447 (2008)

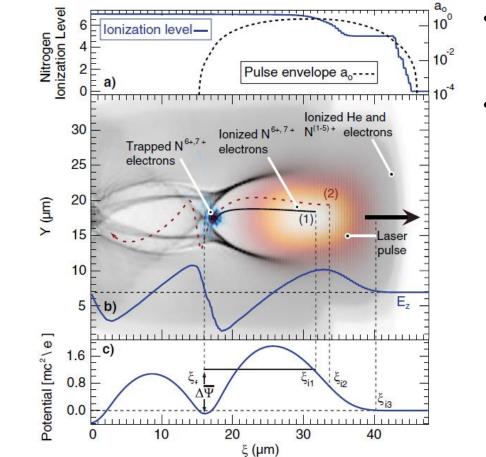
http://cuos.engin.umich.edu/researchgroups/hfs/research/laser-wakefield-acceleration/

## The wakefield generated by a short pulse laser is very similar to the wave behind a boat



https://upload.wikimedia.org/wikipedia/commons/4/4f/Wake.avon.gorge.2boats.arp.750pix.jpg 130

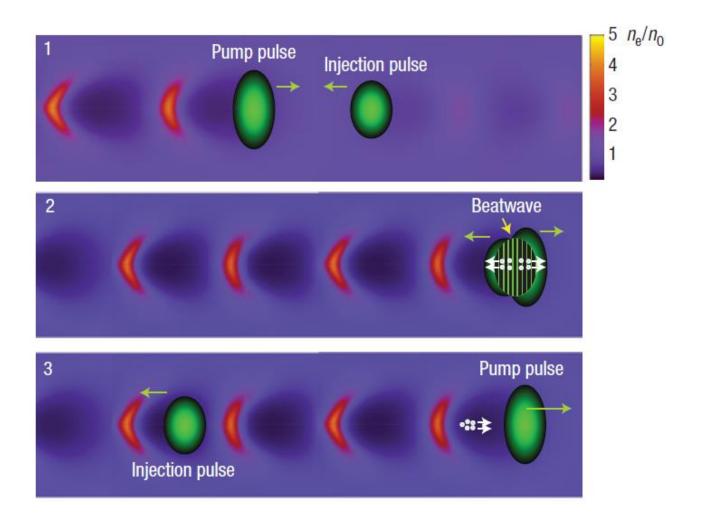
### **Ionization injection**



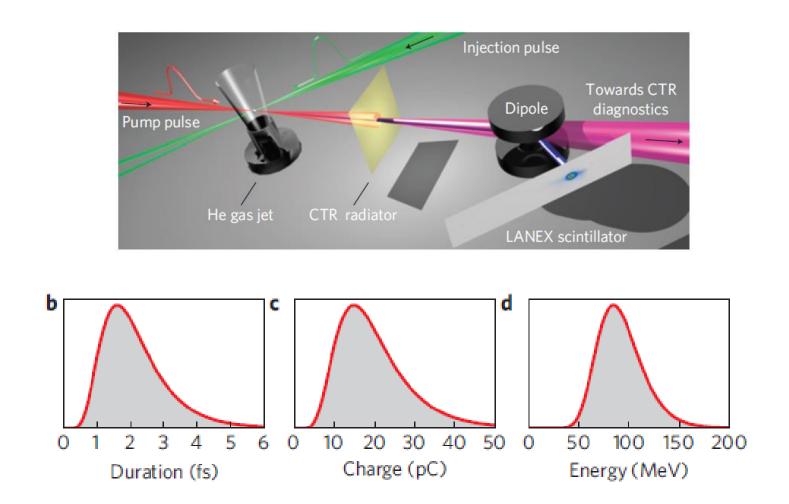
- Large relative energy spread
- Energy required to trap electrons is reduced so that electron beams with large charge can be produced in a moderate laser energy

### **Colliding laser pulses injection**





## Few femtosecond, few kiloampere electron bunch is produced by a laser-plasma accelerator



### **Plasma medicine**



- Reference:
  - "Applied Plasma Medicine", by G. Fridman, et al., Plasma Process.
     Polym., 5, 503, 2008
  - "Plasma Medicine", by A. Fridman and G. Fridman



- Example of several plasma discharges for plasma medicine
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- Egg sterilization
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## Plasma is characterized by the electron and ion temperatures

- Non-thermal plasma
  - $T_i << T_e$
  - Also called non-equilibrium plasma
- Thermal plasma

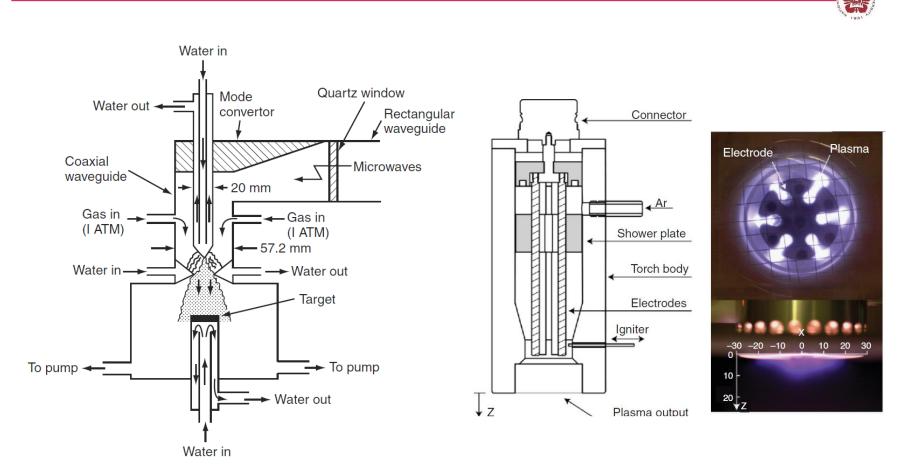
• Earlier applications of plasma in medicine – thermal effects of plasma

## Plasma can provide good surface treatment with low temperature

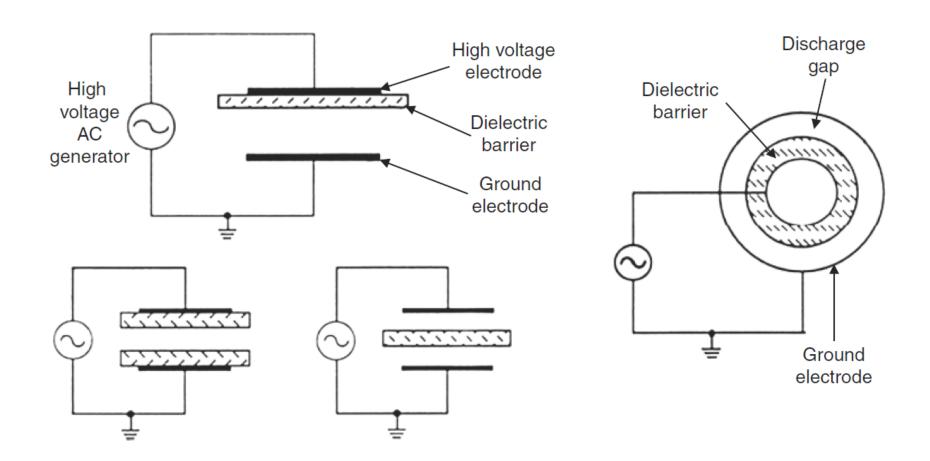


Treatment	Surface treatment level	Depth	Temperature	Cost
Chemical	Large	Deep	Room temperature ~200 °C	Medium
Heat	Only oxidizing	Deep	High temperature	Cheap
Radiation	Small	Whole sample	High temperature	Expensive
Plasma	Large	Surface	Room temperature ~100 °C	Cheap ~ Medium

### **Microwave plasma torch**

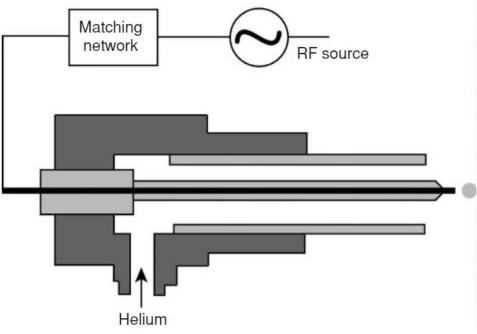


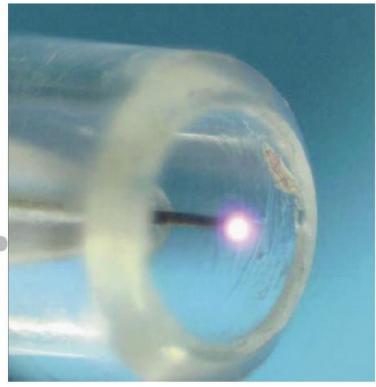
### **Dielectric-barrier discharges (DBDs)**



### **Plasma-needle discharge**

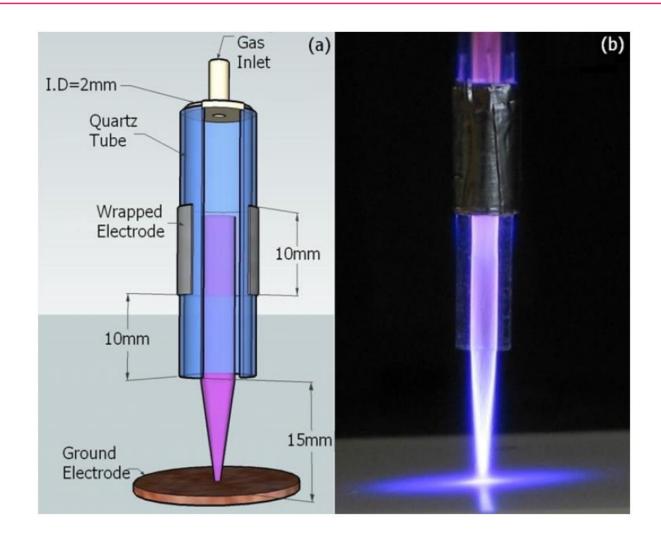




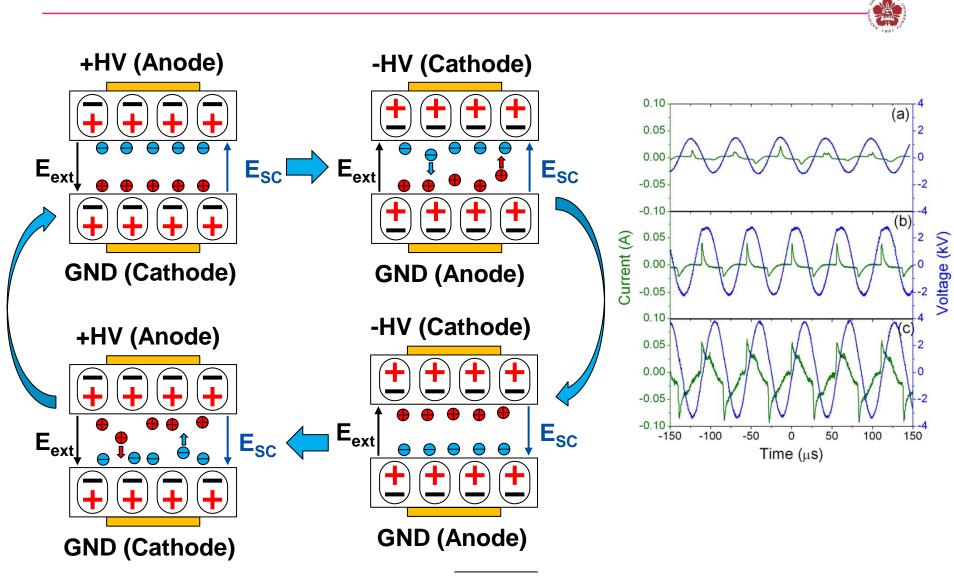


### Atmospheric-pressure cold helium microplasma jets



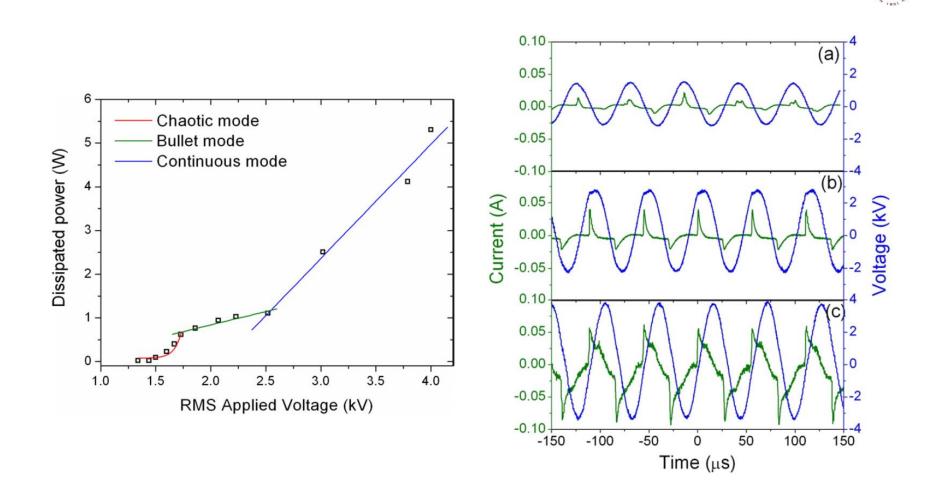


#### Space charge effect enhance the electric field



J. L. Walsh, et al., J. Phys. D: Appl. Phys., 43, 075201 (2010)

### There are three different modes: chaotic, bullet, and continuous mode



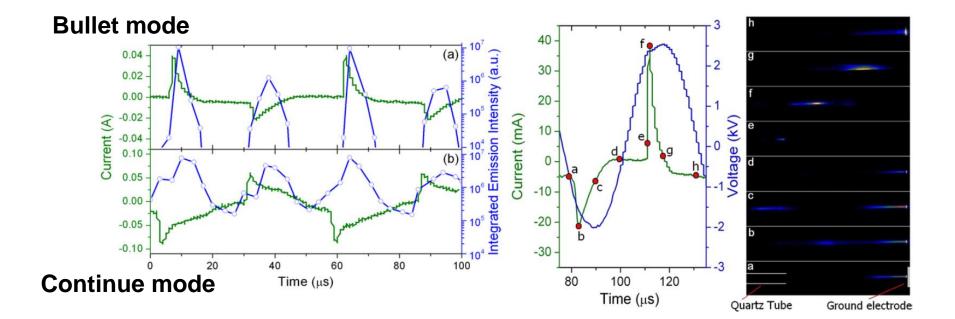
J. L. Walsh, et al., J. Phys. D: Appl. Phys., 43, 075201 (2010) 144

### In bullet mode, the plasma jet comes out as a pulse

٠



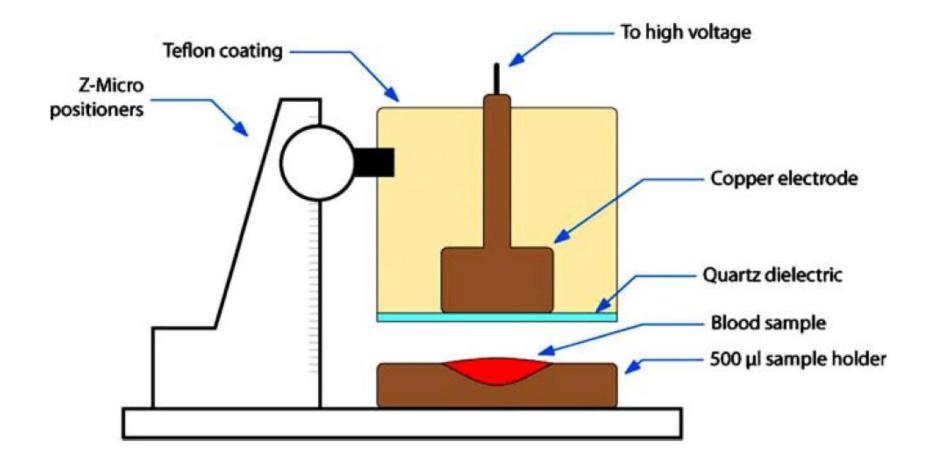
wavelength-integrated optical
 Images of bullet mode
 emission signal (350–800 nm)



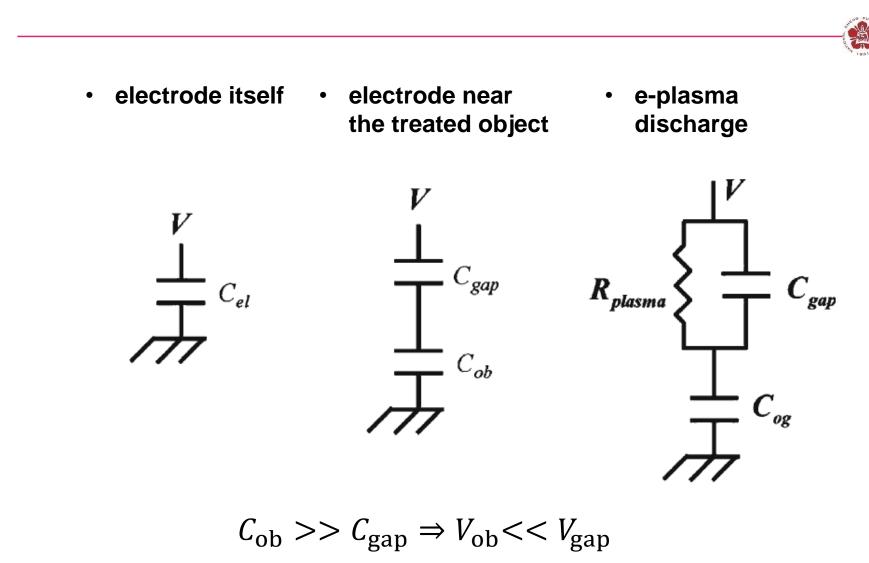
J. L. Walsh, *et al.*, J. Phys. D: Appl. Phys., **43**, 075201 (2010) <sub>145</sub>

### Floating-electrode dielectric barrier discharge (FE-DBD)



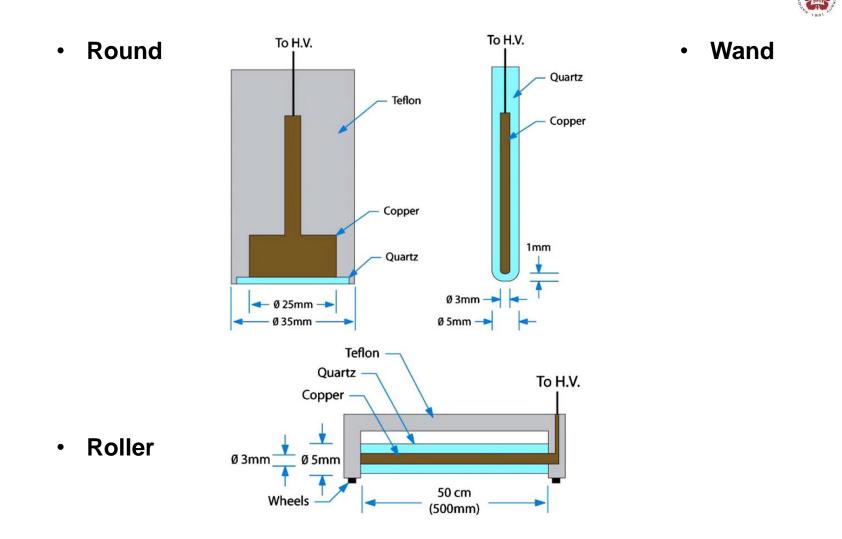


## **Simplified electrical schematic of FE-DBD**



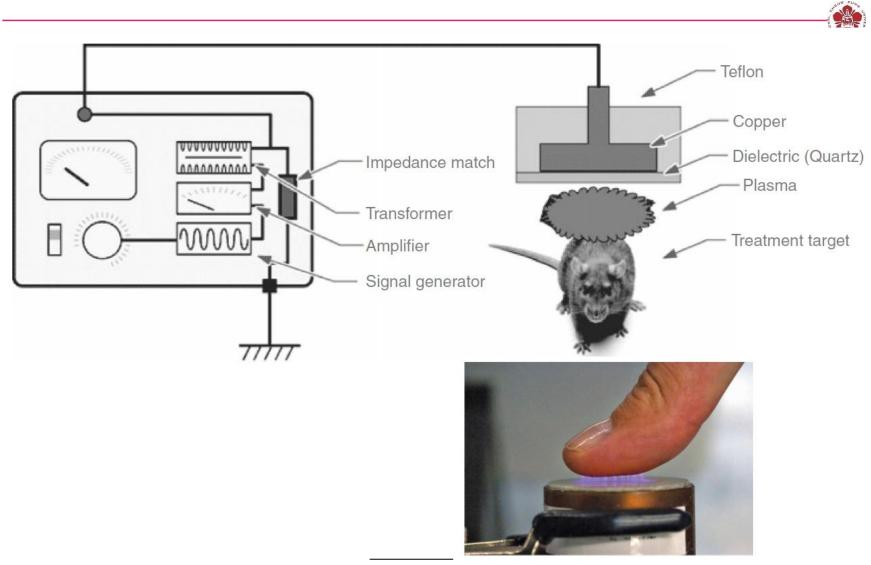
G. Fridman, et al., Plasma Chem. Plasma Process., 26, 425 (2006) 147

## Depending on the needs, the size and the shape of FE-**DBD** treatment electrodes can vary



G. Fridman, et al., Plasma Chem. Plasma Process., 26, 425 (2006)

### **FE-DBD** is a direct plasma medicine



G. Fridman, *et al.*, Plasma Chem. Plasma Process., **26**, 425 (2006) Plasma medicine, by Alexander Fridman and Gary Friedman



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# Bacteria concentration reduces after being treated with FE-DBD

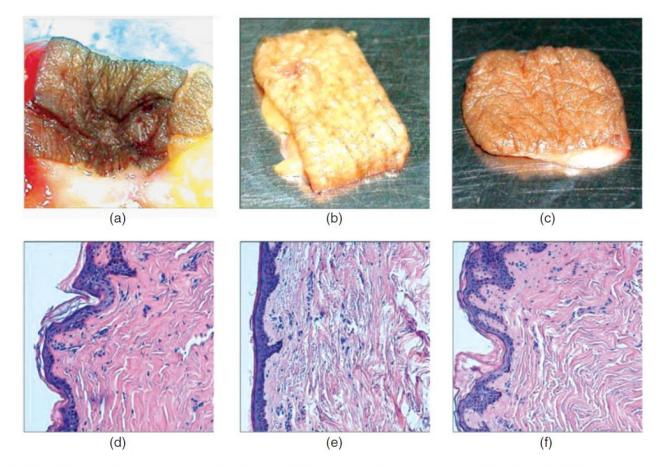
Table 1. Bacteria sterilization results (in cfu · mL <sup>-1</sup> ). <sup>[26]</sup>						
Original concentration	5 s of FE-DBD	10 s of FE-DBD	15 s of FE-DBD			
10 <sup>9</sup>	$850 \pm 183$	9±3	$4 \pm 4$			
10 <sup>8</sup>	$22 \pm 5$	$5 \pm 5$	$0\pm 0$			
10 <sup>7</sup>	$6 \pm 6$	$0\pm0$	$0\pm 0$			

 Maximum acceptable dose – the highest dose that doesn't cause a damage on skin

G. Fridman, et al., Plasma Process. Polym., 5, 503 (2008) 151

# The power of FE-DBD is low enough such that the tissue is not damaged by the plasma

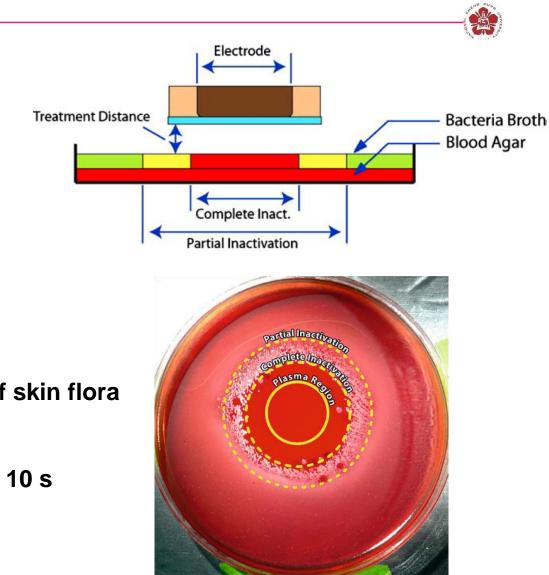




**Figure 6.23** Photos (top) and tissue histology (bottom) of cadaver skin samples after FE-DBD treatment: (a, d) control; (b, e) after 15 s of treatment; and (c, f) after 5 min of treatment – no visible damage is detected.

G. Fridman, *et al.*, Plasma Chem. Plasma Process., **26**, 425 (2006) Plasma medicine, by Alexander Fridman and Gary Friedman

## Bacteria is inactivated by the plasma



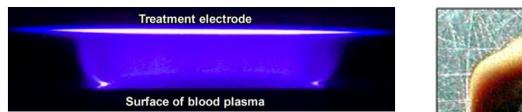
- ~1.3x10<sup>7</sup> cfu/cm<sup>2</sup> (10<sup>9</sup> cfu/ml) of skin flora (CFU: colony-forming unit)
- Treated by FE-DBD plasma for 10 s



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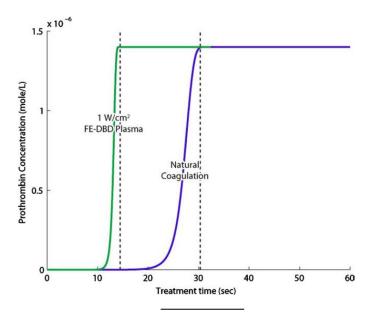
### Plasma can stimulate blood coagulation







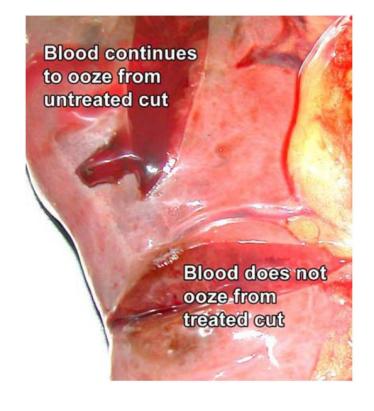




G. Fridman, et al., Plasma Chem. Plasma Process., 26, 425 (2006) 155

### Example of blood coagulation using plasma







Saphenous vein is a major blood vessel for a mouse



If left untreated following a cut animal will bleed out (control)

(a)



15 seconds at 0.8 Watt/cm<sup>2</sup> stops the bleeding completely right after treatment

(C)

G. Fridman, *et al.*, Plasma Process. Polym., 5, 503 (2008)
G. Fridman, *et al.*, Plasma Chem. Plasma Process., 26, 425 (2006)
Plasma medicine, by Alexander Fridman and Gary Friedman



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# Nitrogen oxide (NO) serves a multitude of essential biological functions



- Blood coagulation
- Immune system
- Early apoptosis (細胞凋亡)
- Neural communication and memory
- ・ Relaxation of flat bronchial (支氣管) and gastrointestinal muscles (胃腸肌肉)
- Hormonal (激素) and sex functions
- Anti-microbial (抗微生物) and anti-tumor (抗腫瘤) defense
- Play an important role in tumor growth, immunodeficiency (免疫缺陷), cardiovascular (心血管), liver (肝), gastrointestinal tract (胃腸道) disease

### NO treatment of wound pathologies



160



Before treatment



21st day of NO-therapy (10 seances)

After 2 months of NO-therapy

- · Decrease in the trophic ulcer (營養性潰瘍) area:
  - Traditional treatment methods: 0.7% per day
  - NO treatment methods:

G. Fridman, *et al.*, Plasma Process. Polym., **5**, 503 (2008) Plasma medicine, by Alexander Fridman and Gary Friedman

1.7% per day

### NO treatment of wound pathologies





Before treatment

After 4.5 months of NO-therapy (3 courses; 12 seances per course)

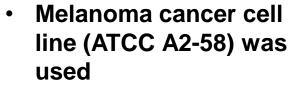
G. Fridman, *et al.*, Plasma Process. Polym., **5**, 503 (2008) Plasma medicine, by Alexander Fridman and Gary Friedman



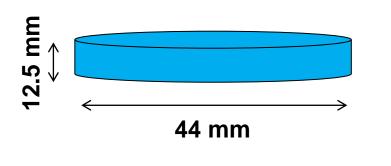
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## Non-thermal plasma treatment of melanoma skin cancer (黑色素瘤皮膚癌)





~1.5x10<sup>6</sup> per dish



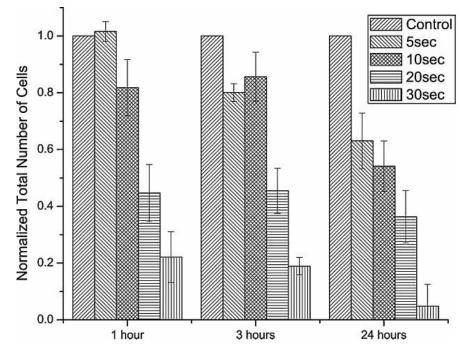
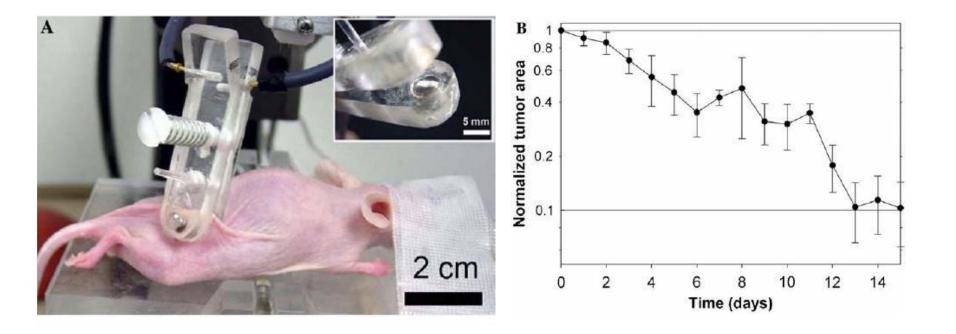


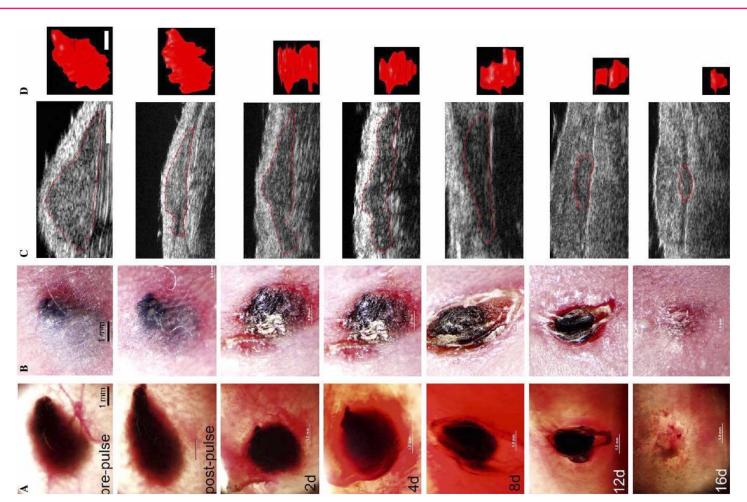
Figure 22. Results of FE-DBD treatment of melanoma cancer cells: Control, 5, 10, 20, and 30 s, counted 1, 3, and 24 h posttreatment.<sup>[27]</sup>

G. Fridman, et al., Plasma Process. Polym., 5, 503 (2008)

# SKH-1 hairless mouse is treated with parallel plate electrode under isoflurane inhalation anesthesia



#### Melanoma shrinks after the treatment



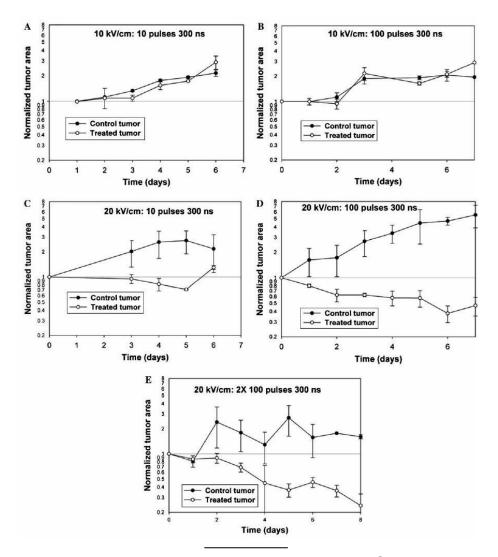
• Day 0-3: 3 applications of 100 pulses (300 ns, 40 kv/cm, 0.5 Hz), 30 min apart

Day 4: single application using 5 <u>mm dia</u>meter parallel plate electrode

Biochem Biophys Res Commun. 2006 May 5; 343(2): 351–360.

#### Electric field of 20 kV/cm is needed to treat Melanoma





Biochem Biophys Res Commun. 2006 May 5; 343(2): 351-360.

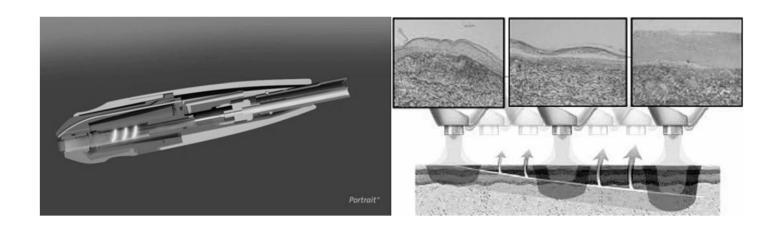
166



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# Plasma skin regeneration (PSR) is a novel skin treatment device





- PSR provides 1-2 J or 3-4 J per pulse for lower or higher power, respectively
- The skin is damaged slightly by the nitrogen plasma jet
- Skin regeneration is stimulated
- Local anesthetic (麻藥) is required and a systemic anesthetic, administered orally is recommended
- Ablative-like effect, similar to that of laser skin resurfacing can also be achieved, but with higher doses

# Zones of the face and associated treatment energy settings







# This particular patient-rated improvement in overall skin rejuvenation was 85%





 Patients reported minimal discomfort following the procedure and reported over 60% improvement in their skin condition



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# Atmospheric-Pressure Plasma sterilization 99.9999% bacteria on surfaces of eggs



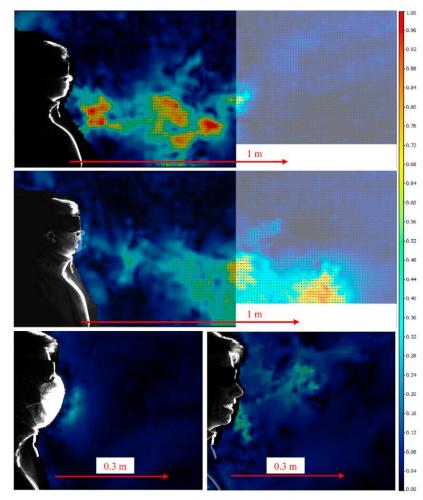
https://www.itri.org.tw/chi/Content/Publications/contents.aspx?Sit eID=1&MmmID=2000&MSid=745416417706673311



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# A face mask do restrict the air flow from the mouth and the nose





Coughing over one breath w/o mask.

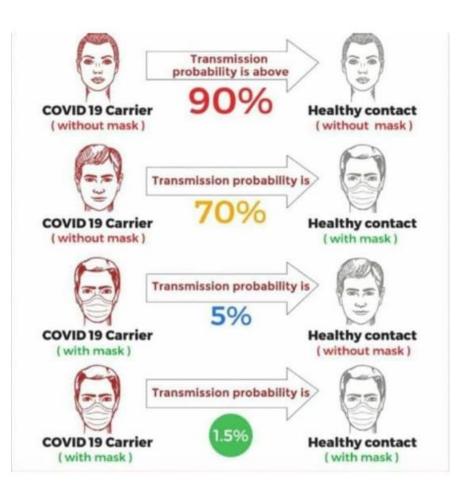
Coughing over a longer periods of time w/o mask.

Coughing over one breath w/ mask.

Talking w/o mask.

# Wearing face mask can reduce the Covid-19 transmission probability significantly





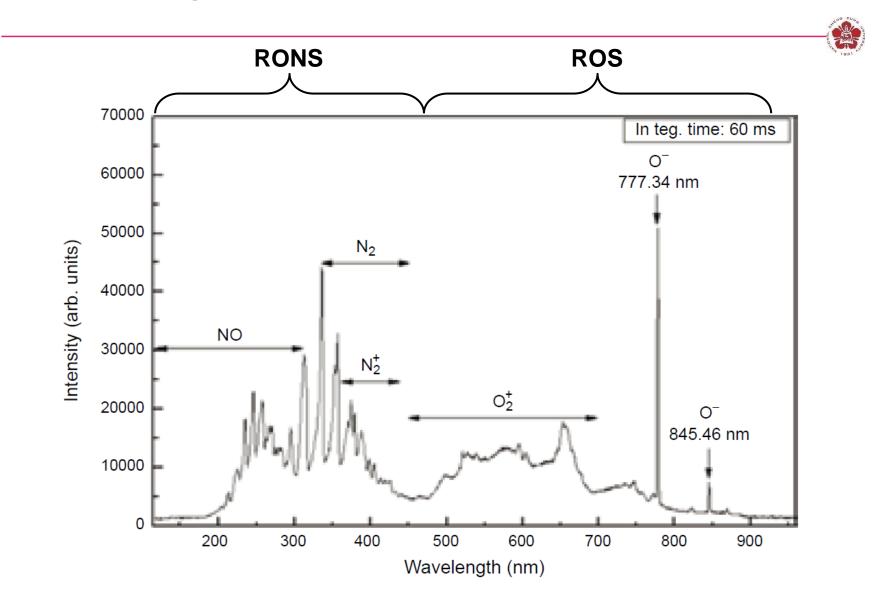
# Plasma can provide good surface treatment with low temperature



Treatment	Surface treatment level	Depth	Temperature	Cost
Chemical	Large	Deep	Room temperature ~200 °C	Medium
Heat	Only oxidizing	Deep	High temperature	Cheap
Radiation	Small	Whole sample	High temperature	Expensive
Plasma	Large	Surface	Room temperature ~100 °C	Cheap ~ Medium

 Atmospheric plasma can generate radicals, ozone, reactive oxygen/nitrogen/NH (ROS <sup>,</sup> RONS), UV light, electrons, charged particles.

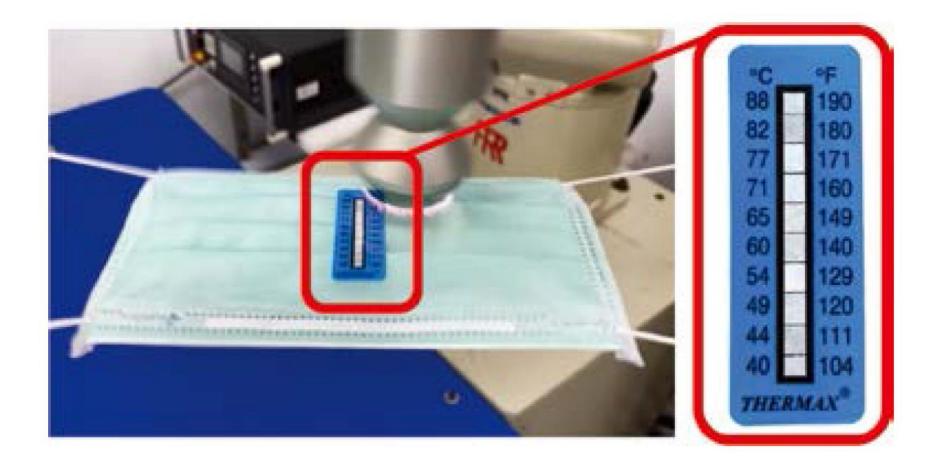
### **Plasma can generate ROS and RONS**



Yu-Lin Kuo, etc., 自動化大氣電漿設備建置與醫療用口罩去異味活化,科儀新知227 期 p50 177

## The temperature of the mask under plasma treamtment is below 40 $^\circ\!C$

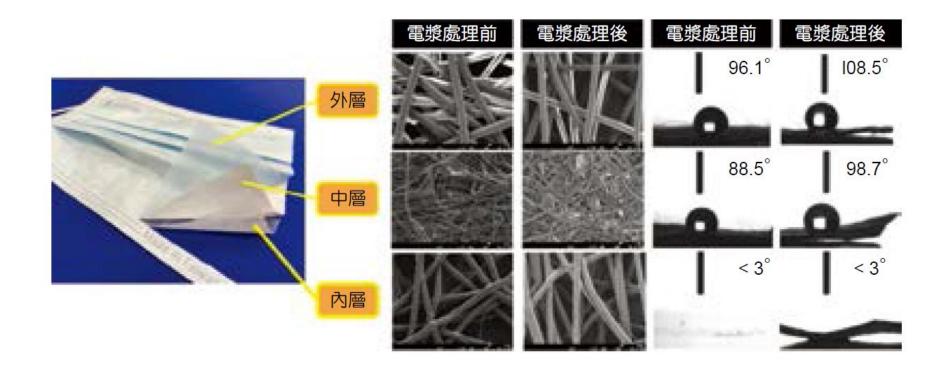




Yu-Lin Kuo, etc., 自動化大氣電漿設備建置與醫療用口罩去異味活化,科儀新知227 期 p50 178

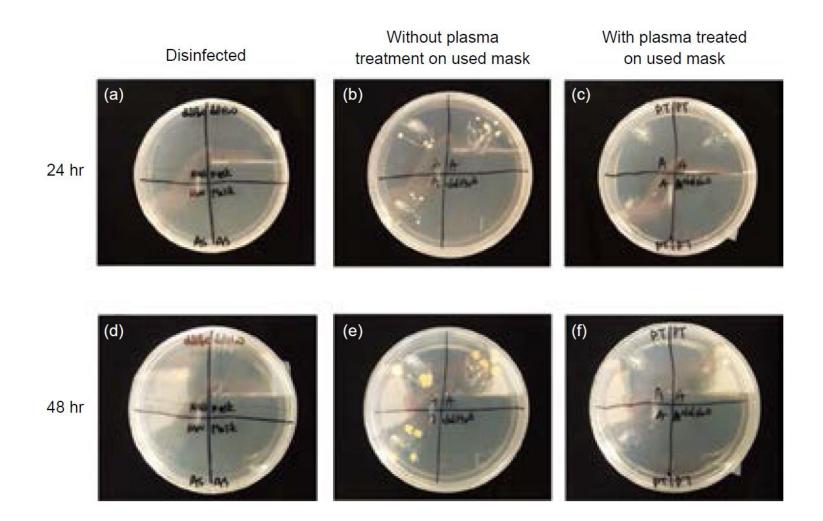
## The surface quality of the face mask was not influenced by the plasma treament





Yu-Lin Kuo, etc., 自動化大氣電漿設備建置與醫療用口罩去異味活化,科儀新知227 期 p50 179

## The growth of the bacteria on the face mask was suppressed

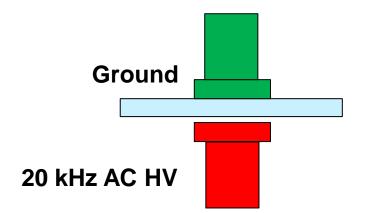


Yu-Lin Kuo, etc., 自動化大氣電漿設備建置與醫療用口罩去異味活化,科儀新知227 期 p50

## **DBD** plasma demonstration



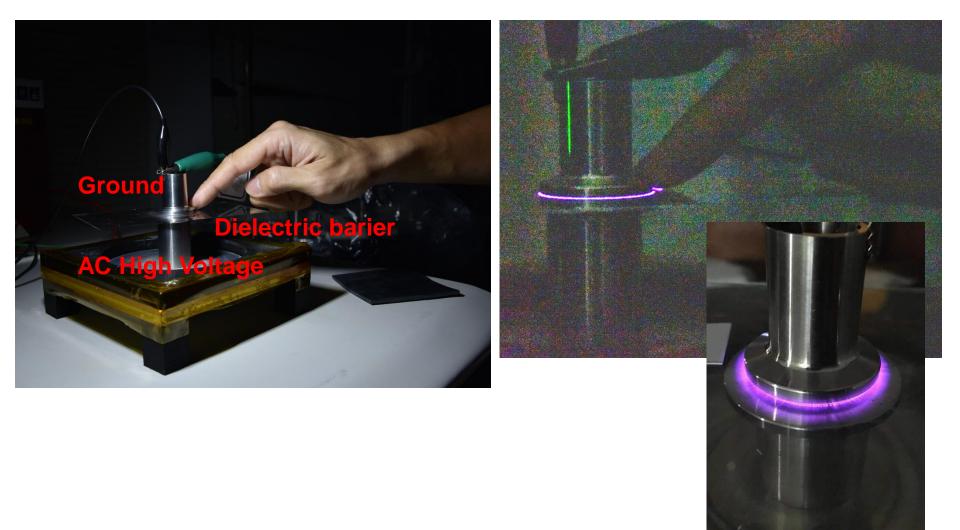




Show video.

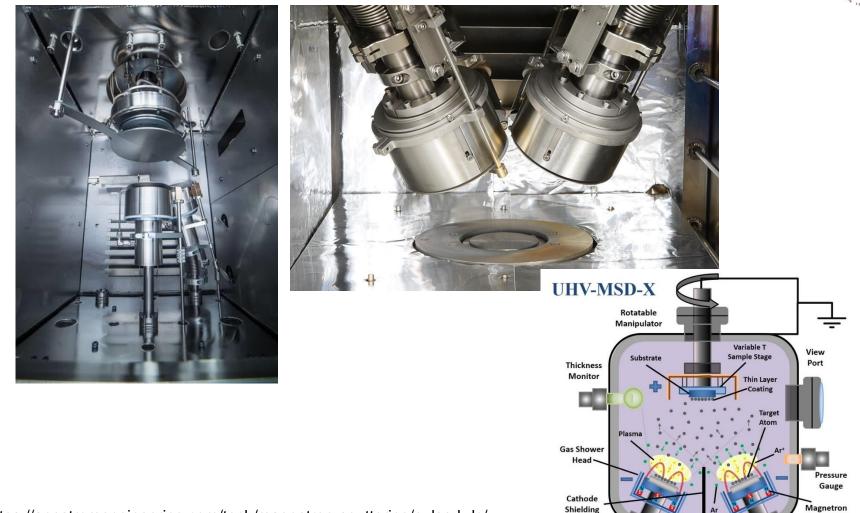
# DBD plasma can be generated between the finger and the dielectric layer





### **Examples of magnetron sputtering deposition**





https://angstromengineering.com/tech/magnetron-sputtering/pulsed-dc/ https://dynavac.com/wp-content/uploads/2017/09/Confocal-Sputtering-2.jpg https://www.adnano-tek.com/magnetron-sputtering-deposition-msd.html

DC/RF Power

Supply

Magnetron

Cathode

## **Demonstration experiments – magnetron sputtering**



• System



Without magnet

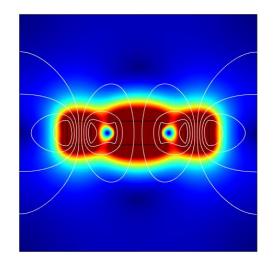


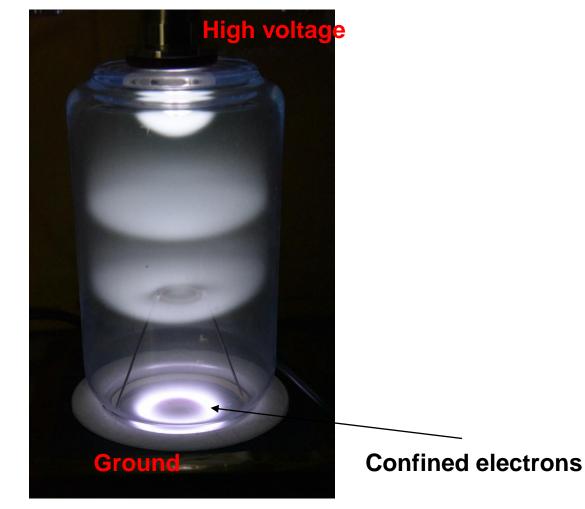
• With magnet



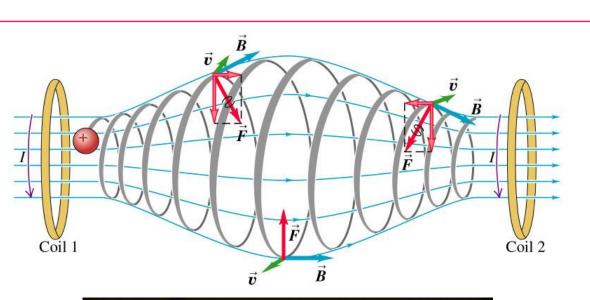
# A bright ring occurs when the magnet is inserted into the system

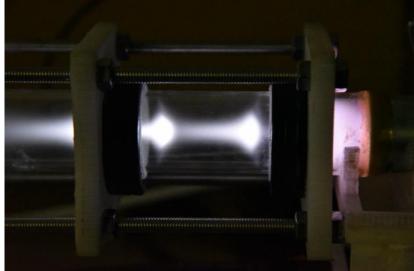






### **Demonstration of a magnetic mirror machine**

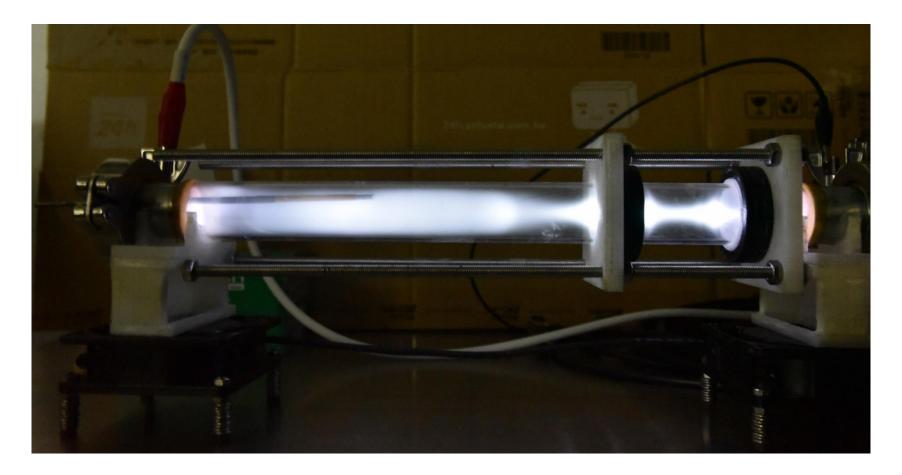




Show video.

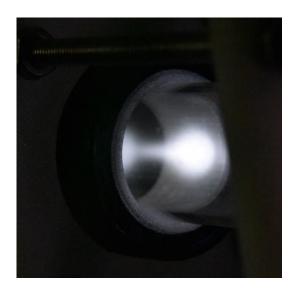
## Plasma is partially confined by the magnetic field

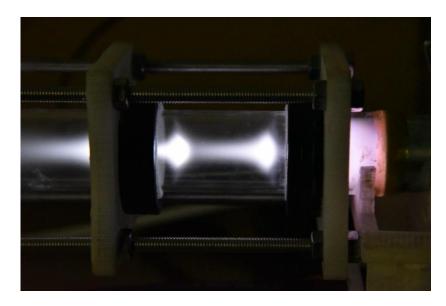


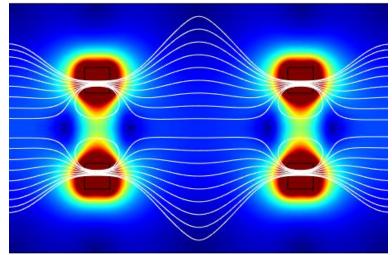


# Many mirror points are provided by a pair of ring-type magnets



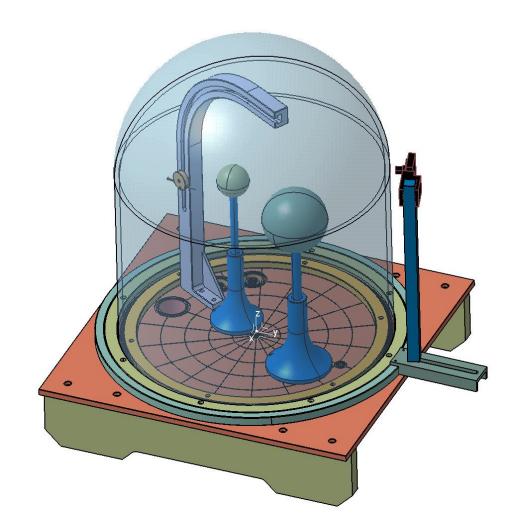






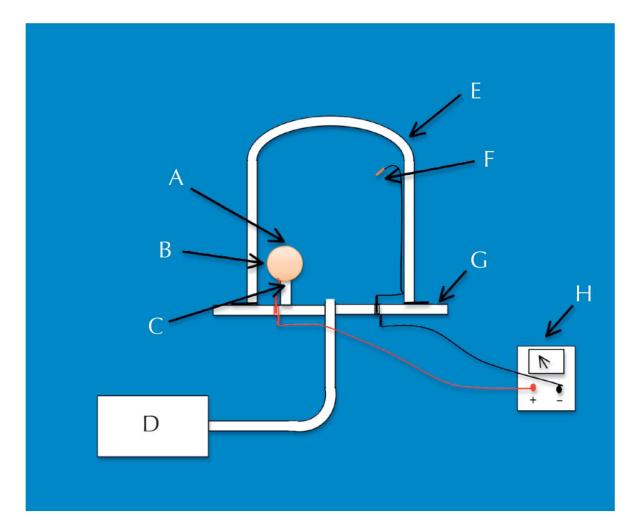
#### Planeterrella is an aurora simulator



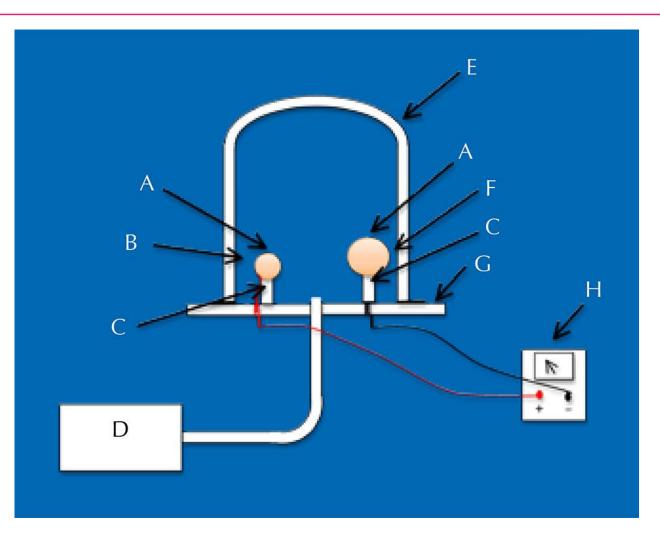


## Simple glow discharge is demonstrated





## Aurora/ring current are demonstrated



- B w/ magnet: aurora demonstration
- F w/ magnet: ring current

### Aurora and ring current are expected to be seen



